

FEDERAL BUREAU OF INVESTIGATION  
FOI/PA  
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HEREIN IS UNCLASSIFIED  
DATE 10-27-2016 BY J37J85T94 NSICG

INSPECTOR GENERAL OF THE INTELLIGENCE COMMUNITY  
WASHINGTON, DC 20511

July 6, 2015

Mr. Randall Coleman  
Assistant Director, Counterintelligence Division  
Federal Bureau of Investigation  
935 Pennsylvania Ave., N.W.  
Washington, DC 20535

**SUBJECT:** Section 811(c) Referral – Potential Compromise of Classified Information

**SENT VIA SECURE FACSIMILE**

Dear Mr. Coleman,

(U) On March 12, 2015, Senate Committee Chairmen Burr, Corker, and Johnson requested that the State Department Inspector General (State IG) conduct a review of State employees' use of personal email and non-government networks for official purposes. The Chairmen asked State IG to address several specific areas, including whether classified information was transmitted or received. The Chairmen also requested State IG coordinate their review with the Inspector General of the Intelligence Community (IC IG). State IG thereafter initiated a review and requested IC IG support.

(U) Concomitant with the State IG review, State FOIA officials are reviewing more than 30,000 emails provided by former Secretary of State Hillary Clinton. According to the former Secretary, these 30,000 emails were transmitted via personal hardware and software devices during her tenure at State.

(U//~~FOUO~~) In support of State IG's review, IC IG reviewed 296 of the 30,000 emails. State had FOIA-processed these 296 emails and released them publicly on May 22, 2015. IC IG found that two of these 296 emails contained classified information. One email had been redacted using a "B1" FOIA Exemption. The other email had been processed and released in an unclassified

Mr. Randall Coleman

and unredacted form. This second email should have been marked SECRET//SI//REL USA, FVEY according to FOIA officials at the Defense Intelligence Agency (DIA), National Geospatial-Intelligence Agency (NGA), and National Security Agency (NSA). These Intelligence Community FOIA officials confirmed that State FOIA officials did not coordinate with them in regard to the public release of this second email.

(U//~~FOUO~~) As part of its review, State IG sent former Secretary Clinton's personal attorney, Mr. David E. Kendall, a preservation request regarding systems and devices related to the 30,000 emails. IC IG co-signed this request. Mr. Kendall replied to the request (see enclosure), and noted that he had stored electronic copies of all 30,000 emails on a thumb drive located in his law office. Mr. Kendall also noted that the former Secretary's personal server is in the custody of a company named "Platte River Networks."

(U//~~FOUO~~) As the thumb drive and personal server contain classified information and are not currently in the Government's possession, we are referring this matter to your office for any action you deem appropriate.

(U) Please do not hesitate to contact me, at  with any questions or concerns.

b3 per ODNI

Sincerely,



I. Charles McCullough, III

Enclosure: As stated

CC: The Honorable James R. Clapper  
The Honorable Steve A. Linick  
Mr. William R. Evanina

LAW OFFICES  
**WILLIAMS & CONNOLLY LLP**

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DAVID E. KENDALL

(202) 434-5145

dkendall@wc.com

EDWARD BENNETT WILLIAMS (1920-1988)  
PAUL R. CONNOLLY (1922-1978)

June 24, 2015

**BY ELECTRONIC MAIL**

[Redacted]

Office of Inspector General  
U.S. Department of State

[Redacted]

Dear [Redacted]

I write as personal counsel to former Secretary Clinton in response to your June 9 e-mail request for additional details regarding our preservation efforts related to Secretary Clinton's work-related e-mail from her tenure as Secretary of State.

I note at the outset that the Department of State is in possession of all of Secretary Clinton's work-related and potentially work-related e-mails. Specifically, in response to an October 2014 letter request from the Department of State, Secretary Clinton's counsel identified all work-related or potentially work-related e-mail in her possession, custody, or control. In total, 30,490 e-mails, all of which were from her @clintonemail.com account, were identified and provided in hard copy to the Department of State in December 2014. As her personal counsel, we continue to retain a preservation copy of the .pst file containing the electronic copies of those e-mails, on a thumb drive that is stored in a secured safe at the offices of Williams & Connolly LLP, 725 12th Street NW, Washington DC 20005. The only two persons authorized to access that thumb drive are me and my law partner, Katherine Turner.

In addition, we have taken steps to retain the server equipment that hosted Secretary Clinton's @clintonemail.com account during her tenure as Secretary of State, even though that equipment is no longer active and, we believe, no longer contains any data from Secretary Clinton's @clintonemail.com account. The equipment is in the custody of Platte River Networks and is maintained at a secure data center. In response to preservation notices related to Secretary Clinton's e-mail received that I have received

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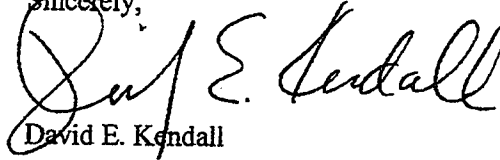
[Redacted]

June 24, 2015

Page 2

from certain entities, I have confirmed Platte River Networks's receipt of such notices as well.

Sincerely,



David E. Kendall

Cc:

[Redacted]

b3 per ODNI  
b6 per ODNI, FBI  
b7C per ODNI, FBI

b6  
b7C

INSPECTOR GENERAL OF THE INTELLIGENCE COMMUNITY  
WASHINGTON, DC 20511

MEMORANDUM FOR: SEE DISTRIBUTION

SUBJECT: Update to IC IG support to State Department IG  
(Re: 12 March 2015 letter from Chairmen Burr,  
Corker, and Johnson)

(U//~~FOUO~~) On 12 March 2015, the Inspector General of the State Department (State IG) received a letter from Chairmen Burr, Corker, and Johnson asking for, among other requests, a review of State Department employees' usage of personal email for official purposes. The letter also requested State IG to coordinate with my office to determine whether classified information was transmitted or received by State Department employees over personal systems. On April 16, 2015, State IG initiated a review of the use of personal communications hardware and software by five Secretaries of State and their immediate staffs.

(U//~~FOUO~~) In December 2014, former Secretary Clinton provided the State Department with approximately 55,000 pages of electronic documents. These documents were deemed to be official business, and had been transmitted via personal communications hardware and software. The State Department is in the process of reviewing these documents for potential public release in response to Freedom of Information Act (FOIA) requests.

(U//~~FOUO~~) State IG asked my office to validate the procedures being used by State Department FOIA staff as they process the 55,000 pages of electronic documents. Our preliminary assessment of the FOIA pre-release process determined that State Department FOIA staff lacked sufficient familiarity with Intelligence Community (IC) equities to properly identify potentially classified information. We provided State IG with some recommendations, and State IG forwarded our recommendations to the State Department FOIA staff. One of our recommendations was that State Department FOIA staff request support from IC FOIA officials to better protect potentially classified information.

(U//~~FOUO~~) My staff also reviewed 296 emails released by State FOIA officials on 22 May 2015. We identified one document that had been released in an


SUBJECT: Update to IC IG support to State Department IG (Re: 12 March 2015 letter from Chairmen Burr, Corker, and Johnson)

unclassified and unredacted form that --according to DIA, NSA, and NGA FOIA officials -- should have been marked and handled as SECRET//NOFORN. Further, these IC FOIA officials confirmed that the document in question had not been coordinated with the appropriate IC elements before public release.

(U//~~FOUO~~) My office notified the National Counterintelligence and Security Center (NCSC) as well as other appropriate CI/Security officials of this released, unredacted document. Under Intelligence Community Directive 701, *Deterrence, Detection, Reporting, and Investigation of Unauthorized Disclosures of Classified National Intelligence.*, NCSC (formerly National Counterintelligence Executive) is responsible for assessing unauthorized disclosures of classified information and making any notifications they deem necessary to the Department of Justice.

(U) Please contact me or [redacted] with any questions or concerns.

b3 per ODNI  
b6 per ODNI  
b7C per ODNI

  
I. Charles McCullough, III  
Inspector General of the Intelligence Community

6-19-2015  
Date

DISTRIBUTION: The Honorable Richard Burr  
The Honorable Dianne Feinstein  
The Honorable Devin Nunes  
The Honorable Adam Schiff  
The Honorable James Clapper, Director National Intelligence



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DATE 10-27-2016 BY J37J85T94 NSICG

INSPECTOR GENERAL OF THE INTELLIGENCE COMMUNITY  
WASHINGTON, DC 20511

MEMORANDUM FOR: DISTRIBUTION

SUBJECT: Classified Material on Personal Electronic Storage Devices

(U//~~FOUO~~) On 19 June 2015 my office notified your committees that we had recently assessed the Freedom of Information Act (FOIA) document review process at the Department of State (State). This assessment was limited to the ongoing process being used by State FOIA officials reviewing almost 55,000 pages of emails that had been provided to State by former Secretary of State Clinton. These emails had previously existed on, and had been transmitted via, a non-government communication system but were deemed to be official business by former Secretary Clinton's staff.

(U//~~FOUO~~) As part of our assessment, we also reviewed a subset of these same documents, some 296 emails, that had already been reviewed and released by State FOIA officials in response to several FOIA requests. According to DIA, NSA, and NGA FOIA officials – at least one of these unredacted emails should have been marked and treated as **SECRET//NOFORN**.

(U//~~FOUO~~) On 24 June 2015, the State Inspector General received the attached letter from former Secretary Clinton's personal counsel, David Kendall. This letter discusses the former Secretary's provision of documents from her personal server to State; the current location of the personal server; and information about a mobile electronic storage device (thumb drive) presently in her counsel's possession. The above-mentioned **SECRET//NOFORN** document is likely on the thumb drive and possibly on the personal server (although Mr. Kendall notes he believes the personal server no longer contains any data).

(U//~~FOUO~~) Given the likelihood that classified material may exist on at least one unsecured, non-government system, the IC IG and State IG immediately notified security officials at ODNI, State, DIA, NSA, and NGA in accordance with Intelligence Community Directive 701, *Deterrence, Detection, Reporting, and Investigation of Unauthorized Disclosures of Classified National*

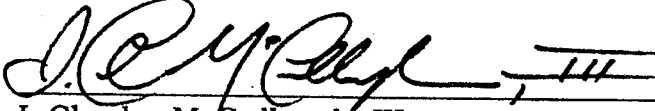


SUBJECT: Classified Material on Personal Electronic Storage Devices

*Intelligence.* The State and IC IG defer to the National Counterintelligence and Security Center and the various agency security officials with regard to coordination of appropriate security/CI actions and the disposition of these electronic storage devices.

b3 per ODNI  
b6 per ODNI  
b7C per ODNI

(U) Please contact me or the [redacted]  
[redacted] with any questions or concerns.

  
I. Charles McCullough, III  
Inspector General of the Intelligence Community

6/25/2015  
Date

DISTRIBUTION: The Honorable Richard Burr  
The Honorable Dianne Feinstein  
The Honorable Devin Nunes  
The Honorable Adam Schiff  
The Honorable James Clapper, Director National Intelligence

RELEASE IN  
FULL

**From:** Abedin, Huma <AbedinH@state.gov>  
**Sent:** Sunday, April 10, 2011 10:14 AM  
**To:** H  
**Subject:** Fw: Stevens Update (Important)

**Importance:** High

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DATE 10-27-2016 BY J37J85T94 NSICG

Jake may have sent but in case not..

----- Original Message -----

**From:** Davis, Timmy T  
**Sent:** Sunday, April 10, 2011 06:48 AM  
**To:** Sullivan, Jacob J; Abedin, Huma; Wells, Alice G; S\_SpecialAssistants  
**Subject:** Stevens Update (Important)

-(SBU) Per Special Envoy Stevens:

• The situation in Ajdabiyah has worsened to the point where Stevens is considering departure from Benghazi. The envoy's delegation is currently doing a phased checkout (paying the hotel bills, moving some comms to the boat, etc). He will monitor the situation to see if it deteriorates further, but no decision has been made on departure. He will wait 2-3 more hours, then revisit the decision on departure.

• He received reports of shelling last night in Ajdabiyah as well as snipers shooting people in the city. AFRICOM reported Qadhafi's forces took the eastern and western gates of Ajdabiyah, with 5 vehicles at the eastern gate and 50 at the western gate. More Qadhafi forces are heading to Ajdabiyah from Brega.

• The Brits report Qadhafi forces are moving from Sirte to Brega, which they interpret as preparation for another assault on Ajdabiyah today.

• He plans to discuss the situation further with the Brits, Turks, and the TNC to see if this is an irreversible situation. Departure would send a significant political signal, and would be interpreted as the U.S. losing confidence in the TNC. Initial message to the TNC would frame the departure as due to security grounds and as a temporary measure only.

• Polaschik said she would discuss these developments with Amb. Cretz.

• If the group departs, the contract for the boat stipulates they return to Greece. One scenario could be the group stages elsewhere for a few days.

Ops will continue to monitor the situation.

LAW OFFICES  
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PAUL R. CONNOLLY (1922-1978)

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DATE 10-27-2016 BY J37J85T94 NSICG

June 24, 2015

**BY ELECTRONIC MAIL**

[REDACTED]  
Office of Inspector General  
U.S. Department of State

Dear [REDACTED]

I write as personal counsel to former Secretary Clinton in response to your June 9 e-mail request for additional details regarding our preservation efforts related to Secretary Clinton's work-related e-mail from her tenure as Secretary of State.

I note at the outset that the Department of State is in possession of all of Secretary Clinton's work-related and potentially work-related e-mails. Specifically, in response to an October 2014 letter request from the Department of State, Secretary Clinton's counsel identified all work-related or potentially work-related e-mail in her possession, custody, or control. In total, 30,490 e-mails, all of which were from her @clintonemail.com account, were identified and provided in hard copy to the Department of State in December 2014. As her personal counsel, we continue to retain a preservation copy of the .pst file containing the electronic copies of those e-mails, on a thumb drive that is stored in a secured safe at the offices of Williams & Connolly LLP, 725 12th Street NW, Washington DC 20005. The only two persons authorized to access that thumb drive are me and my law partner, Katherine Turner.

In addition, we have taken steps to retain the server equipment that hosted Secretary Clinton's @clintonemail.com account during her tenure as Secretary of State, even though that equipment is no longer active and, we believe, no longer contains any data from Secretary Clinton's @clintonemail.com account. The equipment is in the custody of Platte River Networks and is maintained at a secure data center. In response to preservation notices related to Secretary Clinton's e-mail received that I have received

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HRC-360

[Redacted]

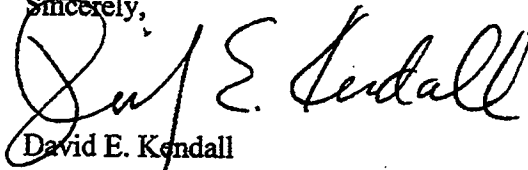
June 24, 2015

Page 2

b6  
b7C

from certain entities, I have confirmed Platte River Networks's receipt of such notices as well.

Sincerely,



David E. Kendall

Cc:

[Redacted]

b3 per ODNI  
b6 per ODNI, FBI  
b7C per ODNI, FBI

(Rev. 05-01-2008)

~~SECRET//NOFORN~~

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 07/24/2015

To: CD-4, Counterespionage Section

Attn:

From: CD-4

Contact: SA [redacted]

b6  
b7C

Approved By: Kable Charles H. IV *[Signature]* 7/29/15

[redacted] 7/29/15

Drafted By:

[redacted]

Case ID #: (U) [redacted]

*Serial 9*

b3  
b7E

~~(U) Title: (S//NF) MIDYEAR EXAM~~

~~(U) Synopsis: (S//NF) Document July 22, 2015 Meeting with Office of Inspector General of the Intelligence Community.~~

~~Classified By: F93M27K51~~

~~Derived From: FBI NSIC dated 20130301~~

~~Declassify On: 20401231~~

b3 per ODNI  
b6 per FBI, ODNI  
b7C per FBI, ODNI

~~(U) Details: (S//NF) On July 22, 2015, FBI representatives Charles H. Kable, Section Chief, [redacted] Assistant Section Chief, [redacted] Assistant Section Chief, CD-4 Counter-Espionage Section, Special Agents [redacted] [redacted] Intelligence Analysts [redacted] and [redacted] met with Office of the Inspector General of the Intelligence Community representatives, I. Charles McCullough, Inspector General, [redacted]~~

~~[redacted] The meeting took place at the Office of the Inspector General of the Intelligence Community, Patriot Park, 12290 Sunrise Valley Drive, Reston, VA 20191.~~

~~(U) (S//NF) At this meeting the group discussed the U.S. Department of State's Inspector General's (State IG) and Office of the Inspector General of the Intelligence Community (ICIG) ongoing review of the use of personal communications hardware and software by five Secretaries of State and their immediate~~

~~SECRET//NOFORN~~

~~SECRET//NOFORN~~

FEDERAL BUREAU OF INVESTIGATION

staffs. ICIG informed the FBI that ICIG had several meetings with STATE IG and U.S. STATE Department (USDS) officials regarding the ongoing inquiry. ICIG believed that subject's personal server was established approximately 1 day after subject took her official position at the USDS. ICIG had several meetings and correspondence with Patrick Kennedy, Under Secretary of State For Management and others at USDS. On or about June 15, 2015, ICIG sent a letter to State IG to document potential issues identified in the preliminary review of the State Department FOIA process and made four recommendations. USDS agreed to the recommendation to get additional Intelligence Community officials involved in the FOIA review.

(U) ~~(S//NF)~~ ICIG informed FBI that when an employee leaves employment at USDS, the agency burns a .PST file of that employee's electronic account. ICIG surmised that subject had 2 Blackberry accounts and at least 2 @Clinton e-mail addresses. ICIG was going to complete a Congressional notification and would provide the FBI a copy upon completion. ICIG was legally compelled to provide this Congressional notification to the Senate Judiciary Committee as part of its Inspector General oversight responsibilities.

(U) ~~(S//NF)~~ ICIG intended on briefing Charles Grassley, Chairman, Committee on the Judiciary on Friday, July 24, 2015 and was going to inform him that a referral was made. As of July 22, 2015, ICIG identified 5 classified documents in the ongoing review of subject's e-mail.

(U) ~~(S//NF)~~ ICIG also provided copies of e-mail from two potential complainants for the FBI's review. ICIG reminded the FBI to "PROTECT IDENTITY" of individuals referenced in whistleblower process.

◆◆

~~SECRET//NOFORN~~

(Rev. 05-01-2008)

~~SECRET//NOFORN~~

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 07/28/2015

To: CD-4, Counterespionage Section

Attn:

From: CD-4

Contact: SA [Redacted]

b6  
b7C

Approved By: Kable Charles H. IV *[Signature]* 7/28/15

[Redacted] 7/20/15

Drafted By: [Redacted]

Case ID #: (U) [Redacted] Serial 10

b3  
b7E

Title: ~~(S//NF)~~ MIDYEAR EXAM (U)

Synopsis: ~~(S//NF)~~ Document Receipt of Correspondence between Inspector General for the Intelligence Community, Department of State Inspector General and U.S. Department of State.

~~Classified By: F93M27K51  
Derived From: FBI NSIC dated 20130301  
Declassify On: 20401231~~

~~(U)~~ Details: ~~(S//NF)~~ On July 27, 2015 [Redacted] Office of the Inspector General of the Intelligence Community (ICIG) provided the FBI documented correspondence records between ICIG, Department of State Inspector General (State IG) and U.S. Department of State (USDS).

b3 per ODNI  
b6 per ODNI  
b7C per ODNI

1. June 15, 2015: Letter from ICIG to State IG
2. June 15, 2015: Memo from ICIG documenting potential FOIA issues
3. June 25, 2015: Memo from Secretary Kennedy response to FOIA issues memo
4. June 29, 2015: Memo from State IG to Secretary Kennedy
5. June 29, 2015: Follow Up Memo Email
6. July 2, 2015: Follow up from Yesterday's Meeting Email
7. July 2, 2015: Follow up from Yesterday's Meeting Email
8. July 6, 2015: Request for assistance from IC FOIA Officials Email

~~SECRET//NOFORN~~

~~SECRET//NOFORN~~ (U)

FEDERAL BUREAU OF INVESTIGATION

9. July 6, 2015: Request for assistance from IC FOIA Officials
  10. July 6, 2015: Follow Up From Yesterday's Meeting Email
  11. July 7, 2015: Follow Up From Yesterday's Meeting Email
  12. July 10, 2015: Memo from State IG & ICIG to Secretary Kennedy
  13. July 22, 2015: Memo from Secretary Kennedy to State IG regarding "Your request for Electronic Copy of Former Secretary Clinton's Emails".
- ◆◆

~~SECRET//NOFORN~~ (U)





INSPECTOR GENERAL OF THE INTELLIGENCE COMMUNITY  
WASHINGTON, DC 20511

15 June 2015

The Honorable Steve Linick  
Inspector General  
Department of State  
2201 C Street, NW (SA-3, Suite 8100)  
Washington, DC 20520

Dear Mr. Linick:

(U//~~FOUO~~) Thank you for reaching out to my office to assist you in your current review entitled "Use of Personal Communications Hardware and Software by Five Secretaries of State and Their Immediate Staffs." Thus far, our assistance has been tailored to reviewing State Department's Freedom of Information Act (FOIA) process and how classified information is safeguarded in that process.

(U//~~FOUO~~) Our preliminary review identified four areas that may require immediate attention by State FOIA officials, in coordination with Intelligence Community FOIA officials. Those areas are detailed in the attached memorandum. My team has briefed your team members on these developments and will continue to refine findings and recommendations as the review progresses.

Sincerely,

I. Charles McCullough, III

cc: [redacted] Counselor,  
State Department Office of Inspector General

b6  
b7C

[redacted]  
Office of the Inspector General of the Intelligence Community

b3 per ODNI  
b6 per ODNI  
b7C per ODNI

Attachment:  
(U) MFR, [redacted] dtd 15 June, 2015) (U//~~FOUO~~)



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DATE 11-08-2016 BY J37J85T94 NSICG

OFFICE OF THE INSPECTOR GENERAL OF THE INTELLIGENCE COMMUNITY  
INSPECTIONS AND EVALUATIONS DIVISION  
WASHINGTON, DC 20511

MEMORANDUM FOR THE RECORD

15 June 2015

PROJECT: (U) Special Inquiry 2015-001: Assistance to State Department Inspector General Review of Use of Personal Communications Hardware and Software by Five Secretaries of State and Their Immediate Staffs

INSPECTOR:

(U)



b3 per ODNI  
b6 per ODNI  
b7C per ODNI

PURPOSE:

(U//~~FOUO~~) Document Potential Issues Identified in Preliminary Review of the State Department FOIA Process.

(U//~~FOUO~~) The Office of the Inspector General of the Intelligence Community (IC IG) is assisting the State Department IG's office in the above referenced review; focused on the handling of potentially classified material during the State Department Freedom of Information Act (FOIA) review process. I am conducting a preliminary review of the handling of potentially classified material during the State FOIA review process being used to review the 33,000 emails provided by former Secretary Clinton from her personal email server. Thus far, I have identified four areas that may require expedited attention by State FOIA officials, in coordination with IC FOIA officials. Those areas are:

1. (U//~~FOUO~~) **Evaluation of other agencies' equities is not optimal.** State Department is currently relying on retired senior Foreign Service Officers to review for other agencies' equities in FOIA cases. For example, a review of the first set of 296 emails received from former-Secretary Clinton and released on the State Department FOIA website identified material that should have been referred to IC FOIA officials for review prior to release. **Recommend State Department FOIA Office request staff support from IC FOIA offices to assist in the identification of intelligence community equities.**

THIS IS A DELIBERATIVE PROCESS DOCUMENT AND INTENDED SOLELY FOR OFFICIAL USE BY THE IC IG.  
RECIPIENTS MAY NOT FURTHER DISSEMINATE WITHOUT THE EXPRESS PERMISSION OF IC IG PERSONNEL.

(U//~~FOUO~~) Document Potential Issues Identified in Preliminary Review of the State Department FOIA Process.

2. (U//~~FOUO~~) **Storage and distribution of FOIA material is occurring on a SECRET level network.** State Department is using a SECRET level network (ClassNet) to store the 33,000 emails acquired from former-Secretary Clinton. State is also using ClassNet to distribute the FOIA material for review by both the intra-and-inter-agency. Material at the SECRET//NOFORN level was identified in the first set of 296 emails prior to their release. **Recommend IC FOIA officers review the emails to ensure ClassNet use is appropriate before transmitting to the State Bureaus for review.**
3. (U//~~FOUO~~) **Applying appropriate FOIA exemptions to protect classified information.** State Department FOIA personnel recommended five "B1" (Classified National Security Information) FOIA exemptions for proposed redactions in the first set of 296 emails to protect classified information. According to State FOIA personnel, during the State Department Legal Office's review, four of the B1 exemptions were removed and changed to "B5" FOIA exemptions (Privileged Communications). **Recommend State Department FOIA Office seek classification expertise from the interagency to act as a final arbiter if there is a question regarding potentially classified materials.**
4. (U//~~FOUO~~) **It is unclear if the Department of Justice is reviewing the emails before FOIA release.** Former-Secretary Clinton's emails are the subject of numerous FOIA requests and multiple FOIA lawsuits. It may be prudent to integrate the Department of Justice into the FOIA process review to ensure the redactions can withstand potential legal challenges. **If not already being done, recommend the State Department FOIA Office incorporate the Department of Justice into the FOIA process to ensure the legal sufficiency review of the FOIA exemptions and redactions.**

**UNDER SECRETARY OF STATE  
FOR MANAGEMENT  
WASHINGTON**

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DATE 11-08-2016 BY J37J85T94 NSICG

UNCLASSIFIED

JUN 25 2015

**MEMORANDUM FOR THE INSPECTOR GENERAL**

**FROM:** M – Patrick F. Kennedy *PKK*  
**SUBJECT:** Potential Issues Identified by the Office of the Inspector General of the Intelligence Community Concerning the Department of State's Process for the Review of Former Secretary Clinton's Emails under the Freedom of Information Act (ESP-15-04)

I am in receipt of the subject Memorandum (dated June 19, 2015) and its accompanying Memorandum and Attachment from the Inspector General of the Intelligence Community (ICIG). Responses to the four recommendations are contained in the attached.

The Department of State's FOIA processes are consistent with those of other agencies. State's FOIA personnel analyze responsive records for disclosure pursuant to the provisions of the FOIA and apply exemptions to the documents as appropriate. Department FOIA reviewers are trained in applying the exemptions, using guidance made available by the Department of Justice's Office of Information Policy. The reviewers consult with subject matter experts in Department components and with FOIA attorneys in the Department's Office of the Legal Adviser, as appropriate. In turn, the Department's attorneys consult regularly with attorneys at DOJ's Federal Programs Branch and attorneys at U.S. Attorney's Offices on legal issues that arise in the context of the FOIA and FOIA litigation. Consistent with the long-standing practice of third-agency review within the Executive branch and DOJ Policy on Referrals, Consultations, and Coordination, the Department consults with other agencies with respect to the review and disclosure of records that contain information that is of interest to another agency.

Taking the above in account, the Department finds the issues raised by the ICIG are either already addressed in current processes or are inconsistent with interagency practices. Further, the recommendations provided by the ICIG would add to the FOIA review process schedule and make it more difficult to meet the U.S. District Court order for rolling productions without meaningfully enhancing the review process.

Attachment:

As stated.

UNCLASSIFIED

HRC-393

### Recommendation #1

The process utilized by the State Department to identify other agency equities is one with parallels throughout the United States Government.

Prior to undertaking the current effort, a senior level meeting was held with representatives of a number of agencies (including the CIA and ODNI); the process to be utilized was outlined; and no objection was entered.

The retired senior Foreign Service Officers are utilized because of their years of both FOIA experience and substantive expertise in the various regional and functional issues that are reviewed.

If there are specific examples from the "Benghazi" 296 set that are of concern, the Department would welcome further information.

### Recommendation #2

The State Department Classified network is authorized to handle up to SECRET material, including NOFORN, and thus ClassNet is the appropriate system for the storage and distribution of these FOIA materials.

Should the Department identify an e-mail that potentially contains material that could be classified at a higher level, that material would be pulled from the database and transmitted for review via an appropriate channel.

### Recommendation #3

Final determinations of classification decisions are made by senior personnel within the Department's FOIA office, assisted by subject matter experts in relevant bureaus and in the Office of the Legal Adviser, after referral of other agency equities have been made to the appropriate agencies and their comments received. These individuals have the experience and expertise necessary to carry out this responsibility correctly.

### Recommendation #4

Emails with Department of Justice equities (including those of its component entities) are forwarded to the relevant DOJ entities for review. Legal sufficiency review of the FOIA exemptions and redactions are conducted by attorneys from the Office of the Legal Adviser; they consult regularly with the Department of Justice's Federal Programs Branch regarding FOIA issues and litigation, including litigation involving the former Secretary's emails. This type of process is common throughout the interagency.

UNCLASSIFIED

HRC-394



**OIG** Office of Inspector General  
U.S. Department of State • Broadcasting Board of Governors

ALL FBI INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED  
DATE 11-08-2016 BY J37J85T94 NSICG

UNCLASSIFIED

June 29, 2015

**MEMORANDUM FOR UNDER SECRETARY KENNEDY**

**FROM:** *SL* Steve A. Linick, Inspector General, Department of State  
*ICM* I. Charles McCullough, III, Inspector General, Intelligence Community

**SUBJECT:** Potential Issues Identified by the Office of the Inspector General of the Intelligence Community Concerning the Department of State's Process for the Review of Former Secretary Clinton's Emails under the Freedom of Information Act (ESP-15-05)

We understand that, in compliance with a federal court order connected to pending Freedom of Information Act (FOIA) litigation, the Department plans to publish tomorrow a portion of the 55,000 pages of emails produced by former Secretary Clinton. We are therefore providing this Memorandum as follow up to our June 19, 2015, Memorandum to you, to provide additional information supporting our concerns about the current process underway to review the 55,000 pages of emails prior to publication.

On June 26 and June 27, 2015, Department staff responsible for FOIA issues further reviewed a portion of the 55,000 pages that have been or are to be reviewed. They report discovering hundreds of potentially classified emails within the collection. In addition, there is concern that possible classified material will be posted in tomorrow's release. Staff members from the Office of Inspector General for the Intelligence Community (IC IG) are now taking steps to verify the classification of some of these emails.

Under the circumstances, we continue to urge the Department to adopt the recommendations made by the IC IG in our June 19 Memorandum in order to enhance the current review system and to further minimize risk. The Department should ensure that no classified documents are publicly released.

cc: D-MR - Heather A. Higginbottom

[Redacted]

b3 per ODNI  
b6 per ODNI  
b7C per ODNI

**From:** Chuck McCullough [Redacted]  
**Sent:** Monday, June 29, 2015 7:49 PM  
**To:** [Redacted]  
**Subject:** [AIN] FW: Follow Up Memorandum

b3 per ODNI

[Large Redacted Block]

I. Charles McCullough, III  
Intelligence Community Inspector General

[Redacted]

b3 per ODNI  
b6 per ODNI  
b7C per ODNI

**From:** Kennedy, Patrick F [mailto:KennedyPF@state.gov]  
**Sent:** Monday, June 29, 2015 7:15 PM  
**To:** Linick, Steve A (OIG)  
**Cc:** Higginbottom, Heather A; Chuck McCullough  
**Subject:** RE: Follow Up Memorandum

Steve

I would appreciate your advising the IC IG that if his office believes that there is material that needs to be withheld, it needs to identify the specific documents involved. The ?Bates Stamp? number on the document is a sufficient reference item to let us know which are of concern.

Since we are set by court order to publish the first tranche tomorrow, I would hope that we get this material soonest.

pat

From: Linick, Steve A (OIG)  
Sent: Monday, June 29, 2015 7:11 PM  
To: Kennedy, Patrick F  
Cc: Higginbottom, Heather A; Chuck McCullough   
Subject: Follow Up Memorandum

b3 per ODNI  
b6 per ODNI  
b7C per ODNI

Dear Pat:

Please see attached follow-up Memorandum regarding potential issues identified by the IC IG concerning the Department's process for the review of former Secretary Clinton's emails. Please feel free to contact Chuck McCullough with any questions. Best, Steve



[Redacted]

b3 per ODNI  
b6 per FBI, ODNI  
b7C per FBI, ODNI

**From:** [Redacted] (OIG) [Redacted]  
**Sent:** Thursday, July 02, 2015 12:37 PM  
**To:** [Redacted]  
**Cc:** Kennedy, Patrick F; Linick, Steve A (OIG) [Redacted]  
[Redacted] (OIG)  
**Subject:** [AIN] Follow Up from Yesterday's Meeting

b3 per ODNI

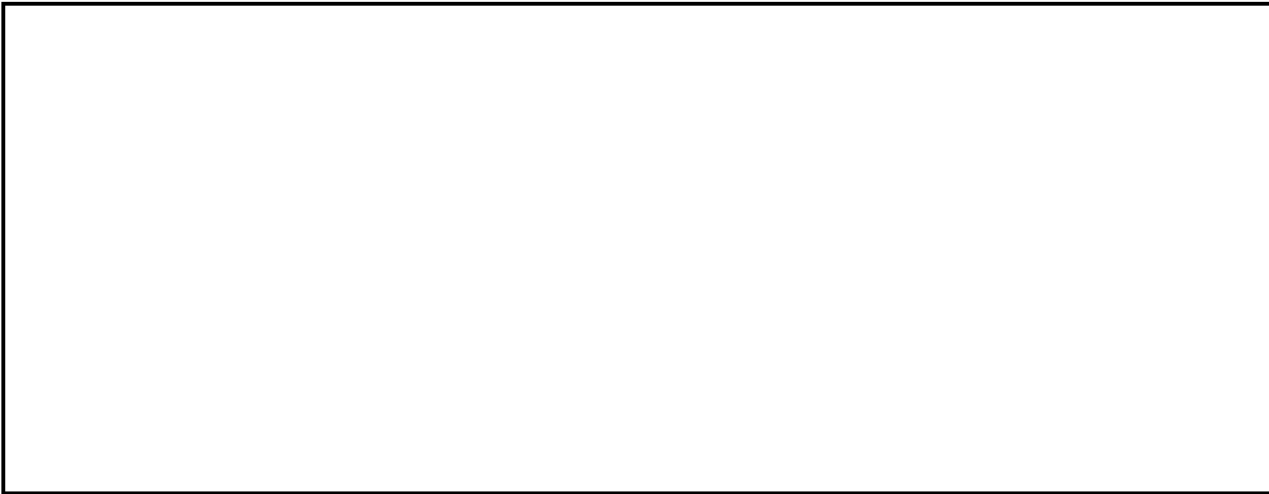
[Redacted]

[Redacted]

b6  
b7C

[Redacted]

b5 per DOS



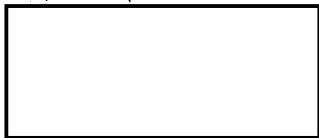
Thanks.



Office of Inspector General

U.S. Department of State

b6  
b7C



~~SBU~~ This email is UNCLASSIFIED.

symbol of their commitment to disarm the militias and anti-government groups, and increased their involvement accordingly.)

b3 per ODNI  
b6 per FBI, ODNI  
b7C per FBI, ODNI

**From:** Kennedy, Patrick F <KennedyPF@state.gov>  
**Sent:** Thursday, July 02, 2015 8:12 PM  
**To:** [Redacted]  
**Cc:** Linick, Steve A (OIG); [Redacted]  
**Subject:** [AIN] RE: Follow Up from Yesterday's Meeting

b3 per ODNI

[Redacted]

b6  
b7C

[Redacted]

b5 per DOS

Regards

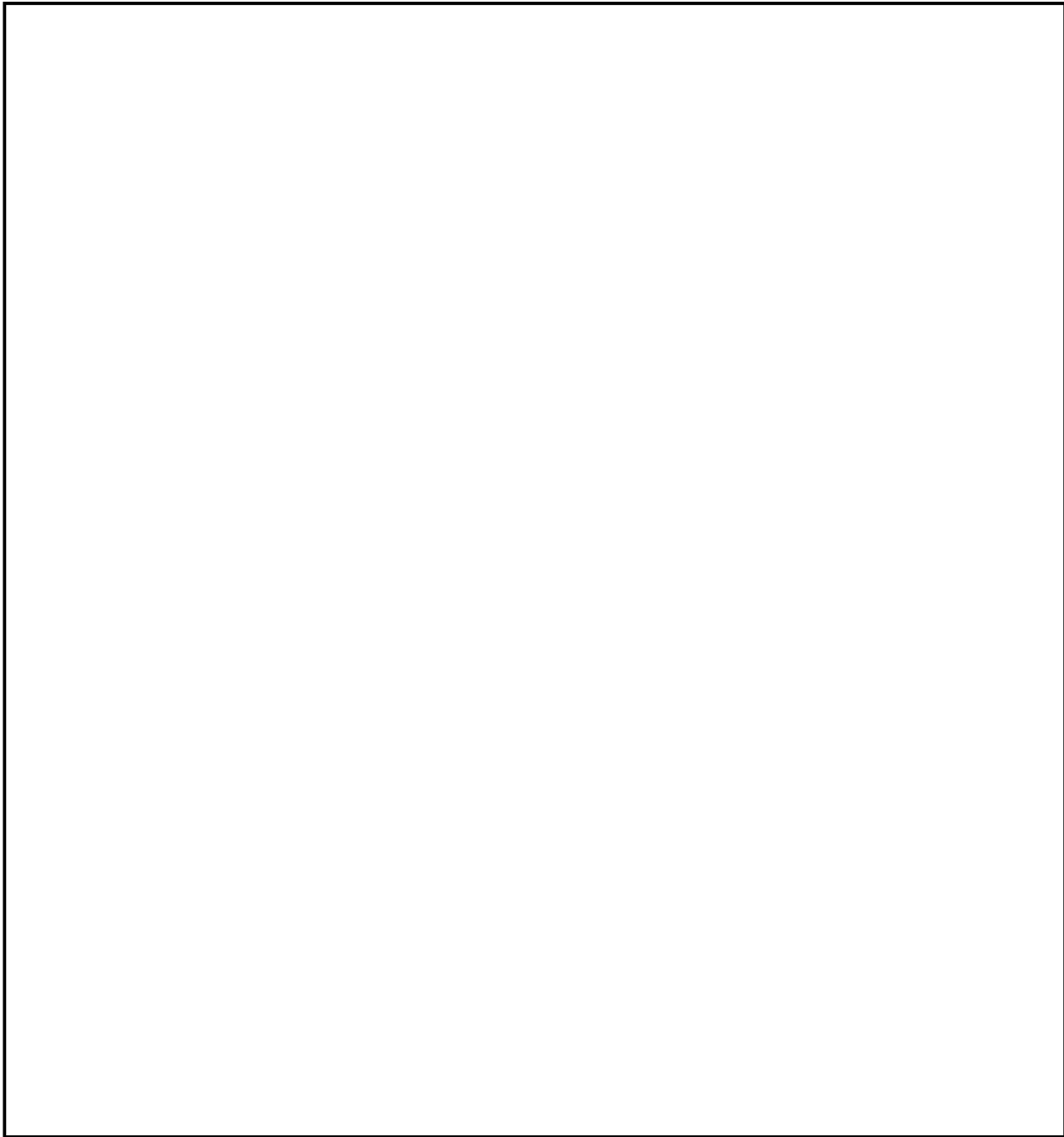
pat

b3 per ODNI  
b6 per FBI, ODNI  
b7C per FBI, ODNI

**From:** [Redacted] (OIG)  
**Sent:** Thursday, July 02, 2015 12:37 PM  
**To:** [Redacted]  
**Cc:** Kennedy, Patrick F; Linick, Steve A (OIG); [Redacted]  
[Redacted]  
(OIG)  
**Subject:** Follow Up from Yesterday's Meeting

[Redacted]

b6  
b7C



b5 per DOS

Thanks.

[Redacted]

Office of Inspector General

b6  
b7C

U.S. Department of State



b6  
b7C

~~SDU~~

This email is UNCLASSIFIED.

[Redacted]

b3 per ODNI  
b6 per FBI, ODNI  
b7C per FBI, ODNI

**From:** [Redacted]  
**Sent:** Monday, July 06, 2015 3:27 PM  
**To:** Jennifer L Hudson; [Redacted]  
**Cc:** [Redacted] (OIG); [Redacted]  
**Subject:** [AIN] RE: Request for assistance from IC FOIA Officials

b3 per ODNI

[Large Redacted Block]

Thanks Jennifer. Please advise if you require any further information from us.

[Redacted]

b6  
b7C

Please advise if you will begin to coordinate with Ms. Hudson, and other IC FOIA officials, on the additional assistance to identify IC equities. Also, advise if you need any additional information from us.

Cheers,

[Redacted]

b3 per ODNI  
b6 per ODNI  
b7C per ODNI

Office of the Inspector General of the Intelligence Community

[Redacted]

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b3 per ODNI  
b6 per FBI, ODNI  
b7C per FBI, ODNI

From: Jennifer L Hudson  
Sent: Monday, July 06, 2015 2:51 PM

To: [redacted]  
Cc: [redacted] (OIG)'; [redacted]

Subject: RE: Request for assistance from IC FOIA Officials

[redacted]

b3 per ODNI  
b6 per ODNI  
b7C per ODNI

We are willing to provide assistance to State in recognizing IC equities. At this point I know NSA and NGA are willing to help, and I believe CIA may also be willing to participate.

This would be to help State identify equities so that records could be referred through the normal process. I do not think that anyone intends to send officers down to State on a full-time basis to review and redact.

Jennifer

Jennifer Hudson  
Director, Information Management Division

ODNI/CIO

[redacted]

b3 per ODNI  
b6 per ODNI  
b7C per ODNI



b3 per ODNI  
b6 per FBI, ODNI  
b7C per FBI, ODNI

From: [REDACTED]  
Sent: Monday, July 06, 2015 2:12 PM  
To: Jennifer L Hudson  
Cc: [REDACTED] (OIG)'; [REDACTED]  
[REDACTED]  
Subject: Request for assistance from IC FOIA Officials

Greetings Jennifer,

I hope that you are well. As discussed, the IC IG recommended that State FOIA officials seek the assistance of IC FOIA officials for identifying IC equities in the current State FOIA review of over 30,000 emails provided by Former Secretary Clinton. As the ODNI FOIA official, we are seeking your assistance with this recommendation. Please advise if you are willing to provide such assistance to the State FOIA officials and if you are, can you reach out to other IC FOIA officials and ascertain if they too are willing to provide such assistance. We look forward to your response.

Thanks in advance,

[REDACTED]

b3 per ODNI  
b6 per ODNI  
b7C per ODNI

Office of the Inspector General of the Intelligence Community

[REDACTED]

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[Redacted]

b3 per ODNI  
b6 per FBI, ODNI  
b7C per FBI, ODNI

**From:** [Redacted]  
**Sent:** Monday, July 06, 2015 5:14 PM  
**To:** [Redacted] Jennifer L Hudson  
**Cc:** [Redacted] (OIG); [Redacted] (OIG); [Redacted]  
[Redacted] Grafeld, Margaret P; [Redacted]  
**Subject:** [AIN] RE: Request for assistance from IC FOIA Officials

b3 per ODNI

[Large Redacted Block]

[Redacted] This offer of assistance by the IC is something the IC OIG will need  
to work out with the Under Secretary for Management Patrick Kennedy. [Redacted]

b3 per ODNI  
b6 per FBI, ODNI  
b7C per FBI, ODNI

[Redacted]

b6  
b7C

Director  
Office of Information Programs and Services (IPS)  
Bureau of Administration  
Department of State  
SA-2, Room 5021  
Washington, DC 20520

[Redacted] (u)  
202-261-8590 (fax)

b6  
b7C

**From:** [Redacted]  
**Sent:** Monday, July 06, 2015 3:27 PM

b3 per ODNI  
b6 per ODNI  
b7C per ODNI

To: Jennifer L. Hudson; [redacted]  
Cc: [redacted] (OIG); [redacted] (OIG); [redacted]

Subject: RE: Request for assistance from IC FOIA Officials

b3 per ODNI  
b6 per FBI, ODNI  
b7C per FBI, ODNI

Thanks Jennifer. Please advise if you require any further information from us.

[redacted]

b6  
b7C

Please advise if you will begin to coordinate with Ms. Hudson, and other IC FOIA officials, on the additional assistance to identify IC equities. Also, advise if you need any additional information from us.

Cheers,

[redacted]

b3 per ODNI  
b6 per ODNI  
b7C per ODNI

Office of the Inspector General of the Intelligence Community

[redacted]

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b3 per ODNI  
b6 per FBI, ODNI  
b7C per FBI, ODNI

From: Jennifer L Hudson  
Sent: Monday, July 06, 2015 2:51 PM

To: [redacted]  
Cc: [redacted] (OIG)'; [redacted]  
[redacted]

Subject: RE: Request for assistance from IC FOIA Officials

[redacted]

b3 per ODNI  
b6 per ODNI  
b7C per ODNI

We are willing to provide assistance to State in recognizing IC equities. At this point I know NSA and NGA are willing to help, and I believe CIA may also be willing to participate.

This would be to help State identify equities so that records could be referred through the normal process. I do not think that anyone intends to send officers down to State on a full-time basis to review and redact.

Jennifer

Jennifer Hudson

Director, Information Management Division

ODNI/CIO

[redacted]

b3 per ODNI  
b6 per ODNI  
b7C per ODNI

From: [redacted]  
Sent: Monday, July 06, 2015 2:12 PM

To: Jennifer L Hudson  
Cc: [redacted] (OIG)'; [redacted]  
[redacted]

Subject: Request for assistance from IC FOIA Officials

Greetings Jennifer,

I hope that you are well. As discussed, the IC IG recommended that State FOIA officials seek the assistance of IC FOIA officials for identifying IC equities in the current State FOIA review of over 30,000 emails provided by Former Secretary Clinton. As the ODNI FOIA official, we are seeking your assistance with this recommendation. Please advise if you are willing to

provide such assistance to the State FOIA officials and if you are, can you reach out to other IC FOIA officials and ascertain if they too are willing to provide such assistance. We look forward to your response.

Thanks in advance,



b3 per ODNI  
b6 per ODNI  
b7C per ODNI

Office of the Inspector General of the Intelligence Community



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[Redacted]

b3 per ODNI  
b6 per FBI, ODNI  
b7C per FBI, ODNI

**From:** Linick, Steve A (OIG) <linicksa@state.gov>  
**Sent:** Monday, July 06, 2015 6:40 PM  
**To:** Kennedy, Patrick F  
**Cc:** Chuck Mccullough

[Redacted]  
[Redacted]  
[Redacted] (OIG) [Redacted]

**Subject:** [AIN] FW: Follow Up from Yesterday's Meeting

b3 per ODNI

[Large Redacted Block]

Pat,

Concerning Item #1, as reflected in the email immediately below from Jennifer Hudson that I have copied into this email, ODNI has been gathering IC resources to support the review. I urge you to contact Jennifer, directly, to facilitate ODNI's offered assistance.

Please contact me at your earliest convenience on the results, and whether you will be providing a memorandum supplementing your June 19, 2015 response.

Steve

**From:** Jennifer L Hudson [Redacted]

b3 per ODNI  
b6 per FBI, ODNI  
b7C per FBI, ODNI

**Sent:** Monday, July 06, 2015 2:51 PM

**To:** [Redacted]  
**Cc:** [Redacted] (OIG); [Redacted] (OIG); [Redacted]

**Subject:** RE: Request for assistance from IC FOIA Officials

[Redacted]

b3 per ODNI  
b6 per ODNI  
b7C per ODNI

We are willing to provide assistance to State in recognizing IC equities. At this point I know NSA and NGA are willing to help, and I believe CIA may also be willing to participate.

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Jennifer

Jennifer Hudson  
Director, Information Management Division  
ODNI/CIO

[Redacted]

b3 per ODNI  
b6 per ODNI  
b7C per ODNI

~~SBU~~

This email is UNCLASSIFIED.

From: Kennedy, Patrick F  
Sent: Thursday, July 02, 2015 8:12 PM  
To: [Redacted] (OIG); [Redacted]  
Cc: Linick, Steve A (OIG); [Redacted]

b3 per ODNI  
b6 per FBI, ODNI  
b7C per FBI, ODNI

[Redacted]

Subject: RE: Follow Up from Yesterday's Meeting

[Redacted]

b6  
b7C

[Redacted]

b5 per DOS



b5 per DOS

Regards

pat

From: [redacted] (OIG)  
Sent: Thursday, July 02, 2015 12:37 PM  
To: [redacted]  
Cc: Kennedy, Patrick F; Linick, Steve A (OIG); [redacted]  
[redacted]  
); [redacted] (OIG)  
Subject: Follow Up from Yesterday's Meeting

b3 per ODNI  
b6 per FBI, ODNI  
b7C per FBI, ODNI

b6  
b7C

b5 per DOS

b5 per DOS

Thanks.

[Redacted]

Office of Inspector General  
U.S. Department of State

b6  
b7C

[Redacted]

~~SBU~~

This email is UNCLASSIFIED.

[Redacted]

b3 per ODNI  
b6 per FBI, ODNI  
b7C per FBI, ODNI

**From:** Kennedy, Patrick F <KennedyPF@state.gov>  
**Sent:** Tuesday, July 07, 2015 8:47 AM  
**To:** Linick, Steve A (OIG)  
**Cc:** [Redacted] (OIG); [Redacted]  
[Redacted] (OIG); [Redacted]  
**Subject:** [AIN] Re: Follow Up from Yesterday's Meeting

b3 per ODNI

[Large Redacted Block]

Steve

Jennifer has reached out directly to the Department's FOIA officer to say she is in discussion with other IC elements.

[Large Redacted Block]

b5 per DOS

Regards  
Pat

**From:** Linick, Steve A (OIG)  
**Sent:** Tuesday, July 07, 2015 12:39 AM  
**To:** Kennedy, Patrick F  
**Cc:** Chuck Mccullough [Redacted]; [Redacted]  
(OIG); [Redacted]; [Redacted]  
[Redacted]; [Redacted]  
(OIG); [Redacted]  
**Subject:** FW: Follow Up from Yesterday's Meeting

b3 per ODNI  
b6 per FBI, ODNI  
b7C per FBI, ODNI

Pat,

Concerning Item #1, as reflected in the email immediately below from Jennifer Hudson that I have copied into this email, ODNI has been gathering IC resources to support the review. I urge you to contact Jennifer, directly, to facilitate ODNI's offered assistance.

Please contact me at your earliest convenience on the results, and whether you will be providing a memorandum supplementing your June 19, 2015 response.

Steve

From: Jennifer L Hudson [redacted]

b3 per ODNI  
b6 per FBI, ODNI  
b7C per FBI, ODNI

Sent: Monday, July 06, 2015 2:51 PM

To: [redacted]

Cc: [redacted] (OIG); [redacted] (OIG); [redacted]

Subject: RE: Request for assistance from IC FOIA Officials

[redacted]

b3 per ODNI  
b6 per ODNI  
b7C per ODNI

We are willing to provide assistance to State in recognizing IC equities. At this point I know NSA and NGA are willing to help, and I believe CIA may also be willing to participate.

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Jennifer

Jennifer Hudson

Director, Information Management Division

ODNI/CIO

[redacted]

b3 per ODNI  
b6 per FBI, ODNI  
b7C per FBI, ODNI

~~SBU~~

This email is UNCLASSIFIED.

From: Kennedy, Patrick F  
Sent: Thursday, July 02, 2015 8:12 PM  
To: [redacted] (OIG); [redacted]  
Cc: Linick, Steve A (OIG); [redacted]

b3 per ODNI  
b6 per FBI, ODNI  
b7C per FBI, ODNI

[redacted]

Subject: RE: Follow Up from Yesterday's Meeting

[redacted]

b6  
b7C

[redacted]

b5 per DOS

Regards

pat

From: [redacted] (OIG)  
Sent: Thursday, July 02, 2015 12:37 PM  
To: [redacted]  
Cc: Kennedy, Patrick F; Linick, Steve A (OIG); [redacted]

b3 per ODNI  
b6 per FBI, ODNI  
b7C per FBI, ODNI

[redacted]

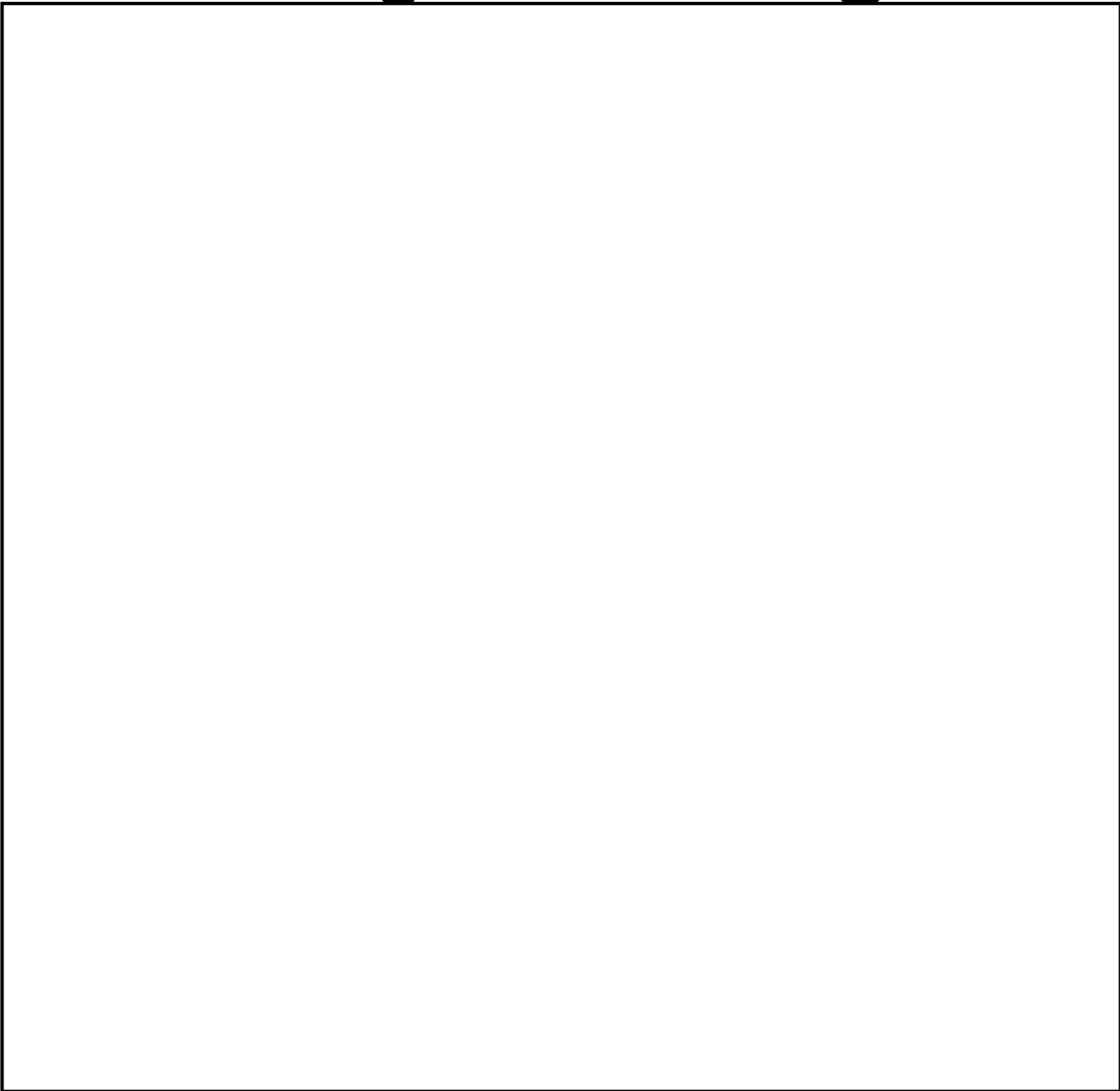
) [redacted] (OIG)  
Subject: Follow Up from Yesterday's Meeting

[redacted]

b6  
b7C

[redacted]

b5 per DOS



Thanks.



Office of Inspector General

U.S. Department of State

b6  
b7C



b6  
b7C

~~SPU~~

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**OIG** Office of Inspector General  
U.S. Department of State • Broadcasting Board of Governors

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DATE 11-08-2016 BY J37J85T94 NSICG

UNCLASSIFIED

July 10, 2015

**MEMORANDUM FOR UNDER SECRETARY KENNEDY**

FROM: Steve A. Linick, Inspector General, Department of State  
*ICM* Charles McCullough, III, Inspector General, Intelligence Community

SUBJECT: Request for Electronic Copy of Former Secretary Clinton's Emails

As you know, the Office of the Inspector General (OIG) is currently reviewing the use of personal communications hardware and software by five Secretaries of State and their immediate staffs, and is receiving assistance from the Office of the Inspector General of the Intelligence Community (ICIG).

During our July 1, 2015, meeting with you and [redacted] at the ICIG [redacted] advised us that the Department has obtained from Secretary Clinton's counsel an electronic copy of the 55,000 pages of emails produced by Secretary Clinton in hard copy to the Department in December 4, 2014. As further background, on June 24, 2015, Secretary Clinton's counsel sent OIG a letter, which was shared with you the following day, advising that her counsel retained "a preservation copy of the .pst file containing the electronic copies of those e-mails, on a thumb drive that is stored in a secured safe" in counsel's offices. OIG now requests that you provide to OIG two copies of this .pst file, for use by OIG and ICIG.

b6  
b7c

If you or your colleagues have any questions, please contact [redacted]  
[redacted]

b6  
b7c

cc: D-MR - Heather A. Higginbottom



United States Department of State

*Under Secretary of State  
for Management*

*Washington, D.C. 20520*

**UNCLASSIFIED**  
**MEMORANDUM**

July 22, 2015

TO:           OIG – Steve A. Linick

FROM:        M – Patrick F. Kennedy *PKC*

SUBJECT:    Your Request for Electronic Copy of Former Secretary Clinton's  
              Emails

This memorandum is in response to your July 10, 2015, memorandum in which you ask that the Department provide to OIG and to the Inspector General for the Intelligence Community (ICIG) .pst files containing all of the emails that Secretary Clinton produced to the Department in hard copy in December 2014.

First, to clarify, [ ] told [ ] that the Department has requested Secretary Clinton's counsel to provide an electronic copy of the emails that were produced. [ ] also advised that, while Secretary Clinton's counsel agreed to do so, we had not yet received – nor have we since received – such a copy.

b6  
b7c

As you know, the Bureau of Administration (A) has, scanned and loaded the emails in a .pdf file into a system on CLASSNET for record keeping purposes and the ongoing Freedom of Information Act (FOIA) review of this material, as well as to fulfill other FOIA requests.

In the course of the current review, your staff has been given access to those electronic records on CLASSNET, including the ability to conduct searches on particular segments. This electronic access can be extended to the entire body of material via CLASSNET if you wish.

Given the intense public interest in and the sensitivity of the former Secretary's emails, we would have serious concerns about providing the emails outside of the Department in a removable/transferrable medium. Moreover, we have concerns about providing any such removable copy to the ICIG for the simple reason that much of what is contained in Secretary Clinton's emails relate to the programs and operations of the Department of State and not to programs and

**UNCLASSIFIED**

HRC-422

UNCLASSIFIED

2

operations over which the ICIG has oversight responsibilities. See Inspector General Act §2; *et seq.* For example, there are numerous emails relating to deliberations and decisions regarding the appointment of individuals to positions at the Department of State. It seems beyond the scope of the ICIG's authority to review such emails and could be an unnecessary invasion of the privacy of the individuals being considered. Similarly, the emails include information, much of it deliberative, concerning a broad range of Department programs and operations that cannot reasonably be said to implicate IC equities.

At the invitation of the A Bureau, IC FOIA reviewers are reviewing emails and identifying those emails that might implicate IC equities. Copies of any such emails are then being provided to the IC for its review. The ICIG can obtain those emails from the IC entities for which it has oversight responsibility, or, if you wish, we can identify those emails to your office so that you can work with the ICIG.

cc: The Deputy Secretary for Management and Resources

UNCLASSIFIED

HRC-423



United States Department of State  
Washington, D.C. 20520

~~SENSITIVE BUT UNCLASSIFIED~~  
(NOT SENSITIVE WHEN SEPARATED FROM ATTACHMENT)

Case No. F-2015-04841  
Segment: H-0003  
Requester [redacted]

b6 per FBI, DOS  
b7C per FBI

TO: [redacted]  
Record/Information Dissemination Section  
Records Management Division  
Federal Bureau of Investigation  
Washington, DC 20535

b6  
b7C

FROM: [redacted] *SBH*  
Office of Information Programs and Services

b6  
b7C

SUBJECT: FOIA Referral for Consultation

The attached Department of State material requested in the above FOIA case appears also to be of interest to your agency, and we are therefore referring it to you for consultation. In view of the strong public interest in this matter, we would appreciate your reply no later than close of business on Tuesday, April 7, 2015.

The documents consist of emails sent to and/or from former Secretary of State Clinton on her private email account. For your information, these emails have already been provided to Congress in redacted form; the Department coordinated with [redacted] from the Department of Justice on that effort. We have duplicated those redactions, and have made some additional redactions under the FOIA which we believe are warranted for wider distribution.

b6  
b7C

Please be advised that it is our intention to post all released material on the State Department's FOIA website.

~~SENSITIVE BUT UNCLASSIFIED~~  
(NOT SENSITIVE WHEN SEPARATED FROM ATTACHMENT)

~~SENSITIVE BUT UNCLASSIFIED~~  
(NOT SENSITIVE WHEN SEPARATED FROM ATTACHMENT)

- 2 -

Our preliminary determinations are noted on each document. Portions for withholding (if any) are as indicated, and the exemptions are noted in the margin. Where we have requested concurrent review by other agencies, the names of those agencies are also shown on each document.

Before we take final action, we ask that you review this material. We ask that you not mark for deletion any portion of any document on non-responsive grounds.

Please address your reply to [redacted] and  
[redacted] They can also be reached at  
[redacted]

b6  
b7C

Should you receive any inquiries about this collection, please refer them directly to us for response. Additionally, do not hesitate to contact us with any questions.

Attachments:

- One document
- Copy of request letter

~~SENSITIVE BUT UNCLASSIFIED~~  
(NOT SENSITIVE WHEN SEPARATED FROM ATTACHMENT)

F-2015-04891

Brothers, Karen G

From: [Redacted]  
Sent: Tuesday, March 03, 2015 1:50 PM  
To: FOIA Request  
Subject: Freedom of Information Request: Hillary Clinton's Email Address

b6 per DOS

March 3, 2015  
Department of State  
Office of Information Programs and Services  
A/GIS/IPS/RL  
U. S. Department of State  
Washington, D. C. 20522-8100

To Whom It May Concern:

This is a request under the Freedom of Information Act. I hereby request the following records:

Former Secretary Hillary Clinton's email address used to email State Department officials. Referenced here:  
<http://redirect.state.sbu/?url=http://www.wjla.com/articles/2015/03/hillary-clinton-s-personal-email-use-may-have-violated-federal-requirements-report-111962.html>

"After the State Department reviewed those emails, last month the State Department produced about 300 emails responsive to recent requests from the Select Committee."

The requested documents will be made available to the general public free of charge as part of the public information service at MuckRock.com, and is not being made for commercial usage.

In the event that fees cannot be waived, I would be grateful if you would inform me of the total charges in advance of fulfilling my request. I would prefer the request filled electronically, by e-mail attachment if available or CD-ROM if not.

Thank you in advance for your anticipated cooperation in this matter. I look forward to receiving your response to this request within 20 business days, as the statute requires.

Sincerely,

[Redacted Signature]

b6 per DOS

Filed via MuckRock.com  
E-mail (Preferred)

[Redacted Email Address]

For mailed responses, please address (see note):

[Redacted Mailing Address]

b6 per DOS

C05739808

STATE DEPT. - PRODUCED TO HOUSE SELECT BENGHAZI COMM.  
SUBJECT TO AGREEMENT ON SENSITIVE INFORMATION & REDACTIONS. NO FOIA WAIVER.

**CONSULT FBI**

**RELEASE IN FULL**

**From:** Sullivan, Jacob J <SullivanJJ@state.gov>  
**Sent:** Sunday, November 18, 2012 8:44 PM  
**To:** H  
**Subject:** Fw: FYI - Report of arrests -- possible Benghazi connection

Fyi

**From:** Jones, Beth E  
**Sent:** Sunday, November 18, 2012 07:14 PM  
**To:** [redacted] Sullivan, Jacob J  
**Cc:** [redacted]  
**Subject:** Fw: FYI - Report of arrests -- possible Benghazi connection

b6  
b7C

This preliminary, but very interesting, pls see below. FBI in Tripoli is fully involved.

**From:** [redacted]  
**Sent:** Sunday, November 18, 2012 07:01 PM  
**To:** Jones, Beth E; Maxwell, Raymond D; [redacted]  
**Subject:** FYI - Report of arrests -- possible Benghazi connection

b6  
b7C

Post reports that Libyans police have arrested several people today who may/may have some connection to the Benghazi attack. They were acting on information furnished by DS/RSO. [redacted]

b1 per DOS, FBI  
b3 per FBI  
b7A per DOS  
b7D per DOS  
b7E per DOS

[redacted]

(S) [redacted] Overall, this could lead to something operationally, or not, and it could lead to news accounts from Libya saying there is a significant break in the case, or not.

At this point, just FYI.

[redacted]

b6  
b7C

Department of State

[redacted]

FBI INFO  
CLASSIFIED BY: NSICG J37J85T94  
REASON: 1.4 (C)  
DECLASSIFY ON: 12-31-2037  
DATE: 11-07-2016

**CONSULT FBI**

**RELEASE IN FULL**

**From:** Sullivan, Jacob J <SullivanJJ@state.gov>  
**Sent:** Sunday, November 18, 2012 8:44 PM  
**To:** H  
**Subject:** Fw: FYI - Report of arrests -- possible Benghazi connection

FBI INFO  
CLASSIFIED BY: NSICG J37J85T94  
REASON: 1.4 (C)  
DECLASSIFY ON: 12-31-2037  
DATE: 11-07-2016

Fyi

**From:** Jones, Beth E  
**Sent:** Sunday, November 18, 2012 07:14 PM  
**To:** [redacted] Sullivan, Jacob J  
**Cc:** [redacted]  
**Subject:** Fw: FYI - Report of arrests -- possible Benghazi connection

b6  
b7C

This preliminary, but very interesting, pls see below. FBI in Tripoli is fully involved.

b1 per DOS, FBI  
b3 per FBI  
b6 per FBI  
b7C per FBI  
b7A per DOS  
b7D per DOS  
b7E per DOS

**From:** [redacted]  
**Sent:** Sunday, November 18, 2012 07:01 PM  
**To:** Jones, Beth E; Maxwell, Raymond D [redacted]  
**Subject:** FYI - Report of arrests -- possible Benghazi connection

Post reports that Libyans police have arrested several people today who may/may have some connection to the Benghazi attack. They were acting on information furnished by DS/RSO [redacted]

b7c

b7a  
b7e

b1  
b7d

(S) [redacted] Overall, this could lead to something operationally, or not, and it could lead to news accounts from Libya saying there is a significant break in the case, or not.

At this point, just FYI.

[redacted]

b6  
b7C

Department of State

[redacted]

Classification: ~~SECRET//NOFORN~~ (U)

~~Classified By: J23J98T32  
Derived From: FBI NSIC, dated 20120629  
Declassify On: 20401231~~

-----  
TRANSITORY RECORD

FYI.

**From:** [redacted] (RMD) (FBI)  
**Sent:** Thursday, April 09, 2015, 11:18 AM  
**To:** [redacted]  
**Cc:** [redacted] (RMD) (FBI)  
**Subject:** Coordination Review - Segment H-3 --- ~~SECRET//NOFORN~~  
**Importance:** High

b6  
b7C

Classification: ~~SECRET//NOFORN~~ (U)

~~Classified By: J23J98T32  
Derived From: FBI NSIC, dated 20120629  
Declassify On: 20401231~~

-----  
TRANSITORY RECORD

[redacted]

b6  
b7C

Here is our response. I recommend you go ahead and classify the forwarding email to us in the future in case we determine there is classified information. This marking was approved by the CTD front office. The first bracket starts with [redacted] the second bracket starts with [redacted], the third bracket, [redacted] The b1 marking is ~~SECRET//NOFORN~~.

b1 per DOS, FBI  
b3 per FBI



05739808 - Work  
- CTD Redacti...

[redacted]

b6  
b7C

-----  
Classification: ~~SECRET//NOFORN~~



=====  
Classification: ~~SECRET//NOFORN~~

=====  
Classification: ~~SECRET//NOFORN~~

=====  
Classification: ~~SECRET//NOFORN~~

8/12/15  
Serial: 24

b6  
b7c

HRC-488



U.S. Department of Homeland Security  
**UNITED STATES SECRET SERVICE**

Washington, D.C. 20223

August 6, 2015

Charles H. Kable IV  
Section Chief  
Counterintelligence Division  
Federal Bureau of Investigation  
Washington, DC 20535-0001

Re: Request for Preservation of Records dated July 31, 2015

Dear Mr. Kable:

Reference is made to your formal request for the preservation of all records and other evidence in the possession of the United States Secret Service ("Secret Service"), or in the possession of Hillary Clinton, former Secretary of State, regarding the domain account @clintonemail.com.

Your record preservation request seeks items from an individual referred to as "your client." To the extent the word "client" refers to the Secret Service's protectee, Hillary Clinton, the Secret Service could not lawfully take any item in the possession of a protectee absent a warrant for that item. Additionally, we are not aware that we are otherwise in possession of any such items.

With respect to items or records in the possession of the Secret Service, we have previously searched and are in the process of conducting an additional search for Agency records responsive to a Freedom of Information Act (FOIA) request that is similar in nature to your request for records preservation. That FOIA request is now the subject of litigation in the United States District Court for the District of Columbia, Judicial Watch v. United States Secret Service, Civil Action No. 1:15-cv-00673-JDB. In that case, Plaintiff Judicial Watch filed a FOIA request for "[a]ny and all records of communication between the United States Secret Service and Hillary Rodham Clinton concerning, regarding, or relating to creation, setup, security, and maintenance of the clintonemail.com email server."

The Secret Service performed the following search for responsive documents in the relevant directorates. The Office of Technical Development and Mission Support, Information Resources Management Division (IRMD) responded as follows: "IRMD does not create, setup, monitor, or maintain non-government email servers." Accordingly, there was no search that would be reasonably calculated to locate responsive records.

The Office of Protective Operations conducted a search where the Assistant Director, the Deputy Assistant Director, and the Supervisory Staff Specialist searched desktop files and the office shared drive for any responsive documents. This search included official e-mail as well as their individual e-mail. Further, the Deputy Special Agent in Charge (DSAIC) of the Clinton Division searched his desktop and the office shared drive with the search terms "email server" and "Clinton server." This search included official e-mail as well as his individual e-mail using

the search terms "email server" and "Clinton server." The DSAIC also searched the file cabinets and drawers of the Clinton Division (the paper files) for any responsive documents.

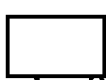
These searches did not reveal any responsive documents. The Department of Justice attorney handling the litigation, Andrew Carmichael, then spoke to Plaintiff's representative regarding what other searches Plaintiff would like the Secret Service to perform in an effort to resolve the litigation. After discussion, it was agreed that the Secret Service would perform an additional search. This search is for e-mails from anyone assigned to the Clinton detail from January 1, 2009, through and including January 31, 2009 that contain any of the following terms: email server, clinton server, and/or clintonemail.com. This search is being conducted and is expected to be completed in approximately two weeks.

The Secret Service is not authorized, nor does it receive appropriations, to establish personal e-mail servers for anyone, including protectees. Notwithstanding, the Secret Service will send out a preservation request for the Agency records listed in your correspondence of July 31, 2015 which are in the possession of the Secret Service. As your request does not include a time frame, we have adopted a January 1, 2009 timeframe to the present as the relevant timeframe for preservation.

Sincerely,



Donna L. Cahill  
Chief Counsel

  
8/12/15  
serial: 25

b6  
b7C

HRC-491



U.S. Department of Justice

National Security Division

Washington, D.C. 20530

David E. Kendall, Esq.  
Williams & Connolly LLP  
725 Twelfth Street, N.W.  
Washington, DC 20005

[Redacted]

Minor & Brown PC  
650 South Cherry Street, Plaza II  
Suite 1100  
Denver, CO 80246

b6  
b7C

August 7, 2015

Dear Mr. Kendall and Ms. [Redacted]

b6  
b7C

This letter confirms your intent to voluntarily produce to the Federal Bureau of Investigation ("FBI") a server and related equipment (collectively, "the server equipment") on which emails to or from Secretary Clinton's @clintonemail.com account were stored from 2009 to 2013 and which Platte River Networks took possession of in 2013. As it gathers more facts, the FBI reserves the right to request further information and/or equipment from Platte River Networks.

On July 30, 2015, the FBI delivered to Platte River Networks a letter requesting that it preserve records related to the @clintonemail.com account. We understand that Platte River Networks, through Williams & Connolly LLP, also received other requests to preserve records related to the @clintonemail.com account, including a July 29, 2015 preservation letter from Senator Ron Johnson, Chairman, United States Senate Committee on Homeland Security and Governmental Affairs; a May 26, 2015 preservation letter from Steve A. Linick, Inspector General, Department of State and I. Charles McCullough, III, Inspector General, Intelligence Community; and a March 3, 2015 preservation letter from Representative Trey Gowdy, Chairman, House of Representatives Select Committee on Benghazi.

Once taking custody, the FBI will maintain the server equipment in an appropriately secure manner. We believe such maintenance satisfies any preservation obligations you may have.

Sincerely

[Redacted Signature]

b6  
b7C



U.S. Department of Justice

National Security Division

Washington, D.C. 20530

David E. Kendall, Esq.  
Williams & Connolly LLP  
725 Twelfth Street, N.W.  
Washington, DC 20005

[Redacted]

Minor & Brown PC  
650 South Cherry Street, Plaza II  
Suite 1100  
Denver, CO 80246

b6  
b7C

August 7, 2015

Dear Mr. Kendall and Ms. [Redacted]

b6  
b7C

This letter confirms your intent to voluntarily produce to the Federal Bureau of Investigation ("FBI") a server and related equipment (collectively, "the server equipment") on which emails to or from Secretary Clinton's @clintonemail.com account were stored. It is our understanding that the server equipment constitutes the entirety of Platte River Network's possession of equipment related to the @clintonemail.com account. If this understanding is incorrect, or if you learn of additional equipment related to the @clintonemail.com account, please notify me promptly.

On July 30, 2015, the FBI delivered to Platte River Networks a letter requesting that it preserve records related to the @clintonemail.com account. We understand that Platte River Networks, through Williams & Connolly LLP, also received other requests to preserve records related to the @clintonemail.com account, including a July 29, 2015 preservation letter from Senator Ron Johnson, Chairman, United States Senate Committee on Homeland Security and Governmental Affairs; a May 26, 2015 preservation letter from Steve A. Linick, Inspector General, Department of State and I. Charles McCullough, III, Inspector General, Intelligence Community; and a March 3, 2015 preservation letter from Representative Trey Gowdy, Chairman, House of Representatives Select Committee on Benghazi.

Once taking custody, the FBI will maintain the server equipment in an appropriately secure manner. We believe such maintenance satisfies any preservation obligations you may have.

Sincerely,

[Redacted Signature]

b6  
b7C

8/12/15  
Serial: 26

b6  
b7C

HRC-494





U.S. Department of Justice

National Security Division

Washington, D.C. 20530

David E. Kendall, Esq.  
Katherine M. Turner, Esq.  
Williams & Connolly LLP  
725 Twelfth Street, N.W.  
Washington, DC 20005

August 6, 2015

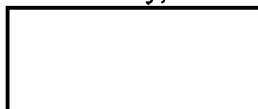
Dear Mr. Kendall and Ms. Turner,

This letter confirms your intent to voluntarily produce to the Federal Bureau of Investigation ("FBI") three thumb drives (which you have represented to be one original and two copies thereof) each purportedly containing 30,490 emails to or from Secretary Clinton's @clintonemail.com account that you previously provided in hard copy to the Department of State in December 2014, as well as hard copies and a laptop computer in your possession containing emails to or from the @clintonemail.com account. It is our understanding that the items described above (hereinafter "the Materials") constitute the entirety of your client's and your possession of emails to or from the @clintonemail.com account, aside from six additional laptop computers we previously discussed by phone. It is also our understanding that those six laptop computers contain emails to or from the @clintonemail.com account; however, in addition to the emails, the laptop computers also contain privileged material. As such, we will work with you to appropriately secure the emails contained on those laptop computers. If any of these understandings are incorrect, or if you find any additional emails to or from the @clintonemail.com account, please notify me promptly.

On July 31, 2015, the FBI delivered to Williams & Connolly a letter requesting that you preserve records related to the @clintonemail.com account. We understand that you have also received other requests to preserve records related to the @clintonemail.com account, including a July 29, 2015 preservation letter from Senator Ron Johnson, Chairman, United States Senate Committee on Homeland Security and Governmental Affairs; a May 26, 2015 preservation letter from Steve A. Linick, Inspector General, Department of State and I. Charles McCullough, III, Inspector General, Intelligence Community; and a March 3, 2015 preservation letter from Representative Trey Gowdy, Chairman, House of Representatives Select Committee on Benghazi. You have also represented that you will require continued access to the Materials on behalf of your client.

Once taking custody, the FBI will maintain the Materials in an appropriately secure manner. We believe such maintenance satisfies any preservation obligations you may have. We will facilitate your continued access to the Materials as may be necessary and appropriate in a secure space at the Litigation Security Group, Department of Justice, at 145 N Street NE, Washington, DC 20002.

Sincerely,



b6  
b7c

HRC-495

~~SECRET//NOFORN~~

FEDERAL BUREAU OF INVESTIGATION

(U) Title: ~~(S//NF)~~ MIDYEAR EXAM;  
MISHANDLING OF CLASSIFIED;  
UNKNOWN SUBJECT OR COUNTRY;  
SENSITIVE INVESTIGATIVE MATTER (SIM)

Date: 08/04/2015

From: Counterintelligence Division  
Counterespionage Section

Contact: ASC [redacted]

Approved By: Kable Charles H. *[Signature]*

b6  
b7C

Drafted By: [redacted]

Case ID #: (U) [redacted] Serial 33

b3  
b7E

(U) Synopsis: ~~(S//NF)~~ Meeting with Intelligence Community Inspector General (ICIG), Charles McCullough III on July 10, 2015.

Enclosure(s): (U) Section 811(c) referral dated July 6, 2015, various correspondence between ICIG and Congressional committees, samples of e-mails, and original meeting notes.

(U) Details: ~~(S//NF)~~ On July 10, 2015 SC Charles H. Kable IV, ASC [redacted] NLSB Attorney [redacted] and ASC [redacted] met with ICIG Charles McCullough III and General Counsel [redacted] regarding their Section 811(c) referral, dated July 6, 2015.

b6  
b7C

(U) ~~(S//NF)~~ The ICIG was asked by Congress to assess the FOIA process the Department of State (DOS) was using to review Hillary Clinton's e-mails for classified information before the e-mails were publicly disseminated. As part of this review, the ICIG's office reviewed 296 e-mails released under FIOA by DOS. Two of these e-mails were assessed by the USIC to contain classified information. McCullough assigned [redacted] an expert in classification review, to this project.

b3 per ODNI  
b6 per ODNI  
b7C per ODNI

(U) ~~(S//NF)~~ According to McCullough [redacted] pulled 40 additional e-mails from the main set of approximately 30,000 e-mails that were handed over to DOS by Hillary Clinton and found four additional classified e-mails. The ultimate classification determinations for these e-mails were conducted by the USIC. On July 9, 2015 McCullough formally requested, jointly with the DOS IG Steve Linick, copies of these approximately 30,000 e-mails from DOS Under Secretary of Management, Patrick Kennedy. The law office of Williams & Connolly, Clinton's attorneys, currently have possession of the .PST files stored on thumb drives created from her original e-mail server. This server was managed by Platte River Networks and is being held in an unknown location.

~~SECRET//NOFORN~~

~~SECRET//NOFORN~~

To: Counterintelligence Division      From: Counterespionage Section  
Re: (U)

b3  
b7E

(U) ~~(S//NF)~~ McCullough provided copies of correspondence between his office and DOS IG Linick, copies of the e-mails containing classified information and related research, as well as correspondence between ICIG McCullough and members of Congress involved in the oversight of the ICIG.

◆◆

~~SECRET//NOFORN~~

HRC-505

8/26/15  
Serial 38

b6  
b7c



## U. S. Department of Justice

Federal Bureau of Investigation

---

**In Reply, Please Refer to  
File No.**

Washington, DC 20535  
August 13, 2015

I. Charles McCullough III  
Office of the Inspector General of the Intelligence Community  
12290 Sunrise Valley Drive  
Reston, 3, 2nd Floor  
Washington, DC 20505

Dear Mr. McCullough:

Please find enclosed copies of electronic media voluntarily produced to the Federal Bureau of Investigation on August 6, 2015 by Kathleen M. Turner, Esquire of Williams & Connolly, LLP. The FBI understands that these materials may be relevant to the inquiry your office is conducting pursuant to your statutory authorities under the National Security Act, as amended (50 U.S.C. 3033), regarding allegations that classified information may reside on unclassified, non-government networks and/or may have been transmitted via personal email accounts.

Based upon preliminary information available to the FBI, this material should be handled in accordance with all policies and procedures governing the handling and storage of material classified at the Top Secret//SCI level. Additionally, this material, including any associated metadata, is being provided for the sole use of your office for purposes of the inquiry identified above. Please do not copy or distribute the media produced or its content to anyone outside of your office, or make other disclosures about the media, without first obtaining

Page 1 of 2

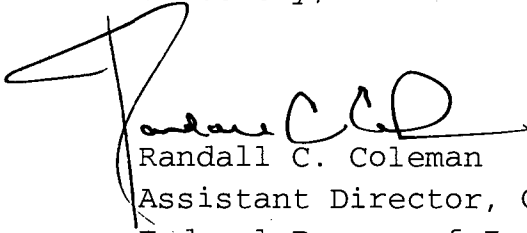
This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

the written authorization of the Assistant Director, Counterintelligence Division, of the FBI, except as required to conduct your inquiry, such as by referring specific documents to Executive Branch agencies for purposes of obtaining a classification review.

In the event you have any questions regarding the appropriate handling of this media or the use your office can make of it, please do not hesitate to contact me at

b6  
b7C

Sincerely,



Randall C. Coleman

Assistant Director, Counterintelligence Division  
Federal Bureau of Investigation

C05739708

STATE DEPT. - PRODUCED TO HOUSE SELECT BENGHAZI COMM.  
SUBJECT TO AGREEMENT ON SENSITIVE INFORMATION & REDACTIONS. NO FOIA WAIVER.

RELEASE IN  
FULL

**From:** H <hrod17@clintonemail.com>  
**Sent:** Sunday, September 16, 2012 12:48 PM  
**To:** [REDACTED]  
**Subject:** Re: Visas Obtained

b6  
b7C

As planned....

----- Original Message -----

**From:** Mills, Cheryl D [mailto:[REDACTED]]  
**Sent:** Sunday, September 16, 2012 12:45 PM  
**To:** H  
**Subject:** FW: Visas Obtained

b6  
b7C

----- Original Message -----

**From:** Jones, Beth E  
**Sent:** Sunday, September 16, 2012 12:46 PM  
**To:** Kennedy, Patrick F; Mills, Cheryl D; [REDACTED] Sullivan, Jacob J; [REDACTED]  
**Subject:** FW: Visas Obtained

b6  
b7C

FBI got their visas for Libya today: Five members of team and three crew members. Legatt was counted separately and already has visa. The FBI team is Enroute tonight, I am told.

C05739758

STATE DEPT. - PRODUCED TO HOUSE SELECT BENGHAZI COMM.  
SUBJECT TO AGREEMENT ON SENSITIVE INFORMATION & REDACTIONS. NO FOIA WAIVER.

CLEAR WITH NEA

RELEASE IN PART  
B7(C),B7(A)

From: Mills, Cheryl D [redacted] b6 per FBI  
 Sent: Thursday, October 18, 2012 8:06 AM b7A per DOS  
 To: H b7C per DOS, FBI  
 Subject: FW [redacted] B7(A)  
 [redacted] B7(C)

Remind me to discuss.

From [redacted] b6 per FBI  
 Sent: Thursday, October 18, 2012 7:47 AM b7A per DOS  
 To: Sullivan, Jacob J; Mills, Cheryl D; Macmanus, Joseph E (S) b7C per DOS, FBI  
 Cc: S\_SpecialAssistants  
 Subject: FW [redacted]

FYI- b6 per FBI  
 From [redacted] b7A per DOS  
 Sent: Thursday, October 18, 2012 7:40 AM b7C per DOS, FBI  
 To: [redacted] S\_SpecialAssistants  
 Cc: [redacted]  
 Subject: RE: [redacted]

Colleagues,

Readout

[Large redacted area]

b7A per DOS  
b7C per DOS



C05739758

STATE DEPT. - PRODUCED TO HOUSE SELECT BENGHAZI COMM.  
SUBJECT TO AGREEMENT ON SENSITIVE INFORMATION & REDACTIONS. NO FOIA WAIVER.

[Redacted]

b7A per DOS  
b7C per DOS

Best [Redacted]

b6  
b7C

Tunisia Desk  
Office of Maghreb Affairs  
U.S. Department of State  
Tel. [Redacted]

From [Redacted]  
Sent: Wednesday, October 17, 2012 7:55 AM

b6 per FBI  
b7A per DOS  
b7C per DOS, FBI

To: [Redacted] S. Special Assistants  
Cc: [Redacted]

Subject: RE: [Redacted]

Colleagues,

[Redacted]

b7A per DOS  
b7C per DOS

Regards [Redacted]

From [Redacted]  
Sent: Wednesday, October 17, 2012 7:47 AM

b6 per FBI  
b7A per DOS  
b7C per DOS, FBI

To: [Redacted] E. S. Special Assistants  
Cc: [Redacted]

Subject: RE: [Redacted]

Colleagues,

[Redacted]

b7A per DOS  
b7C per DOS

Best [Redacted]

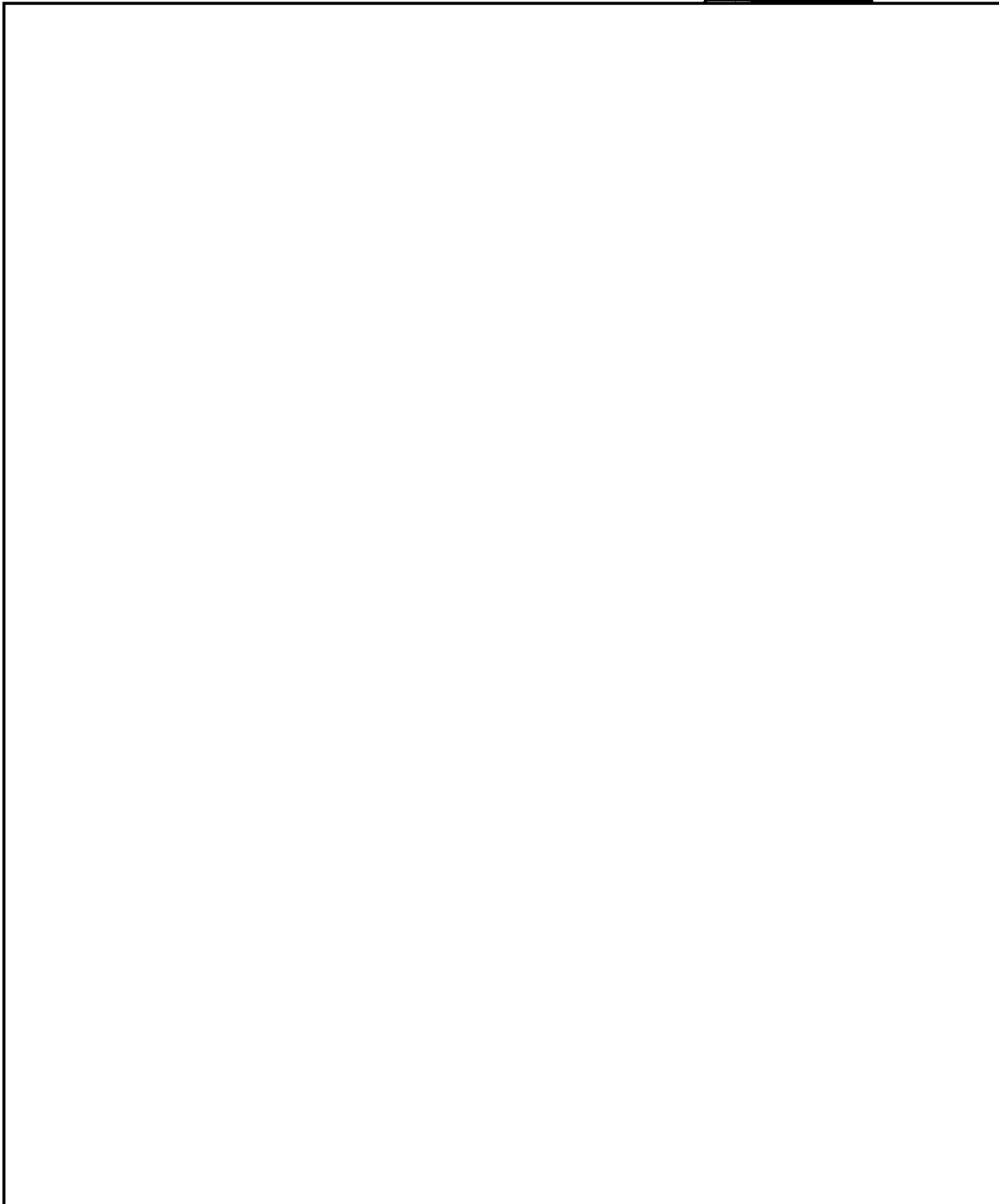
Tunisia Desk  
Office of Maghreb Affairs  
U.S. Department of State  
Tel. [Redacted]

b6  
b7C

C05739635

STATE DEPT. - PRODUCED TO HOUSE SELECT BENGHAZI COMM.  
SUBJECT TO AGREEMENT ON SENSITIVE INFORMATION & REDACTIONS. NO FOIA WAIVER.

b5 per DOS



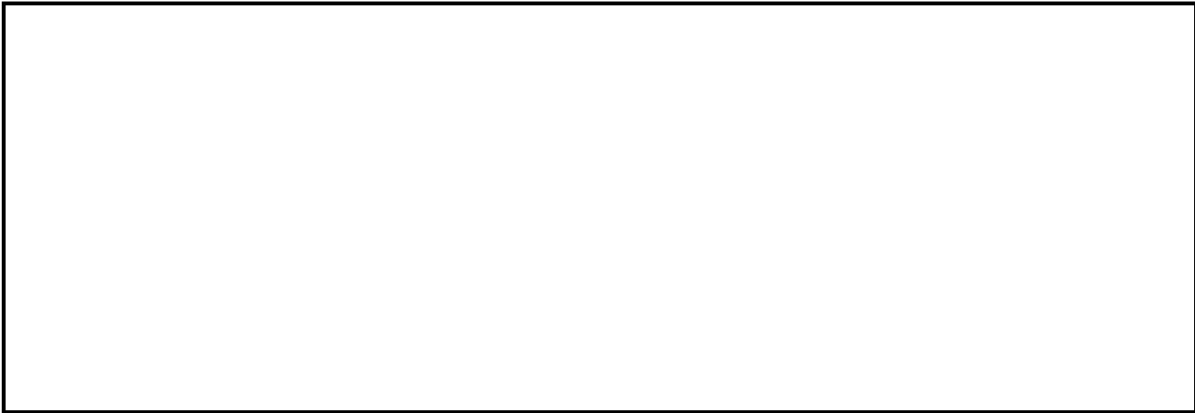
STATE DEPT. - PRODUCED TO HOUSE SELECT BENGHAZI COMM.  
SUBJECT TO AGREEMENT ON SENSITIVE INFORMATION & REDACTIONS. NO FOIA WAIVER.

STATE-SC80045561

C05739635

STATE DEPT. - PRODUCED TO HOUSE SELECT BENGHAZI COMM.  
SUBJECT TO AGREEMENT ON SENSITIVE INFORMATION & REDACTIONS. NO FOIA WAIVER.

b5 per DOS



STATE DEPT. - PRODUCED TO HOUSE SELECT BENGHAZI COMM.  
SUBJECT TO AGREEMENT ON SENSITIVE INFORMATION & REDACTIONS. NO FOIA WAIVER.

STATE-SCB0045562

HRC-524

8/26/15  
Serial 43

b6  
b7C

HRC-536

RICHARD BURR, NORTH CAROLINA, CHAIRMAN  
DIANNE FEINSTEIN, CALIFORNIA, VICE CHAIRMAN

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DANIEL COATS, INDIANA  
MARCO RUBIO, FLORIDA  
SUSAN COLLINS, MAINE  
ROY BLUNT, MISSOURI  
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TOM COTTON, ARKANSAS

RON WYDEN, OREGON  
BARBARA A. MIKULSKI, MARYLAND  
MARK WARNER, VIRGINIA  
MARTIN HEINRICH, NEW MEXICO  
ANGUS S. KING, JR., MAINE  
MAZIE HIRONO, HAWAII

~~SECRET//NOFORN~~

## United States Senate

SELECT COMMITTEE ON INTELLIGENCE

WASHINGTON, DC 20510-6475

ALL FBI INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED  
DATE 11-07-2016 BY J37J85T94 NSICG

MITCH MCCONNELL, KENTUCKY, EX OFFICIO  
HARRY REID, NEVADA, EX OFFICIO  
JOHN MCCAIN, ARIZONA, EX OFFICIO  
JACK REED, RHODE ISLAND, EX OFFICIO

CHRISTOPHER A. JOYNER, STAFF DIRECTOR  
DAVID GRANNIS, MINORITY STAFF DIRECTOR  
DESIREE THOMPSON SAYLE, CHIEF CLERK

July 27, 2015

SSCI# 2015-2300

The Honorable James R. Clapper, Jr.  
Director of National Intelligence  
Washington, DC 20511

Dear Director Clapper:

~~(U)~~ ~~(S//NF)~~ The Committee received the 25 July 2015 Memorandum from Mr. Charles McCullough entitled "Summary of IC IG support to State Department IG" and are troubled by the finding that "an inadvertent release of classified national security information had already occurred in the State FOIA process as a result of insufficient coordination with Intelligence Community (IC) elements." Furthermore, we are concerned by the apparent disparity in assessments by State Department and the IC highlighted by the following statement, "State personnel continue to deny the classified character of the released information despite a definitive determination from the IC Interagency FOIA process." While we agree with the four (4) recommendations the Intelligence Community Inspector General (ICIG) and State Department IG made to State to improve its FOIA review process to better identify IC equities and to prevent further inadvertent releases of classified information, we urge you to engage with Secretary Kerry and Inspector General Steve Linick to ensure their implementation.

~~(U)~~ ~~(S//NF)~~ The Committee strongly disagrees, however, with State's decision to provide its own Inspector General with only "limited access" to the remaining 30,000 emails and its decision to reject the ICIG's request for access to the emails on "jurisdictional grounds." We understand that a small sampling of just over 300 emails found that four (4) contained information classified at the time of sending at the SECRET//NOFORN level and one (1) contained information classified at the time of sending at the SECRET//SI//REL USA,FVEY level. Ultimately, you are responsible for the enforcement of classification guidelines and protection of IC equities and we urge you to take all necessary steps to identify and protect any

~~SECRET//NOFORN~~

HRC-537

additional sensitive information that may be contained within the remaining emails.

(U) We appreciate your prompt attention to this matter.

Sincerely,

A handwritten signature in black ink, appearing to read "Richard Burr", with a stylized flourish at the end.

Richard Burr  
Chairman

~~SECRET//NOFORN~~

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 08/04/2015

To: CD-4, Counterespionage Section

Attn:

From: CD-4

Contact: SA

[Redacted]

b6  
b7C

Approved By: Kable Charles H. IV

*[Signature]* 8/14/15

[Redacted]

*[Signature]* 8/14/15

Drafted By:

[Redacted]

Case ID #: (U)

[Redacted]

- 44

b3  
b7E

~~(S//NF)~~ Title: MIDYEAR EXAM

(U)

Synopsis: (U) Document August 3, 2015 Meeting at FBIHQ

~~Classified By: F93M27K51~~

~~Derived From: FBI NSIC dated 20130301~~

~~Declassify On: 20401231~~

Details: (U//~~FOUO~~) On August 3, 2015, FBI representatives met with representatives from the U.S. Department of Justice (DOJ), U.S. Department of State Office of the Inspector General (STATE IG) and Office of the Inspector General of the Intelligence Community (ICIG). The meeting took place at FBI Headquarters, 935 Pennsylvania Ave, NW, Washington, DC 20535.

(U) ~~(S//NF)~~ At this meeting, participants discussed the 811(c) referral made to the FBI on July 6, 2015, regarding the potential compromise of classified information and STATE IG and ICIG's ongoing review of State employees' use of personal email and non-government networks for official purposes. Each IG provided a short summary of their respective reviews, limits of their authority, lack of resources and need for FBI/DOJ assistance.

(U) ~~(S//NF)~~ STATE IG and ICIG representatives noted it was common practice at the Department of State for officials to send sensitive information on unclassified systems and later have

~~SECRET//NOFORN~~

~~SECRET//NOFORN~~

FEDERAL BUREAU OF INVESTIGATION

that information "upgraded" to the classified level when requests for public disclosures were received. This practice was described as necessary for state department officials to share time sensitive information in real time. This type of communication resulted in a high number of B(1) exemptions during the Freedom of Information Act (FOIA) review process. Both IG's emphasized these B(1) exemptions were separate and distinct from the classified information referenced in the 811(c) referral. The emails referenced in the referral were classified prior to being sent and derived from sensitive sources and methods from the intelligence community.

(U) ~~(S//NF)~~ Both IG's will continue their reviews of the FOIA process and will provide their findings to the FBI and appropriate Congressional committees. Both IG's also agreed not to disclose the existence of an FBI investigation or any actions the FBI may be conducting as part of referenced investigation.

(U//~~FOUO~~) The following representatives attended the meeting:

- 1) Robert A. Jones, Deputy Assistant Director, FBI
- 2) [redacted] Assistant Section Chief, FBI
- 3) [redacted], Assistant Section Chief, FBI
- 4) [redacted] Special Assistant to the Deputy Assistant Director, FBI
- 5) Trisha Anderson, Deputy General Counsel, FBI
- 6) [redacted] Assistant General Counsel, FBI
- 7) [redacted] Special Agent, FBI
- 8) [redacted] Special Agent, FBI
- 9) [redacted] Intelligence Analyst, FBI
- 10) George Toscas, Deputy Assistant Attorney General, DOJ
- 11) David Laufman, Chief, DOJ/Counterespionage Section (CES)
- 12) [redacted] Deputy Chief, DOJ/CES
- 13) [redacted] Attorney, DOJ/CES
- 14) L. Charles McCullough, Inspector General, ICIG
- 15) [redacted]
- 16) [redacted]
- 17) Steve Linick, Inspector General, STATE IG
- 18) [redacted] STATE IG
- 19) [redacted] STATE IG

b3 per ODNI  
b6 per FBI, ODNI  
b7C per FBI, ODNI

◆◆

~~SECRET//NOFORN~~



8/26/15  
Serial 52

b6  
b7C

HRC-555

LAW OFFICES  
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EDWARD BENNETT WILLIAMS (1920-1988)  
PAUL R. CONNOLLY (1922-1978)

DAVID E. KENDALL  
(202) 434-5145  
dkendall@wc.com

August 10, 2015

[REDACTED]  
National Security Division  
Department of Justice  
Washington, DC 20530

b6  
b7C

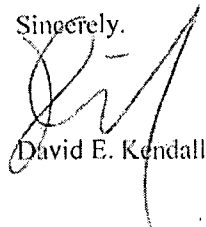
Dear [REDACTED]

b6  
b7C

Thank you for your letter, dated August 7, 2015, and sent to us by email slightly after 6:00 pm on that date, regarding our voluntarily providing the FBI certain server and related equipment, presently in the custody of Platte River Networks, on which emails to or from former Secretary Clinton's @clintonemail.com account were stored from 2009 to 2013.

In voluntarily providing this server and related equipment to the FBI, we, of course, are doing so solely with regard to former Secretary Clinton's @clintonemail.com account and not to any other accounts not utilized by former Secretary Clinton which might at one time have been hosted on this server equipment. While I believe, as I have told the Benghazi Select Committee, that, based on technologists' advice to me, there are no email accounts or related data currently residing on this server equipment, out of an abundance of caution, I wanted to emphasize that the only authority I have relates to the former Secretary's account. Please advise me if the FBI's review discovers any other account data, including other email account data, so that we may take remedial steps immediately.

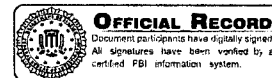
Sincerely,



David E. Kendall

cc: [REDACTED]

b6  
b7C



~~SECRET//NOFORN~~

# FEDERAL BUREAU OF INVESTIGATION

## Collected Item Log

Event Title: (U) Midyear Exam

Date: 08/18/2015

Approved By:

b6  
b7C

Drafted By:

Case ID #:

~~(S//NF)~~ MIDYEAR EXAM;  
MISHANDLING OF CLASSIFIED;  
UNKNOWN SUBJECT OR COUNTRY;  
SENSITIVE INVESTIGATIVE MATTER (SIM)

b3  
b7E

~~Reason: 1.4(c)  
Derived From: Multiple Sources  
Declassify On: 20401231~~

Full Investigation Initiated: 07/10/2015

Collected From: (U)   
Department of State

b6 per DOS  
b7C per DOS

Receipt Given?: No

Holding Office: WASHINGTON FIELD

Details: No Details Provided

Item Type	Description
1B Digital	(U) (1) One white/grey Lexar USB 3.0 thumb drive "Dept of State 703-312-3856" sticker
	Collected On: 08/14/2015
	Seizing Individual: <input type="text"/>
	Collected By: <input type="text"/>
	Location Area: NA
	Specific Location: NA
	Device Type: USB Micro Storage Device (thumb drive)
	Make: Lexar
	Number of Devices Collected: 1

b6  
b7C

~~SECRET//NOFORN~~

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

~~SECRET//NOFORN~~

Title: (U) Midyear Exam

Re:  08/18/2015

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~~SECRET//NOFORN~~

8/26/15  
Serial 58

b6  
b7C

HRC-574

FEDERAL BUREAU OF INVESTIGATION

b6 per DOS, FBI  
b7C per DOS, FBI

Date of transcription 08/17/2015

On August 14, 2015, [redacted] from the U.S. Department of State (STATE), Office of the Inspector General (OIG), 2121 Virginia Ave., N.W., Washington, D.C., met at their place of employment pursuant to an agreement reached between STATE and the FBI to voluntarily turn over a thumb drive containing the STATE .pst email files for HUMA ABEDIN and CHERYL MILLS. At 11:30am, SA [redacted] signed a receipt and accepted custody of the item described in detail below:

Item 1: A white and grey 32GB Lexar USB 3.0 thumb drive bearing a sticker on the reverse "Dept of State 703-312-3856"

b6 per DOS  
b7C per DOS

Item 2: Yellow note bearing the password [redacted]

Subsequent to the FBI's collection of the above, Items 1 & 2 were transported to the Washington Field Office of the FBI where they were entered into evidence, and given 1B numbers. Copies of the intake sheets and FD-1004 are enclosed with this communication.

Items 1 & 2 were then transported to the FBI's Forensic Analysis Unit to Quantico, Virginia for storage and evaluation.

Investigation on 08/14/2015 at Washington, D.C.

File # [redacted] - 58 Date dictated N/A

b3  
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b7C  
b7E

By SA [redacted]

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.



E5490178



b7E

**Evidence Details**

Case: [Redacted] Item: 1B26

b3  
b7E

Description: (U) (1) One white/grey Lexar USB 3.0 thumb drive "Dept of State 703-312-3856" sticker (Only paper work submitted)

Collected On: 08/14/2015 11:30 AM EST  
Receipt Item #: None  
CATS ID #: None  
FBI Seizure #: None  
CATS Abandonment #: None  
Special Handling: None  
Hazardous Materials: None  
Abandonment #: None

**Digital Information**

Type: USB Micro Storage Device (thumb drive)  
Make: Lexar  
Batteries Charged: No  
Number Collected: 1  
Collected by CART: No

**Discovery Location**

Area: NA  
Seizing Individual: [Redacted]  
Specific: NA  
Collected By: [Redacted]  
Others: None

b6  
b7C

Others Agency:

**Anticipated Disposition**

None on None

**Storage Information**

Holding Office: WASHINGTON FIELD  
Finalized By: [Redacted]  
Last Inventory: None

b6  
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Location: E1167159 - ECRI, MAIN EVIDENCE CONTROL CENTER (B112) WFO-HQ

Barcode #: E5490178

**Chain of Custody**

**Shipping / Transfer Log**

**History**

**Acquisition Event Details**

Acquisition Event: (U) Midyear Exam

Collected From: (U) [Redacted]  
Department of State

b6 per DOS  
b7C per DOS

Receipt Given: No

Holding Office: WASHINGTON FIELD

Evidence Log: [Redacted]  
Serial 55

b3  
b7E

61



~~SECRET//NOFORN~~

FEDERAL BUREAU OF INVESTIGATION

(U)  
**Title:** ~~(S//NF)~~ MIDYEAR EXAM;  
MISHANDLING OF CLASSIFIED;  
UNKNOWN SUBJECT OR COUNTRY;  
SENSITIVE INVESTIGATIVE MATTER (SIM)

**Date:** 08/12/2015

**From:** Counterintelligence Division  
Counterespionage Section  
**Contact:** ASC [redacted]

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b6  
b7C  
b7E

**Approved By:** Kable Charles H. [Signature]

**Drafted By:** [redacted]

**Case ID #:** (U) [redacted] -60

b3 per ODNI  
b6 per ODNI  
b7C per ODNI

**Synopsis:** (U//~~FOUO~~) Meeting with Intelligence Community Inspector General (ICIG) staff, [redacted] on July 13, 2015.

**Enclosure(s):** (U) CD-Rom labeled "S//SI//NF", spreadsheet index of files, FOIA workflow chart, and original meeting notes.

b3 per ODNI  
b6 per ODNI, FBI  
b7C per ODNI, FBI

**Details:** (U//~~FOUO~~) On July 13, 2015 ASC [redacted] met with ICIG Inspector [redacted] regarding classified e-mails they found on a unclassified system.

(U) ~~(S//NF)~~ [redacted] provided a CD-Rom with 296 redacted e-mails and 40 unredacted e-mails which [redacted] had queried from approximately 30,000 e-mails provided to Department of State (DoS) by Hillary R. Clinton. [redacted] used keyword searches to extract these 40 e-mails that he believed may contain classified information. [redacted] also provided a spreadsheet of e-mails he suspected of containing classified information.

b3 per ODNI  
b6 per ODNI  
b7C per ODNI

(U//~~FOUO~~) [redacted] indicated the ICIG has formally requested a copy of the 30,000 e-mails from Patrick Kennedy, Under Secretary of Management at DoS with a deadline of Friday, July 10, 2015. [redacted] also provided a FOIA workflow diagram of DoS's process for reviewing the e-mails for sensitive information.

b3 per ODNI  
b6 per ODNI  
b7C per ODNI

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~~SECRET//NOFORN~~

To: CD-4 From: CD-4  
Re: (U) [redacted] Date: 08/22/2015

b3  
b7E

Precedence: ROUTINE

Date: 08/22/2015

To: CD-4, Counterespionage Section

Attn:

From: CD-4

Contact: SA [redacted]

Approved By: Kable Charles H. IV *[Signature]*

b6  
b7C

Drafted By: [redacted]

Case ID #: (U) [redacted] -75

b3  
b7E

Title: ~~(S//NF)~~ MIDYEAR EXAM

Synopsis: (U//~~FOUO~~) Summarize Content of Email Sent to FBI by

[redacted] U.S.

b6 per DOS  
b7C per DOS

Department of State (STATE).

~~Classified By: D54B29S73  
Derived From: FBI NSIC dated 20130301  
Declassify On: 20400822~~

Attachment(s): (U//~~FOUO~~) A print-out of the email and the four .pdf files mentioned below.

b6 per DOS, FBI  
b7C per DOS, FBI

Details: (U//~~FOUO~~) On August 19, 2015, after an interview earlier that same day with writer and SA [redacted] [redacted] provided writer with an email that contained follow-up information within four separate attachments.

(U//~~FOUO~~) [redacted] first attachment was a .pdf file saved as "11-STATE-651111.eml.pdf" and it was an official STATE correspondence from June 28, 2011 from then Secretary of State Hillary CLINTON with subject line of "Securing Personal E-mail Accounts." CLINTON informed recipients they "should exercise caution and follow best practices in order to protect personal e-mail and prevent the compromise of government and personal information." CLINTON later stated, due to "recent targeting of personal e-mail accounts by online adversaries," STATE employees should "avoid conducting official Department business from [their] personal e-mail accounts."

b6 per DOS  
b7C per DOS

~~SECRET//NOFORN~~

~~SECRET//NOFORN~~

To: CD-4 From: CD-4  
Re: (U) [redacted] Date: 08/22/2015

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(U//~~FOUO~~) [redacted] second attachment was a .pdf file saved as "MemoPreservingEmailofSeniorOfficials.pdf" and it was a memorandum with three attachments of its own that were distributed to senior STATE officials on August 28, 2014 from Patrick KENNEDY, Under Secretary of State for Management with subject line "Senior Officials' Records Management Responsibilities." The memorandum stated "senior officials' records are generally the most important documents created within" STATE and that senior officials themselves were responsible to ensure "all records made or received in the conduct of agency business regardless of physical format or media" should be preserved.

b6 per DOS  
b7C per DOS

(U//~~FOUO~~) As stated above, KENNEDY's memorandum included three attachments. KENNEDY's first attachment listed all positions designated as Senior Officials Positions at STATE.

(U//~~FOUO~~) KENNEDY's memorandum's second attachment included 5 Foreign Affairs Manual (FAM) 440. Specifically, 5 FAM 443.2 "Which E-Mail Messages are Records," published October 30, 1995, instructed STATE officials that e-mail messages are federal records when they "are made or received by an agency under Federal law or in connection with public business" with examples given as "records that document the formulation and execution of basic policies and decisions and the taking of necessary actions; records that document important meetings; records that facilitate action by agency officials and their successors in office; records that make possible a proper scrutiny by the Congress or other duly authorized agencies of the Government; and records that protect the financial, legal, and other rights of the Government and of persons directly affected by the Government's actions." Additionally, 5 FAM 443.5 "Point to Remember About E-Mail," published October 30, 1995, informed STATE officials that "certain e-mail messages that are not Federal records may still be subject to pending requests and demands under the Freedom of Information Act, the Privacy Act, and litigation and court orders, and should be preserved until no longer needed for such purposes." Later, 5 FAM 443.5 stated "classified information must be sent via classified e-mail channels only, with the proper classification identified in each document."

(U//~~FOUO~~) KENNEDY's memorandum's third attachment was entitled "Instructions for Preserving Email of Departing Senior Officials" with date of August 2014.

~~SECRET//NOFORN~~

HRC-676

To: CD-4 From: CD-4  
Re: (U) [redacted] Date: 08/22/2015

b3  
b7E

(U//~~FOUO~~) [redacted] third attachment was a .pdf file saved as "12 FAM 540.pdf" and it covers Sensitive But Unclassified Information (SBU). Specifically, 12 FAM 544.2 "Automated Information System (AIS) Processing and Transmission," published November 4, 2005, stated "employees who will be transmitting SBU information outside of the Department network on a regular basis to the same official and/or most personal addresses, should contact IRM/OPS/ITI/SI/PKI to request assistance in providing a secure technical solution for those transmissions...Employees participating in the home PKI and telework program must complete the requisite training and sign an acknowledgement statement prior to being issued the approved security measures/equipment." 12 FAM 544.3 "Electronic Transmission Via the Internet," published November 4, 2005, stated it is the STATE's "general policy that normal day-to-day operations be conducted on an authorized AIS." Additionally, STATE "is expected to provide, and employees are expected to use, approved secure methods to transmit SBU information when available and practical" and "employees should be aware that transmissions from the [STATE's] OpenNet to and from non-U.S. Government Internet addresses, and other .gov or .mil addresses, unless specifically directed through an approved secure means, traverse the Internet unencrypted." 12 FAM 545 "SBU/NOFORN Information," published November 4, 2005, stated "employees must process and transmit SBU/NOFORN information only on a system authorized by the [STATE] for classified information transmission, storage and processing."

b6 per DOS  
b7C per DOS

(U//~~FOUO~~) [redacted] fourth attachment was a .pdf file saved as "14 STATE 128030.pdf" and it was an official STATE correspondence from October 30, 2014 from Secretary of State John KERRY with subject line of "State Department Records Responsibilities and Policy." KERRY stated senior officials may delete personal emails, but that the definition of a personal email was very narrow. KERRY stated in the very limited circumstances when it is necessary to use one's personal email accounts for official business, that email would still need to be preserved in one of STATE's official electronic records systems. KERRY recommended STATE employees to forward official emails from their personal email account to their STATE account and reiterated that personal email accounts should not be used for classified information.

~~SECRET//NOFORN~~

To: CD-4 From: CD-4  
Re: (U) [redacted] Date: 08/22/2015

b3  
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Precedence: ROUTINE

Date: 08/22/2015

To: CD-4, Counterespionage Section

Attn:

From: CD-4

Contact: SA [redacted]

b6  
b7C

Approved By: Kable Charles H. IV *[Signature]*

Drafted By:

Case ID #: (U) [redacted]

76

b3  
b7E

Title: ~~(S//NF)~~ MIDYEAR EXAM

Synopsis: (U//~~FOUO~~) Summarize Content of Email Sent to FBI by

[redacted]  
[redacted] United States Department of State (STATE).

b6 per DOS  
b7C per DOS

~~Classified By: D54B29S73~~

~~Derived From: FBI NSIC dated 20130301~~

~~Declassify On: 20400822~~

Attachment(s): (U//~~FOUO~~) A print-out of the email and the two .pdf files mentioned below.

b6 per DOS, FBI  
b7C per DOS, FBI

Details: (U//~~FOUO~~) On August 17, 2015, after an interview earlier that same day with writer and SA [redacted]

provided SA [redacted] with an email that contained some follow-up information and two attachments.

(U//~~FOUO~~) One attachment was a .pdf file of civil action number 15-cv-123, Jason Leopold, Plaintiff, v. U.S. Department of State, Defendant, entitled "Notice of Filing Declaration of John F. Hackett." This attachment contained Hackett's full declaration from May 18, 2015, wherein he detailed his position as lead STATE official responsible for responding to requests for records under the Freedom of Information Act (FOIA) and described the core responsibilities of IPS. Furthermore, the declaration described STATE's plan to review approximately 55,000 pages of emails and attachments that former Secretary of State Hillary Clinton provided to the STATE

~~SECRET//NOFORN~~

To: CD-4 From: CD-4  
Re: (U) [redacted] Date: 08/22/2015

b3  
b7E

in December 2014 along with a date by which STATE proposed to complete that review and production. The declaration also contained the text of the October 28, 2014 letter sent by Pat Kennedy, STATE Under Secretary for Management, to former Secretaries of State Madeleine Albright, Colin Powell, Condoleezza Rice, and Hillary Clinton whereby Kennedy requested that copies of any federal record be made available to STATE for preservation.

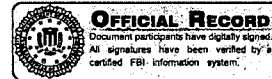
(U//~~FOUO~~) The second attachment was a .pdf file of civil action number 15-cv-123, Jason Leopold, *Plaintiff*, v. U.S. Department of State, *Defendant*, entitled "Defendant's Status Report Regarding Release of Records on July 31, 2015." This attachment contained a status report from Hackett regarding STATE's release of the non-exempt portions of records subject to FOIA contained in the emails provided to STATE by former Secretary of State Clinton. In the declaration, Hackett described (1) the specific steps taken as part of the FOIA review; (2) the exact number of pages already and planned to be produced through September 30, 2015; and (3) how STATE had recently integrated, based on a recommendation from the Intelligence Community Inspector General, Intelligence Community reviewers to assist in the large undertaking.

b6 per DOS, FBI  
b7C per DOS, FBI

(U//~~FOUO~~) [redacted] provided the date of April 28, 2015 as the date where [redacted] attorney, STATE's Office of Congressional Affairs, told members of the Department of Justice, the staff of the Office of Legal Adviser, and [redacted] IPS staff, that she did not understand why the FOIA review of the 296 emails related to Benghazi was taking so long. [redacted] also repeatedly stated that the emails had already gone through the inter-agency review process and there was nothing classified in the emails.

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~~SECRET//NOFORN~~



# FEDERAL BUREAU OF INVESTIGATION

## Import Form

Form Type: Court

Date: 09/01/2015

Title: (U//~~FOUO~~) [Redacted]

b7E

Approved By: SSA [Redacted]

b6  
b7C

Drafted By: [Redacted]

Case ID #: [Redacted] -82 (U)

~~(S//NF)~~ MIDYEAR EXAM;  
MISHANDLING OF CLASSIFIED;  
UNKNOWN SUBJECT OR COUNTRY;  
SENSITIVE INVESTIGATIVE MATTER (SIM)

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b7E

~~(U)~~  
**Synopsis:** (U//~~FOUO~~) (~~S//NF~~) The captioned investigation is highly sensitive and considered a prohibited investigation. Additionally, the investigation is designated a SIM and has an exemption for uploading approved by AD Randall Coleman [Redacted] Serial 1).

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b7E

~~Reason: 1.4(c)  
Derived From: Multiple Sources  
Declassify On: 20401231~~

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~~SECRET//NOFORN~~



**U.S. Department of Justice**

**National Security Division**

*Washington, D.C. 20530*

David E. Kendall, Esq.  
Katherine M. Turner, Esq.  
Williams & Connolly LLP  
725 Twelfth Street, N.W.  
Washington, DC 20005

August 6, 2015

Dear Mr. Kendall and Ms. Turner,

This letter confirms your intent to voluntarily produce to the Federal Bureau of Investigation ("FBI") three thumb drives (which you have represented to be one original and two copies thereof) each purportedly containing 30,490 emails to or from Secretary Clinton's @clintonemail.com account that you previously provided in hard copy to the Department of State in December 2014, as well as hard copies and a laptop computer in your possession containing emails to or from the @clintonemail.com account. It is our understanding that the items described above (hereinafter "the Materials") constitute the entirety of your client's and your possession of emails to or from the @clintonemail.com account, aside from six additional laptop computers we previously discussed by phone. It is also our understanding that those six laptop computers contain emails to or from the @clintonemail.com account; however, in addition to the emails, the laptop computers also contain privileged material. As such, we will work with you to appropriately secure the emails contained on those laptop computers. If any of these understandings are incorrect, or if you find any additional emails to or from the @clintonemail.com account, please notify me promptly.

On July 31, 2015, the FBI delivered to Williams & Connolly a letter requesting that you preserve records related to the @clintonemail.com account. We understand that you have also received other requests to preserve records related to the @clintonemail.com account, including a July 29, 2015 preservation letter from Senator Ron Johnson, Chairman, United States Senate Committee on Homeland Security and Governmental Affairs; a May 26, 2015 preservation letter from Steve A. Linick, Inspector General, Department of State and I. Charles McCullough, III, Inspector General, Intelligence Community; and a March 3, 2015 preservation letter from Representative Trey Gowdy, Chairman, House of Representatives Select Committee on Benghazi. You have also represented that you will require continued access to the Materials on behalf of your client.

Once taking custody, the FBI will maintain the Materials in an appropriately secure manner. We believe such maintenance satisfies any preservation obligations you may have. We will facilitate your continued access to the Materials as may be necessary and appropriate in a secure space at the Litigation Security Group, Department of Justice, at 145 N Street NE, Washington, DC 20002.

Sincerely,



b6  
b7C

HRC-682



# CONSENT TO SEARCH COMPUTER(S)

I, Katherine M. Turner, have been asked by Special Agents of the Federal Bureau of Investigation (FBI) to permit a complete search by the FBI or its designees of any and all computers, any electronic and/or optical data storage and/or retrieval system or medium, and any related computer peripherals, described below:

Lenovo ThinkPad T420 PB-7C912 12/03  
CPU Make, Model & Serial Number (if available)

Lexar Micron 16GB Black & Silver LTDTT16G-000-1001DA (original)  
Storage or Retrieval Media, Computer Peripherals

Lexar Micron 8GB Green & White LTDTT8GB-000-117AU (copy 1)  
Kingston 8GB Silver DTSE9 (copy 2)

and located at Williams & Connelly LLP, 725 12th Street, N.W. Washington, D.C. 20005, which I own, possess, control, and/or have access to, for any evidence of a crime or other violation of the law. The required passwords, logins, and/or specific directions for computer entry are as follows: username: internetonly  
password: InternetOnly!!

I have been advised of my right to refuse to consent to this search, and I give permission for this search, freely and voluntarily, and not as the result of threats or promises of any kind.

I authorize those Agents to take any evidence discovered during this search, together with the medium in/on which it is stored, and any associated data, hardware, software and computer peripherals.

August 6, 2015  
Date

Date

August 6, 2015  
Date

Date

[Signature]  
Signature

[Redacted Box]  
Special Agent

Printed Full Name of Witness

Washington, D.C.  
Location

Location

b6  
b7C

UNITED STATES DEPARTMENT OF JUSTICE  
FEDERAL BUREAU OF INVESTIGATION  
**Receipt for Property**

b3  
b7E

Case ID:

On (date) 08/06/2015

item (s) listed below were:

- Collected/Seized
- Received From
- Returned To
- Released To

(Name) Williams & Connelly LLP

(Street Address) 725 12th Street, NW

(City) Washington, D.C. 20005

Description of Item (s):

LENOVO THINKPAD T420 S/N PB-YC91Z 12/03

LEXAR MICRON 16GB BLACK & SILVER S/N LJDTT166-000-1001 DA (original)

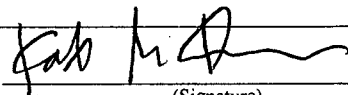
LEXAR MICRON 8GB GREEN & WHITE S/N LJDTT86B-000-117AV

KINGSTON 8GB SILVER S/N DTSE9

22 BOXES OF COMPLETE PAPER SET EMAILS PRODUCED TO DOS DEC. 2014  
MINUS EMAILS DESIGNATED CLASSIFIED AS OF JULY 31, 2015

Received By:

Printed Name/Title:  Special Agent

Received From:  b6  
(Signature) b7C

Printed Name/Title: Katherine M. Turner  
Williams & Connelly LLP,  
Partner HRC-684



b7E



**E5490156**

Case:

Item: 1B1

b3  
b7E

**Description:** (U) 1 Lexar micron 16 GB Black & Silver Thumbdrive -  
LJDTT166-000-1001 DA (Original) 1Lexar Micron 8 GB Green and White  
LJDTT8GB-000-117AU(Copy 1) 1 Kingston 8GB Silver DT SE9 (Copy 2)  
(Only paperwork submitted)

**Collected On:** 08/06/2015 03:00 PM EST

**Receipt Item #:** None

**Special Handling:** None

**CATS ID #:** None

**Hazardous Materials:** None

**FBI Seizure #:** None

**CATS Abandonment #:** None

**Abandonment #:** None

**Digital Information**

**Type:** USB Micro Storage Device (thumb drive)

**Batteries Charged:** No

**Number Collected:** 3

**Collected by CART:** No

**Discovery Location**

**Area:** NA

**Seizing Individual:**

**Collected By:**

**Specific:** NA

**Others:** None

Others Agency: b6  
b7C

None

**Anticipated Disposition**

None on None

**Storage Information**

**Holding Office:** WASHINGTON FIELD

**Finalized By:**

**Last inventory:** None

b6  
b7C

**Location:** E1167159 - ECR1, MAIN EVIDENCE CONTROL CENTER (B112) WFO-HQ

**Barcode #:** E5490156

**Chain of Custody**

**Shipping / Transfer Log**

**History**

**Acquisition Event Details**

**Acquisition Event:**  Midyear Exam

**Collected From:** (U) Katherine Turner, Esq  
Williams & Connolly, LLP  
725 12th Street, NW  
Washington,

**Receipt Given:** No

**Holding Office:** WASHINGTON FIELD

**Evidence Log:**   
Serial 20

b3  
b7E



**E5490157**

b7E

Case:

Item: 1B2

b3  
b7E

Description: (U) Lenovo Think Pad T420 PB-YC912 12/03 (Only paperwork submitted)

Collected On: 08/06/2015 03:00 PM EST

Receipt Item #: None

CATS ID #: None

FBI Seizure #: None

CATS Abandonment #: None

Special Handling: None

Hazardous Materials: None

Abandonment #: None

Digital Information

Type: Tablet Computer

Batteries Charged: No

Number Collected: 1

Collected by CART: No

Discovery Location

Area: NA

Seizing Individual:

Specific: NA

Collected By:

Others: None

Others Agency:

None

b6  
b7C

Anticipated Disposition

None on None

Storage Information

Holding Office: WASHINGTON FIELD

Finalized By:

Last Inventory: None

b6  
b7C

Location: E1167159 - ECR1, MAIN EVIDENCE CONTROL CENTER (B112) WFO-HQ

Barcode #: E5490157

Chain of Custody

Shipping / Transfer Log

History

Acquisition Event Details

Acquisition Event:  Midyear Exam

Collected From: (U) Katherine Turner, Esq  
Williams & Connolly, LLP  
725 12th Street, NW  
Washington,

Receipt Given: No

Holding Office: WASHINGTON FIELD

Evidence Log:

Serial 20

b3  
b7E

HRC-686

8/6/2015 4:03 PM

FEDERAL BUREAU OF INVESTIGATION  
FOI/PA  
DELETED PAGE INFORMATION SHEET  
FOI/PA# 1353814-0

Total Deleted Page(s) = 2  
Page 20 ~ b3; b6; b7C; b7D; b7E;  
Page 21 ~ b3; b6; b7C; b7D; b7E;

XXXXXXXXXXXXXXXXXXXXXXXXXXXXX  
X Deleted Page(s) X  
X No Duplication Fee X  
X For this Page X  
XXXXXXXXXXXXXXXXXXXXXXXXXXXXX

10/8/15  
Serial 106

LAW OFFICES  
**WILLIAMS & CONNOLLY LLP**  
725 TWELFTH STREET, N.W.

DAVID E. KENDALL  
(202) 434-5145  
dkendall@wc.com

WASHINGTON, D. C. 20005-5901  
(202) 434-5000  
FAX (202) 434-5029

EDWARD BENNETT WILLIAMS (1920-1988)  
PAUL R. CONNOLLY (1922-1978)

October 1, 2015

**BY EMAIL AND FIRST CLASS MAIL**

[REDACTED]  
United States Department of Justice  
National Security Division  
600 E Street, NW  
Washington, DC 20530

b6  
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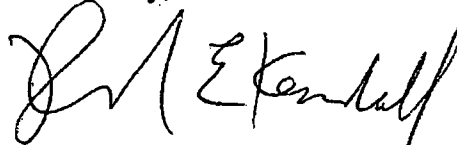
Dear [REDACTED]

b6  
b7C

Pursuant to our recent conversations, this letter provides the Department of Justice with consent to search former Secretary Clinton's files on the current Platte River server for the period January 21, 2009 to February 1, 2013 for evidence relevant to your security inquiry.

We request and anticipate that at the conclusion of your inquiry you will return to us the former Secretary's non-federal record, personal e-mails.

Sincerely,



David E. Kendall

DEK/bb

[Redacted] (WF) (FBI)

b6  
b7C

**From:** [Redacted] (RO) (FBI)  
**Sent:** Thursday, October 01, 2015 12:18 PM  
**To:** [Redacted] (WF) (FBI); [Redacted] (WF) (FBI)  
**Subject:** FW: Correspondence from W&C  
**Attachments:** Letter to [Redacted]-Consent.pdf

FYSA and submission to file.

**From:** [Redacted] (NSD) [mailto:[Redacted]]  
**Sent:** Thursday, October 01, 2015 12:11 PM  
**To:** Strzok, Peter P. (WF) (FBI); [Redacted] (CD) (FBI); [Redacted] (RO) (FBI); [Redacted] (OGC) (FBI)  
**Cc:** [Redacted] (NSD) (JMD); [Redacted] (USAVAE); [Redacted] (USAVAE)  
**Subject:** Correspondence from W&C

b6  
b7C

All:

Attached is a letter that just came in from W&C. [Redacted] and I will still need to send a letter to Latham & Watkins and W&C memorializing the process to be used with server (in two stages, etc.). We are working on revising that and will circulate to the group. When we send drafts, please review as quickly as possible and get back to us, as we are trying to keep things moving.

Thanks.

[Redacted]

b6  
b7C


[Redacted]

b6  
b7C

Counterintelligence and Export Control Section  
National Security Division  
U.S. Department of Justice

Phone: [Redacted]



  
10/8/15  
Serial 107

[Redacted] 113

[Redacted]  
10/26/15  
Serial 113

b3  
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b6  
b7C

[redacted] (WF) (FBI)

b6  
b7C

**From:** [redacted] (WF) (FBI)  
**Sent:** Wednesday, October 14, 2015 8:07 AM  
**To:** [redacted] (WF)(FBI); [redacted] (WF) (FBI); [redacted] (WF) (FBI); [redacted] (WF) (FBI); [redacted] (WF) (FBI); [redacted] (WF) (FBI); [redacted] (WF) (FBI)  
**Subject:** FW: Classnet Banner --- UNCLASSIFIED  
**SentinelCaseId:** NON-RECORD

Classification: UNCLASSIFIED

SSA [redacted]  
Washington Field Office, CI-13

b6  
b7C

[redacted] office  
[redacted] cell  
[redacted] (unclass)  
[redacted] (SIPR)  
[redacted] (JWICS)

**From:** [redacted] (CD) (FBI)  
**Sent:** Tuesday, October 13, 2015 3:51 PM  
**To:** [redacted] (CD) (FBI); [redacted] (WF) (FBI)  
**Subject:** Classnet Banner --- UNCLASSIFIED

b6  
b7C

Classification: UNCLASSIFIED

Below is a copy of the banner that is on the State Classnet (Secret side) system.



OLD  
BANNER0001.PDF

The banner is the same on the open net (unclass) computer with the exception of one additional last sentence which reads as follows:

**Opening e-mails from unknown/unconfirmed websites may open the Department's system to malware.**

Here is the banner from the Unclass GO system which is what is seen when you log into the unclass system from an outside computer using a fab and pin code.



GO BANNER ON  
JNCLASS0001.PDF

I am still working on getting you the banner from the TS side as well as a full printout copy of the banner on the Open net (unclass).

=====  
Classification: UNCLASSIFIED

=====  
Classification: UNCLASSIFIED

=====  
Classification: UNCLASSIFIED

You are accessing a U.S. Government information system, which includes (1) this computer, (2) this computer network, (3) all computers connected to this network, and (4) all devices and storage media attached to this network or to a computer on this network. This information system is provided for U.S.

Government-authorized use only.

Unauthorized or improper use of this system may result in disciplinary action, as well as civil and criminal penalties.

By using this information system, you understand and consent to the following

... You have no reasonable expectation of privacy regarding any  
..... communications or data transiting or stored on this information system. At  
..... any time, and for any lawful government purpose, the government may  
..... monitor, intercept, and search and seize any communication or data transiting  
..... or stored on this information system.

... Any communications or data transiting or stored on this information system may  
..... be disclosed or used for any lawful government purpose.

Nothing herein consents to the search or seizure of a privately-owned computer or other privately owned communications device, or the contents thereof, that is in the system user's home.

OK

- [Home](#)
- [Required System Setup](#)
  - [Required System Setup - Windows](#)
  - [Required System Setup - Mac](#)
  - [Required System Setup - Kiosk](#)
- [HELP](#)
- [Check Your Connection Speed](#)
- [User Guides](#)
  - [Quick Guides](#)
  - [Quick Guides for Mobile Devices](#)
  - [Full User Guides](#)

## GO Message Board

**SYSTEM STATUS** as of 10/13/2015:

To properly log off GO, please follow the instructions below:

1. Save and close any files that you wish to continue working on later.
2. Within the GO session, click the Start button located at the bottom left of the GO window.
3. Select Log Off.
4. When the window turns black, expand the menu by clicking the downward arrow located at the top and select Disconnect.
5. From the application screen that displays your User Desktop, select Log off located at the top right.
6. Once logged off, you should see a confirmation screen confirming that "You have been logged off. See you again soon. For security reasons, you must close this browser window."

Instructions can also be found in the [GO User Guides](#). Failure to log off correctly will cause your session to hang.

Customers using **IE, Version 11** to log into Global OpenNet(GO) should [click here](#) for important instructions on how-to configure browser settings. If the link does not work, please follow the steps below.

1. Click on **Required System Setup**
2. System Setup for Windows 7 User Guide and
3. go to page 18 for instructions.

**ANNOUNCEMENT(S): Windows 10 GO Compatibility:** The Windows 10 Operating System (OS) has been tested and confirmed to be compatible with Global OpenNet (GO). For customers using the Windows 10 OS, please reference the Windows 10 User Guide found [here](#) or by clicking the "Required System Setup" tab above.

**In order to access this system you must read and accept the following system monitoring consent notice. Please use the scroll bar to the right.**

You are about to enter a Department of State computer system or network. Use by unauthorized persons, or for unauthorized personal business, is prohibited and may constitute a violation of 18 U.S.C. 1030 and other Federal law, as well as applicable Department policies and procedures.


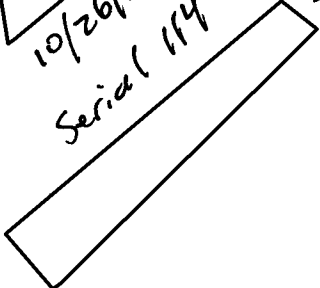
You have **NO REASONABLE EXPECTATION OF PRIVACY** while using this computer system or network. All data contained and/or activities performed herein may be monitored, intercepted, recorded, read, copied, or captured in any manner by authorized personnel. System management personnel or supervisors may give law enforcement officials or appropriate Department managers any potential evidence of crime, fraud, or employee misconduct found on this computer system or network, and employees may be subject to discipline for misuse. Furthermore, law enforcement officials may be authorized to access and collect evidence from this computer system or network, or from any portable devices that have been connected to this computer system or network. Nothing herein consents to the search or seizure of a privately-owned computer or other privately owned communications device, or the contents thereof, that is in the system user's home. Therefore:

- **USE OF THIS COMPUTER SYSTEM OR NETWORK BY ANY USER, AUTHORIZED OR UNAUTHORIZED, CONSTITUTES EXPRESS CONSENT TO THIS MONITORING.**
- **IF YOU DO NOT CONSENT TO THIS MONITORING, OR IF YOU ARE NOT AN AUTHORIZED USER PLEASE CLOSE YOUR BROWSER TO EXIT THE SYSTEM.**

For help, please contact the IT Service Center (ITSC) at 1-877-246-9493 if you need assistance after you have completed the installation steps as outlined in the [GO User Guides](#).

[Home](#) | [Required System Setup](#) | [HELP](#) | [Check Your Connection Speed](#) | [User Guides](#)

U.S. Department of State - Global OpenNet

  
10/26/65  
Serial 114  
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b3  
b6  
b7C per  
b7E FBI



LAW OFFICES  
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725 TWELFTH STREET, N.W.

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EDWARD BENNETT WILLIAMS (1920-1988)  
PAUL R. CONNOLLY (1922-1978)

DAVID E. KENDALL  
(202) 434-5145  
dkendall@wc.com

October 14, 2015

**BY EMAIL AND FIRST CLASS MAIL**

[REDACTED]

United States Department of Justice  
National Security Division  
600 E Street, NW  
Washington, DC 20530

Dear [REDACTED]

We have made a good-faith effort to identify equipment or devices that might contain or might have contained emails from the time period January 21, 2009 to February 1, 2013 (the "relevant time period") to or from any @clintonemail.com domain account assigned to former Secretary Clinton. Based on information we have access to, we can report the following.

We have identified two Blackberry devices and one iPad that we believe the former Secretary may have used during the relevant time period.

In connection with your request, we also note that we understand that in 2008 and early 2009, an Apple computer stored in the Clinton's Chappaqua home was used as an email server. We have been unable to determine whether that Apple computer ever functioned as a server for the @clintonemail.com domain account in early 2009, prior to the March 2009 installation of the Dell server that we provided to the Department of Justice in August 2015. Based upon our best information, we do not believe that it did, but cannot rule out that possibility. We believe that the Apple computer was repurposed after the installation of the Dell server in March 2009, to serve as a personal computer for household staff. The Apple computer was replaced in 2014 by an iMac, to which its then-existing data was transferred. Thereafter, the hard drive of the Apple computer was discarded. The iMac is currently in daily use by household staff, including aides to

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[Redacted]

October 14, 2015

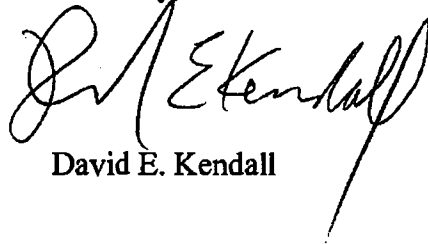
Page 2

b6  
b7c

President Clinton, and is also used primarily to store personal family files. We have conducted a review of the names and types of files in user-visible folders on the iMac and have found no emails from the relevant time period belonging to the former Secretary.


In the event that we become aware of any other equipment or devices that contain or contained any emails from the relevant time period to or from any @clintonemail.com domain account assigned to former Secretary Clinton, we will inform you.

Sincerely,



David E. Kendall

DEK/bb

 11/3/15  
Serial 118

b6  
b7C

HRC-761

[Redacted]

b6 per DOS, FBI  
b7C per DOS, FBI

**From:** [Redacted]  
**Sent:** Monday, November 02, 2015 3:48 PM  
**To:** [Redacted]  
**Cc:** [Redacted]  
**Subject:** FW: INR Response  
**Attachments:** Mydocument.pdf

Good Afternoon [Redacted]

b6  
b7C

Attached is the response from INR Bureau. This response in addition to the hard copies provided earlier by the Executive Secretariat completes the request from the FBI memo dated 10/20/2015.

All the Best,

[Redacted]

b6 per DOS  
b7C per DOS

[Redacted]

Executive Assistant  
Bureau of Diplomatic Security  
Office of the Assistant Secretary  
Tel [Redacted]  
Email [Redacted]

-----Original Message-----

**From:** [Redacted]  
**Sent:** Monday, November 02, 2015 3:37 PM  
**To:** [Redacted]  
**Subject:** INR Response

b6 per DOS  
b7C per DOS

-----  
Please open the attached document. This document was digitally sent to you using an HP Digital Sending device.

~~SBU~~  
This email is UNCLASSIFIED.

HRC-762

[Redacted]

-118



**United States Department of State**

***Washington, D.C. 20520***

**UNCLASSIFIED**

November 2, 2015

**MEMO FOR ASSISTANT SECRETARY GREGORY B. STARR**

**FROM:** INR – Daniel B. Smith *DBS*

**SUBJECT:** Response to October 29 Letter on the FBI's Request for the  
Production of Records

In response to your October 29 memo requesting INR action on the FBI's Request for Production of Records, we have reviewed our records and do not possess an exact duplicate of the intelligence-related materials prepared for the Secretary of State from June 16-18, 2011. We have assembled what we believe to be a replica of what would have been provided to the Secretary's staff for those days based on our standard operating procedures and a record of the materials available for retrieval from those days. (We cannot confirm, however, whether the Secretary herself actually viewed this material.) We have those materials available for review when requested.

INR does not have a record of the actual PDB material provided to the Secretary for those days and would ask that the FBI seek those records from the Office of the Director of National Intelligence.

We have limited disclosure of this FBI request to a small number of individuals in INR who have been instructed not to disclose the existence of this request without authorization.

**Attachments:**

Tab 1 – October 29 Letter from A/S Starr

**UNCLASSIFIED**

Approved: INR Daniel B. Smith

Drafted: INR

b6  
b7c

~~SECRET//NOFORN~~



**FEDERAL BUREAU OF INVESTIGATION**  
**Electronic Communication**

**Title:** (U//~~FOUO~~) Request for AD Concurrence for  
OCONUS Travel

**Date:** 11/18/2015

**CC:** [Redacted]

ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED EXCEPT  
WHERE SHOWN OTHERWISE

b6  
b7C

**From:** WASHINGTON FIELD  
CI-Branch 2

**Contact:** [Redacted]

**Approved By:** [Redacted]

SC KABLE CHARLES H IV  
AD COLEMAN RANDALL C

**Drafted By:** [Redacted]

b6  
b7C

**Case ID #:** [Redacted]

119 (~~S//NF~~) MIDYEAR EXAM;  
MISHANDLING OF CLASSIFIED;  
UNKNOWN SUBJECT OR COUNTRY;  
SENSITIVE INVESTIGATIVE MATTER (SIM)

b3  
b7E

**Synopsis:** (U//~~FOUO~~) To request AD concurrence for two WFO Special Agents to travel to Madrid, Spain, and Manama, Bahrain, to conduct interviews.

~~Reason: 1.4(b)  
Derived From: FBI  
NSISC-20090615  
Declassify On: 20461231~~

**Full Investigation Initiated:** 07/10/2015

**Details:**

~~S//NF~~ Washington Field Office (WFO) Special Agents (SA) [Redacted]  
[Redacted] and [Redacted] respectfully request concurrence for  
travel to Madrid, Spain, and Manama, Bahrain, to conduct interviews  
with two separate individuals related to the captioned prohibited

b6  
b7C

~~SECRET//NOFORN~~

~~SECRET//NOFORN~~

Title: (U//~~FOUO~~) Request for AD Concurrence for OCONUS Travel  
Re: [REDACTED] 11/18/2015

b3  
b7E

investigation. Both interviewees have confirmed their availability and willingness to participate in the proposed FBI interviews. International Operations Division (IOD), Legat Madrid, and Legat Doha were notified of the proposed interviews, and have been assisting in facilitating the interviews and related travel. [REDACTED]

b1  
b3

[REDACTED] (S)  
A request for Country Clearance (eCC) was submitted on 11/10/2015, and approved for Bahrain on 11/12/2015 and Spain on 11/16/2015.

The following is the proposed travel itinerary for SA [REDACTED] and SA [REDACTED]

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**11/18/2017**

12:22pm - Depart Washington, DC (DCA) on American Airlines (AA) Flight 4091 to New York (JFK)

5:35pm - Depart JFK on AA Flight 8644 to Madrid, Spain (MAD)

**11/19/2015**

6:40am - Arrive in Madrid, Spain

**11/20/2015**

2:00pm - Conduct Interview #1

**11/21/2015**

9:30am - Depart MAD on Etihad Flight 76 to Abu Dhabi International (AUH)

9:35pm - Depart AUH on Etihad Flight 377 to Manama, Bahrain (BAH)

10:00pm - Arrive in Manama, Bahrain

~~SECRET//NOFORN~~



~~SECRET//NOFORN~~

Title: (U//~~FOUO~~) Request for AD Concurrence for OCONUS Travel  
Re:  11/18/2015

b3  
b7E

**11/23/2015**

2:30pm - Conduct Interview #2

**11/24/2015**

12:05am - Depart BAH on United Flight 8750 to Frankfurt, Germany (FRA)

11:25am - Depart FRA for Washington, DC (IAD) via United Flight 988

2:40pm - Arrive in Washington, DC

◆◆

~~SECRET//NOFORN~~

12/4/15  
Serial 124

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b7c

**Department of Justice  
EXECUTIVE SECRETARIAT  
CONTROL SHEET**

**DATE OF DOCUMENT:** 10/28/2015  
**DATE RECEIVED:** 11/18/2015

**WORKFLOW ID:** 3149177  
**DUE DATE:** 12/3/2015

**FROM:** The Honorable Ron Desantis\*  
U.S. House of Representatives  
Washington, DC 20515

**TO:** AG

**MAIL TYPE:** Congressional Priority

**SUBJECT:** (Rec'd from OLA via email) Advising that the FBI is conducting an investigation into former Secretary of State Hillary Rodham Clinton's use of a private, unsecured, email server to conduct official government business and whether Clinton's use of this server violated laws pertaining to federal records and the transmission of classified information. Stating that a Special Counsel should be appointed in order to preserve the integrity of this investigation and any subsequent prosecution to ensure there is no bias or undue influence from the White House. Letter signed by 55 other MCs. See related corres in ES.

**DATE ASSIGNED**  
11/18/2015

**ACTION COMPONENT & ACTION REQUESTED**  
OLA  
For appropriate handling. Advise ES of any action taken.

**INFO COMPONENT:** OAG, OAG [redacted] ODAG, CRM, FBI, NSD, OIP

b6  
b7C

**COMMENTS:** 11/18/2015: Per OLA [redacted] assign to OLA for appropriate handling.  
ExecSec date/time stamp 11/18/2015 7:20AM

**FILE CODE:**

**EXECSEC POC:** [redacted]

b6  
b7C

HRC-771

[redacted]

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b3  
b7E

**Congress of the United States**  
**Washington, DC 20515**

October 28, 2015

The Honorable Loretta E. Lynch  
Attorney General  
United States Department of Justice  
950 Pennsylvania Avenue, NW  
Washington, DC 20530

Dear Attorney General Lynch,

The Federal Bureau of Investigation (FBI) is currently conducting an investigation into former Secretary of State Hillary Rodham Clinton's use of a private, unsecured, e-mail server to conduct official government business and whether Clinton's use of this sever violated laws pertaining to federal records and the transmission of classified information. That Secretary Clinton reportedly used this server to both send and receive information classified at the Top Secret level, and that members of the military and intelligence communities have been – and continue to be – prosecuted for infractions arguably much less egregious, make it of the utmost importance that the FBI's findings are considered by the Department of Justice in a politically impartial manner.

Unfortunately, during a CBS interview on October 11, 2015, President Barack Obama commented on the ongoing investigation into Secretary Clinton's server, declaring that: "I don't think it posed a national security problem." Given that the FBI has not finished its investigation regarding the use of Secretary Clinton's server, the President prejudged the outcome of the investigation. Needless to say, this is not the way that a politically impartial investigation should be conducted.

Under Department of Justice regulations, you have the grounds to appoint a Special Counsel when it is determined that criminal investigation of a person is warranted and that the investigation of that person "by a United States Attorney's Office or litigating Division of the Department of Justice would present a conflict of interest for the Department or other extraordinary circumstances."

At this point, a Special Counsel must be appointed in order to preserve the integrity of this investigation and any subsequent prosecution and ensure that there is no bias or undue influence from the White House. It is not within the purview of the President to conclude, much less without a full investigation, that classified information carelessly transmitted over an improperly secured connection did not pose a risk to our national security.

As Attorney General, you are trusted by the American people to pursue justice regardless of political considerations. Under the extraordinary circumstances established by the President's recent remarks, we ask that you appoint a Special Counsel to oversee this critical investigation.

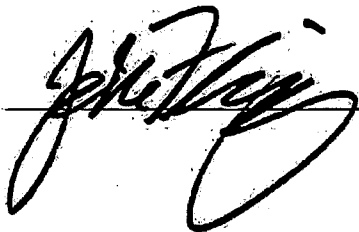
Sincerely,



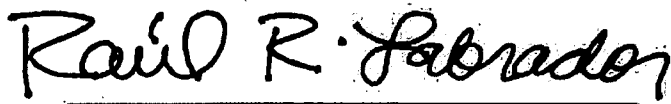




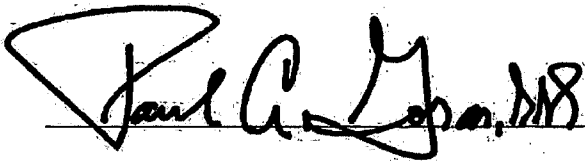


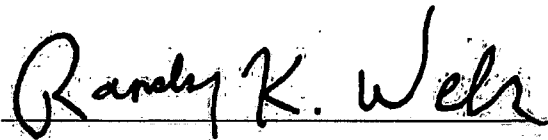


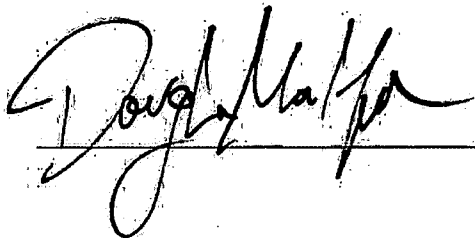














Bill Josey FL 08

Bill Josey FL 08

John P. ... TX 01

John P. ... TX 01

David B. ... VA-7

David B. ... NC-07

Thomas M. ... KY 4

Thomas M. ... KY 4

Tom ... OH 11

Tom ... OH 11

W. ... VA-9

W. ... VA-9

Walt B. Jones, NC-3

Walt B. Jones, NC-3

Don Rader

Walter B. Jones

Mark Sanford

James Romey

~~Mark Sanford~~

Wally Byrd PA-04

Wally Byrd

Min Mah

~~David Schick~~

D-d Rouzer

Bill Posey

JPP Mann

Sam Johnson

John Ratcliffe

Mark Walker

David Brat

Jt. Al MI-03

Tom Rice

Boyd Buchanan

Beni Lerner

Jon Ruck

Jo Jordan

Ben Fournier

John R. ...

John ...



Ted S. Gole

Jim Binderstrom -  
OK-1

K. Quirk

J. L.

Tim Huckamp

1. Ron DeSantis (FL-6)
2. Trent Franks (AZ- 8)
3. Matt Salmon (AZ-5)
4. Steve King (IA-4)
5. John Fleming (LA-4)
6. Andy Harris (MD-1)
7. Raul Labrador (ID-1)
8. Mark Meadows (NC-11)
9. Paul Gosar (AZ-4)
10. Randy Weber (TX-4)
11. Doug LaMalfa (CA-1)
12. Thomas Massie (KY- 4)
13. Mick Mulvaney (SC-5)
14. Gary Palmer (AL-6)
15. David Schweikert (AZ-6)
16. Justin Amash (MI-3)
17. Jeff Duncan (SC-3)
18. Marshall "Mark" Sanford (SC-1)
19. Dana Rohrabacher (CA-48)
20. Marlin Stutzman (IN-3)
21. Tom Rice (SC-7)
22. Mark Walker (NC-6)
23. Thomas Rooney (FL-17)
24. Bill Posey (FL-8)
25. Scott Perry (PA-4)
26. John Ratcliffe (TX-4)
27. David Brat (VA-7)
28. David Rouzer (NC-7)
29. Barry Loudermilk (GA-11)
30. Morgan Griffith (VA-9)
31. Walter Jones Jr. (NC-3)
32. Kevin Cramer (ND At-Large)
33. Ryan Zinke (MT At-Large)
34. Bill Flores (TX-17)
35. Michael Burgess (TX-26)
36. Jim Jordan (OH-4)
37. Louie Gohmert (TX-1)
38. Brian Babin (TX-36)
39. Jim Bridenstine (OK-1)
40. Ted Yoho (FL-3)
41. Mike Conaway (TX-11)
42. Tim Huelskamp (KS-1)
43. Trent Kelly (MS-1)
44. Jeb Hensarling (TX-5)

**From:** [redacted] (OLA)  
**Sent:** Tuesday, November 17, 2015 3:37:36 PM  
**To:** DOJExecSec (JMD)  
**CC:** [redacted] (JMD)  
**Subject:** FW: Letter from Congressman Ron DeSantis

b6  
b7C

Pls log the attached & assign to OLA [redacted] for appropriate handling. Thanks.

b6  
b7C

**From:** [redacted] (OLA)  
**Sent:** Tuesday, November 17, 2015 3:33 PM  
**To:** [redacted] (OLA)  
**Cc:** [redacted] (OLA)  
**Subject:** FW: Letter from Congressman Ron DeSantis

b6  
b7C

[redacted]  
Can you enter into Exec Sec and then assign to OLA? [redacted]  
We will draft and response and circulate to NSD, FBI, ODAG as appropriate.

b6  
b7C

Many thanks -

**From:** [redacted] (OLA)  
**Sent:** Tuesday, November 17, 2015 10:39 AM  
**To:** [redacted] (OLA)  
**Cc:** [redacted] (OLA)  
**Subject:** FW: Letter from Congressman Ron DeSantis

b6  
b7C

H [redacted]

b6  
b7C

Is this one in your portfolio? Please advise. Thanks!

All the best,  
[redacted]

**From:** [redacted] [mailto:[redacted]]  
**Sent:** Tuesday, November 17, 2015 10:36 AM  
**To:** [redacted] (OLA)  
**Cc:** [redacted]  
**Subject:** Letter from Congressman Ron DeSantis

b6 per FBI, OLA  
b7C per FBI

Good Morning [redacted]


b6  
b7C

Attached is a letter for your consideration. Please review and advise.

Respectfully,  
[redacted]

[redacted] Congressman Ron DeSantis  
308 Cannon House Office Building | [redacted] <http://DeSantis.House.Gov>

b6 per FBI, OLA  
b7C per FBI

  
12/8/65  
Serial 125

b6  
b7c

HRC-780

LAW OFFICES  
**WILLIAMS & CONNOLLY LLP**

725 TWELFTH STREET, N.W.

WASHINGTON, D. C. 20005-5901

(202) 434-5000

FAX (202) 434-5029

EDWARD BENNETT WILLIAMS (1920-1988)  
PAUL R. CONNOLLY (1922-1978)

DAVID E. KENDALL  
(202) 434-5145  
dkendall@wc.com

December 7, 2015

BY E-MAIL

[Redacted]  
U.S. Department of Justice  
National Security Division  
905 Pennsylvania Avenue NW  
Washington, DC 20530

b6  
b7C

Dear [Redacted]

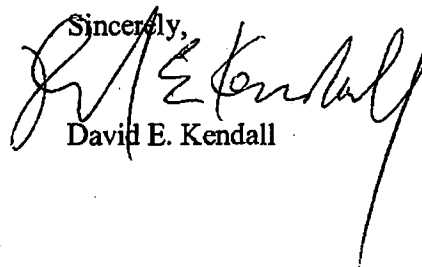
b6  
b7C

As memorialized in our prior correspondence, former Secretary Clinton has provided consent to search certain of her files for evidence relevant to your security inquiry. That consent applies to her files dated January 21, 2009 to February 1, 2013 and located on specified devices, server equipment, and backup files that have been provided to the Department of Justice for this purpose.

This letter will confirm that the consent to search her files for evidence related to your security inquiry applies to files on those specified devices, server equipment, and backup files that are dated January 21, 2009 to February 1, 2013 and were sent or received from the hr15@mycingular.blackberry.net or hr15@att.blackberry.net accounts. In confirming this consent, we note that it applies solely to the above-described files of Secretary Clinton, and not to the files of any other individuals.


In connection with this confirmation of consent to search for evidence related to your security inquiry with respect to these two accounts, I incorporate by reference my October 1, 2015 letter, which provides a list of individuals and entities with whom Secretary Clinton may have communicated in a privileged context, and my October 16, 2015 e-mail, which supplemented that list.

We request and anticipate that at the conclusion of your inquiry you will return to us Secretary Clinton's non-federal record e-mails.

Sincerely,  
  
David E. Kendall

HRC-781

b3  
b7E

  
12/30/15  
serial 126

LAW OFFICES  
**WILLIAMS & CONNOLLY LLP**

725 TWELFTH STREET, N.W.

WASHINGTON, D. C. 20005-5901

(202) 434-5000

FAX (202) 434-5029

EDWARD BENNETT WILLIAMS (1920-1988)  
PAUL R. CONNOLLY (1922-1978)

DAVID E. KENDALL  
(202) 434-5145  
dkendall@wc.com

December 11, 2015

BY E-MAIL

[Redacted]  
U.S. Department of Justice  
National Security Division  
905 Pennsylvania Avenue NW  
Washington, DC 20530

b6  
b7C

Dear [Redacted]

b6  
b7C

Enclosed please find documents stamped HC-001 – HC-054, which are responsive to your requests. We note that former President Clinton’s counsel has no objection to the production of these documents.

Sincerely,

*David E. Kendall*

David E. Kendall

cc:

[Redacted]

b6  
b7C

HRC-783

[Redacted]

- 126

b3  
b7E

## Vendor QuickReport

### All Transactions

	Payment Type	Date	Financial Institution	Debit	Credit
<b>Bryan Pagliano</b>					
	Check	03/30/2009	JP Morgan		5,000.00
	Wire	05/28/2009	JP Morgan		350.00
	Wire	06/14/2010	JP Morgan		650.00
	Wire	01/13/2011	JP Morgan		1,125.00
	Wire	03/23/2011	JP Morgan		2,062.50
	Wire	04/25/2011	JP Morgan		264.92
	Wire	05/03/2011	JP Morgan		336.39
	Wire	06/07/2011	JP Morgan		610.72
	Wire	06/17/2011	JP Morgan		8,350.83
	Check	08/30/2011	JP Morgan		2,762.50
	Wire	11/28/2011	JP Morgan		4,062.50
	Deposit	12/05/2011	JP Morgan	2,762.50	
	Wire	02/06/2012	JP Morgan		3,481.25
	Check	10/22/2012	JP Morgan		2,500.00
	Check	04/13/2012	JP Morgan		2,812.50
	Check	02/01/2013	JP Morgan		3,187.50
	Check	05/02/2013	JP Morgan		1,812.50
	Check	07/12/2013	JP Morgan		968.75
<b>Total</b>				<b>2,762.50</b>	<b>40,337.86</b>

HC-001



**Bryan Pagliano**

Phone

**INVOICE**

b6  
b7C

Date: 2011

**To:**  
Justin Cooper

**For:**  
Tech labor  
Please make payable to Bryan Pagliano

DESCRIPTION	HOURS	RATE	AMOUNT
Configure basic IPS and troubleshoot email issue mac client issue	4	\$125	\$500.00
Work on IPS config and anyconnect VPN	4	\$125	\$500.00
attempt to readdress .39 network 1/7 .	1.5	\$125	\$187.50
Onsite work plus expenses	-	\$1450	\$1450.00
Check botnet filter, virtualization and IPS monitoring	.75	\$125	93.75
Configure VPN, configure 2 factor, update ips image and signatures	4	\$125	\$500.00
Configure IPS in logging mode	2	\$125	\$250.00
<b>Total</b>			<b>\$3481.25</b>

5102

J.P.Morgan

JPMorgan Chase Bank, N.A.  
New York, New York

1-2-210

CLINTON EXECUTIVE SERVICE CORP.

10/22/2012

PAY  
TO THE  
ORDER OF

Bryan Pagliano

\$ \*\*2,500.00 2

Two Thousand Five Hundred and 00/100 \*\*\*\*\* DOLLARS

Bryan Pagliano  
[Redacted]

[Redacted]

b6  
b7C

MEMO

Inv Dtd:10/15/12 - Tech Labor

[Redacted]

b6  
b7C

CLINTON EXECUTIVE SERVICE CORP.

5102

Bryan Pagliano  
Expenses:IT Services

Inv Dtd:10/15/12 - Tech Labor

10/22/2012

2,500.00

CESC - JPM Bill Pay Inv Dtd:10/15/12 - Tech Labor

2,500.00

CLINTON EXECUTIVE SERVICE CORP.

5102

Bryan Pagliano  
Expenses:IT Services

Inv Dtd:10/15/12 - Tech Labor

10/22/2012

2,500.00

CESC - JPM Bill Pay Inv Dtd:10/15/12 - Tech Labor

2,500.00



CLINTON

JPMORGAN CHASE BANK, N.A.  
NEW YORK, NEW YORK  
1-2-210

4/13/2012

PAY TO THE ORDER OF Bryan Pagliano

\$ \*\*2,812.50 3

Two Thousand Eight Hundred Twelve and 50/100\*\*\*\*\*

DOLLARS

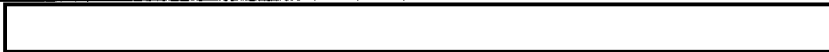
Security features. Details on back.

Bryan Pagliano



b6  
b7C

MEMO Tech Labor



b6  
b7C

CLINTON

Bryan Pagliano  
Personal Expenses:IT Expense

Tech Labor

4/13/2012

2,812.50

JPM-Bill Pay-3661- 20 Tech Labor

2,812.50

CLINTON

Bryan Pagliano  
Personal Expenses:IT Expense

Tech Labor

4/13/2012

2,812.50

JPM-Bill Pay-3661- 20 Tech Labor

2,812.50

<b>Bryan Pagliano</b>	<b>INVOICE</b>
<div style="border: 1px solid black; width: 150px; height: 25px; margin-bottom: 5px;"></div> <div style="border: 1px solid black; width: 100px; height: 20px;"></div>	
Phone <div style="border: 1px solid black; width: 100px; height: 20px; display: inline-block;"></div>	Date: April 10, 2012

b6  
b7C

<b>To:</b> Justin Cooper	<b>For:</b> Tech labor Please make payable to Bryan Pagliano
-----------------------------	--

DESCRIPTION	HOURS	RATE	AMOUNT
VPN and SSL cert	1.5	\$125	\$187.50
fix symantec av updating Issue	2	\$125	\$250
Drive ordering, fix vpn, tune IPS	4	\$125	\$500
Blackberry troubleshooting	6	\$125	\$750
Dynamic IP blocking	2	\$125	\$250
Troubleshoot email and vpn	2	\$125	\$250
Troubleshoot blackberry and install forefront 2010	4	\$125	\$500
Quote and apply forefront license	1	\$125	\$125
<b>Total</b>			<b>\$2812.50</b>

3

3172

J.P.Morgan

JPMorgan Chase Bank, N.A.  
New York, New York  
1-2-210



CLINTON

2/1/2013

PAY TO THE ORDER OF Bryan Pagliano

\$ \*\*3,187.50 4

Three Thousand One Hundred Eighty-Seven and 50/100..... DOLLARS

Bryan Pagliano



MEMO

Tech Labor

Security Features. Details on back.

b6  
b7C

b6  
b7C

CLINTON

3172

Bryan Pagliano  
Personal Expenses:IT Expense

Tech Labor

2/1/2013

3,187.50

JPM-Bill Pay-3661- 20 Tech Labor

3,187.50

CLINTON

3172

Bryan Pagliano  
Personal Expenses:IT Expense

Tech Labor

2/1/2013

3,187.50

*Change  
address  
TKS*

JPM-Bill Pay-3661- 20 Tech Labor

3,187.50

<b>Bryan Pagliano</b>	<b>INVOICE</b>		
<table border="1"> <tr> <td>Phone</td> <td></td> </tr> </table>	Phone		Date: Oct 15, 2012
Phone			

b6  
b7C

<b>To:</b> Justin Cooper	<b>For:</b> Tech labor Please make payable to Bryan Pagliano
-----------------------------	--

DESCRIPTION	HOURS	RATE	AMOUNT
Troubleshoot BlackBerry Issues 10/24	5	\$125	\$625
Consult on Sandy aftermath 10/31	2	\$125	\$250
Restart servers after electricity restored 11/9	1.5	\$125	\$187.50
Adjustments to Forefront 11/27, 11/26	1.5	\$125	\$187.50
Mailbox 11/29, 11/28	4	\$125	\$500
Mailbox and powerchute 11/30	4	\$125	\$500
Mailbox kick off before sept2009 job	1	\$125	\$125
Mailbox kick off sept2009 - dec 2009 job	1.5	\$125	\$187.50
Mailbox kick off dec 2009 - apr 2010	.5	\$125	\$62.50
Mailbox kick off apr 2010- sept 2010	.5	\$125	\$62.50
Mailbox kickoff sept 2010 - January 2011	.5	\$125	\$62.50
Mailbox kickoff January 2011 - may 2011 and fix corruption in Justin's mailbox	.5	\$125	\$62.50
Conf Call w/ infograte consultant 1/3	2	\$125	\$250
Conf Call 1/15	1	\$125	\$125
<b>Total</b>			<b>\$3187.50</b>

4

HC-008

HRC-791

3393

**J.P.Morgan**

JPMorgan Chase Bank, N.A.  
New York, New York  
1-2-210

Check Photo  
Protected by Security

**CLINTON**

5/2/2013

PAY TO THE  
ORDER OF

Bryan Pagliano

\$ \*\*1,812,50<sup>5</sup>

One Thousand Eight Hundred Twelve and 50/100

DOLLARS

Bryan Pagliano

[Redacted]

[Redacted]

Security features. Details on back.



b6  
b7C

MEMO

Tech Labor

[Redacted]

b6  
b7C

**CLINTON**

3393

Bryan Pagliano  
CESC Expenses:IT Plan

Tech Labor

5/2/2013

1,812.50

JPM-Bill Pay-3661- 20 Tech Labor

1,812.50

**CLINTON**

3393

Bryan Pagliano  
CESC Expenses:IT Plan

Tech Labor

5/2/2013

1,812.50

JPM-Bill Pay-3661- 20 Tech Labor

1,812.50



<b>Bryan Pagliano</b>	<b>INVOICE</b>
<input type="text"/> <input type="text"/>	
Phone <input type="text"/>	
	Date: Jan, 2013

b6  
b7C

<b>To:</b> <input type="text"/>	<b>For:</b> Tech labor Please make payable to Bryan Pagliano
------------------------------------	--

b6  
b7C

DESCRIPTION	HOURS	RATE	AMOUNT
Network documentation for consultant 2/9/2013	2	\$125	\$250
Check system report on Raid Battery issue/Botnet filter subscription	1	\$125	\$125
Consultation on potential H email issue	1	\$125	\$125
Apply botnet license	2	\$125	\$250
Wrote recommendation to Cheryl 3/20	2	\$125	\$250
Conversation with <input type="text"/> 4/3	2	\$125	\$250
Call with Cheryl and <input type="text"/> 4/11	1	\$125	\$125
Call with cheryl <input type="text"/> and document review 4/15	1.5	\$125	\$187.50
Emails to <input type="text"/> and data collection for <input type="text"/> about tech details	1	\$125	\$125
Email and document review 4/22	1	\$125	\$125
<b>Total</b>			<b>\$1812.50</b>

b6  
b7C

5

3598

J.P.Morgan

JPMorgan Chase Bank, N.A.  
New York, New York  
1-2-210



CLINTON

7/12/2013

PAY TO THE ORDER OF Bryan Pagliano

\$ \*\*968.75 6

Nine Hundred Sixty-Eight and 75/100\*\*\*\*\*

DOLLARS

Bryan Pagliano



Security Features. Details on back.



b6  
b7C

MEMO

Tech Labor

b6  
b7C

CLINTON

3598

Bryan Pagliano  
CESC Expenses:IT Project

Tech Labor

7/12/2013

968.75

JPM-Bill Pay-3661- 20 Tech Labor

968.75

CLINTON

3598

Bryan Pagliano  
CESC Expenses:IT Project

Tech Labor

7/12/2013

968.75

JPM-Bill Pay-3661- 20 Tech Labor

968.75

<b>Bryan Pagliano</b>	JUL 10 2013
Phone [redacted]	
	Date: July 10, 2013

b6  
b7C

To: [redacted]	For: Tech labor Please make payable to Bryan Pagliano
----------------	---

b6  
b7C

DESCRIPTION	HOURS	RATE	AMOUNT
Troubleshoot message delivery failure and respond to emails	1.5	\$125	\$187.50
Cloud Jacket Demo meeting 5/8/13	1	\$125	\$125
Check server for <a href="http://brynpagliano.com">brynpagliano.com</a> 5/13/2013	.5	\$125	\$62.50
Conference call with [redacted] 6/3/2013	1	\$125	\$125
Conference call with [redacted] 6/4/2013	.75	\$125	\$93.75
Emails to Platte Team 6/5/2013	1	\$125	\$125
Troubleshoot Blackberry and House Power issues 6/17	2	\$125	\$250
<b>Total</b>			<b>\$968.75</b>

b6  
b7C





From: "Bryan M. Pagliano" [redacted]  
Subject: Re: So it looks like  
Date: April 24, 2011 10:50:59 PM EDT  
To: Justin Cooper [redacted]

9

b6  
b7C

Total is \$264.92 with 2 day shipping and rush order processing.

-Bryan

On Sun, Apr 24, 2011 at 9:59 PM, Bryan Pagliano [redacted] wrote:  
No problemo, I'll let you know the total.

b6  
b7C

-Bryan

On Sun, Apr 24, 2011 at 9:51 PM, Justin Cooper [redacted] wrote:  
If you do not mind -- easier if you put on yours and I can send a bank check out to you tomorrow morning

b6  
b7C

On Apr 24, 2011, at 9:29 PM, Bryan Pagliano wrote:

We do need to buy another switch that can do jumbo frames and vlans. I looked through all the unmanaged dell switches and they don't do jumbo frames.

Found a nice little cisco that will work for about \$230.

Do you have a credit card that you can use to buy it and ship it to me? If not, I can just put it on my card and bill you for it later.

Here is the link:

<http://www.newegg.com/Product/Product.aspx?Item=N82E16833150087>

If you could 2 day ship it, I scheduled with my Cisco friend to hang out this week and take a look at things.

-Bryan

From: "Bryan M. Pagliano" [redacted]  
Subject: Fwd: Total cost \$336.39 - Order Confirmation  
Date: April 30, 2011 2:38:07 PM EDT  
To: Justin Cooper [redacted]

b6  
b7C

----- Forwarded message -----  
From: Newegg <info@newegg.com>  
Date: Sat, Apr 30, 2011 at 2:36 PM  
Subject: Newegg.com - Order Confirmation  
To: [redacted]

b6  
b7C

Newegg logo

My Account |  Customer Service

[Twitter](#) | [YouTube](#) | [Facebook](#) | [Myspace](#)

[click to browse e-Blast](#)

[click to browse Shell Shocker](#)

[click to browse Daily Deals](#)

Dear Bryan M Pagliano,

Customer ID: [redacted]  
Account Number: [redacted]

b6  
b7C

Thank you for shopping at Newegg.com

We're delighted we had what you were looking for!

Your order should be processed and ready to be shipped within 24-48 hours. Below is your order confirmation. Please keep a copy for your records.

Please click [here](#) to check order status.

**Order Summary:**  
Sales Order Number: 78908157  
Sales Order Date: 4/30/2011 11:35:17 AM

**Billing Information**  
Bryan M Pagliano

[redacted]

b6  
b7C

**Shipping Information**  
Justin G Cooper

[redacted]

b6  
b7C

UPS 3 DAYS

1 x (\$309.99) UPS BATTERY APCIRBC24 RT \$309.99

Payment Term: Mastercard  
Extended Warranty: \$0.00  
Subtotal: \$309.99  
Tax: \$0.00  
Shipping and Handling: \$26.40  
Total Amount: \$336.39

To view our Return Policies, please click [here](#). Newegg.com reserves the right, in its sole discretion, to cancel the order at any time prior to shipment without liability.

To view more recommendations, please click [here](#).

If you have any questions, please use our [LiveChat](#) function or visit our [Contact Us Page](#).

Once You Know, You Newegg.®

From: "Bryan M. Pagliano" [redacted]  
Subject: **Fwd: Newegg.com - Rush Order Confirmation**  
Date: June 6, 2011 1:41:51 PM EDT  
To: Justin Cooper [redacted]

b6  
b7C

Total is \$610.72

-Bryan

----- Forwarded message -----  
From: Newegg <info@newegg.com>  
Date: Mon, Jun 6, 2011 at 1:40 PM  
Subject: Newegg.com - Rush Order Confirmation  
To: [redacted]

b6  
b7C

Newegg logo

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[Customer Services](#)

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[You Tube](#)

[Facebook](#)

[Myspace](#)

[Click to browse e-Blast](#)

[Click to browse Shell Shocker](#)

[Click to browse Daily Deals](#)

[Electronics](#)

[Cameras Software Gaming](#)

[MarketPlace Outlet More](#)

From: Bryan M Pagliano

Customer ID: [redacted]

Account Number: [redacted]

b6  
b7C

Thank you for shopping at Newegg.com.

We appreciate your confidence and your business!

We will attempt to rush this order for same day shipping, as you've requested and paid for, provided that the following conditons are met:

1. Your order is submitted before 12:00PM PT (noon)
2. Your transaction and credit card authorization is successfully completed before 12:30PM PT.
3. Your shipping address matches your credit card billing address.

**Disclaimer:**

IN ALL CIRCUMSTANCES, Newegg's MAXIMUM LIABILITY IS LIMITED TO THIS RUSH ORDER FEE ONLY. WHILE NEWEGG CANNOT GUARANTEE SAME DAY SHIPMENT FOR ALL ORDERS, WE WILL PUT FORTH OUR BEST EFFORT TO SHIP THIS ORDER ON **6/6/2011**, OR YOUR RUSH ORDER PROCESSING FEE WILL BE REFUNDED.

Below is your order confirmation. Please keep a copy for your records.

Please click [here](#) to check Order Status.

**Order Summary:**

**Sales Order Number:** 80741957  
**Sales Order Date:** 6/6/2011 10:39:16 AM

**Billing Information**

Bryan M Pagliano

[Redacted Billing Information]

**Shipping Information**

Bryan M Pagliano

[Redacted Shipping Information]

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**Item List:**1 x **(\$499.99)** UPS APC I SUA1500X413 RT

\$499.99

**Payment Summary:**

<b>Payment Term:</b>	Mastercard
<b>Extended Warranty:</b>	\$0.00
<b>Subtotal:</b>	\$499.99
<b>Tax:</b>	\$0.00
<b>Shipping and Handling:</b>	\$107.74
<b>Rush Order:</b>	\$2.99
<b>Total Amount:</b>	\$610.72

If you have any questions, please use our [LiveChat](#) function or visit our [Contact Us Page](#).

Once You Know, You Newegg.

Your Newegg.com Customer Service Team

ONCE YOU KNOW, YOU NEWEGG. ©

[Policy and Agreement](#) | [Privacy Policy](#) | [Confidentiality Notice](#)

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<b>Bryan Pagliano</b>	<b>INVOICE</b>
<div style="border: 1px solid black; width: 100px; height: 20px; margin-bottom: 5px;"></div> <div style="border: 1px solid black; width: 80px; height: 15px; display: inline-block;"></div>	Date: June 14, 2011

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<b>To:</b> Justin Cooper	<b>For:</b> Tech labor Please make payable to Bryan Pagliano
-----------------------------	--

DESCRIPTION	HOURS	RATE	AMOUNT
Unpacked and setup asa and disk array started config 4/3/2011	2	\$125	\$250
Worked on syslog server and asa update 4/4/2011	2	\$125	\$250
Worked on syslog server called cisco about ASA software 4/5/2011	2	\$125	\$250
Virus investigation and cleanup updated the ASA SW 4/6/2011	2.5	\$125	\$625
Clean up virus from bb profile	1.5	\$125	\$187.50
Basic NAs setup, network design, work on syslog server 4/24/2011	4	\$125	\$500
Cisco ASA, and switch configs 4/28/2011	7	\$125	\$875
Iscsi configuration format of NAS, Battery mixup reorder 4/30/2011	4	\$125	\$500
Syslog configuration client side traps 5/4/2011	2	\$125	\$250
ACL configurations on the ASA 5/5/2011	2	\$125	\$250
Pack equipment and tools and drive to NY, Final ASA configs pre implementation 6/12/2011	10	\$125	\$1250
Onsite, Implement new equipment, repair battery, troubleshoot and test 6/13/2011	12	\$125	\$1500
Morning final test, return, unpack, troubleshoot printer and reported slow email 6/14/2011	7	\$125	\$875
Travel Reimbursements			\$788.33
<b>Total</b>			<b>\$8350.83</b>

12



**COMFORT INN AND SUITES (NY618)**

20 SAW MILL RIVER RD  
HAWTHORNE, NY 10532 USA  
Phone: (914) 592-8600  
Fax: (914) 592-7457  
gm.NY618@choicehotels.com

Account:   
Date: 06/14/11  
Page: 1 of 1  
Room: 221 BAR  
Arrival Date: 06/12/11 21:30  
Departure Date: 06/14/11 09:49  
Frequent Traveler ID:  
You were checked out by:   
You were checked in by:

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PAGLIANO, BRYAN

Post Date	Description	Comment	Amount
06/12/11	ROOM CHARGE	#221 PAGLIANO, BRYAN	160.00
06/12/11	STATE TAX	STATE TAX	11.81
06/12/11	CITY/COUNTY TAX	CITY/COUNTY TAX	4.80
06/13/11	ROOM CHARGE	#221 PAGLIANO, BRYAN	160.00
06/13/11	STATE TAX	STATE TAX	11.81
06/13/11	CITY/COUNTY TAX	CITY/COUNTY TAX	4.80
06/14/11	MASTER CARD	MASTER CARD Acc: <input type="text"/>	-353.22
Balance Due:			0.00

If payment by credit card, I agree to pay the above total charge amount according to the card issuer agreement.

x \_\_\_\_\_



**COMFORT INN AND SUITES (NY618)**

20 SAW MILL RIVER RD  
HAWTHORNE, NY 10532 USA  
Phone: (914) 592-8600  
Fax: (914) 592-7457

Room: 221  
Arrival Date: 06/12/11  
Departure Date: 06/14/11  
Account:   
Frequent Traveler ID:  
Approval Number:  
Card Type: MC  
Date: 6/14/2011  
Card Number:   
Total: 353.22

BRYAN PAGLIANO

If payment by credit card, I agree to pay the above total charge amount according to the card issuer agreement.

x \_\_\_\_\_

Thank you for your business! Book your next reservation on choicehotels.com for the best internet rates guaranteed.

ENTERPRISE RAC COMPANY, 2020 JEFFERSON DAVIS HWY, ARLINGTON, VA 222023601 (703) 418-7240

RENTAL AGREEMENT REF# 592120  
 9RLSPB

**SUMMARY OF CHARGES**

RENTER  
 PAGLIANO, BRYAN  
 DATE & TIME OUT  
 06/12/2011 11:57 AM  
 DATE & TIME IN  
 06/14/2011 04:34 PM

Charge Description	Date	Quantity	Per	Rate	Total
TIME & DISTANCE	06/12 - 06/14	3	DAY	\$59.99	\$179.97
DW	06/12 - 06/14	3	DAY	\$17.99	\$53.97
PAI	06/12 - 06/14	3	DAY	\$3.00	\$9.00
REFUELING CHARGE	06/12 - 06/14				\$0.00
ROADSIDE ASSISTANCE PROTECTION	06/12 - 06/14	3	DAY	\$3.99	\$11.97
<b>Subtotal:</b>					<b>\$254.91</b>

BILLING CYCLE  
 24-HOUR  
 VEH #1 2011 JEEP LBTY SPT4  
 VIN# 1J4PN2GK7BW552009  
 LIC# XFR2744  
 MILES DRIVEN 296

<b>Taxes &amp; Surcharges</b>					
VEHICLE LICENSE	06/12 - 06/14	3	DAY	\$0.23	\$0.69
RECOVERY FEE					
VIRGINIA ADDITIONAL TAX	06/12 - 06/14			4%	\$10.23
VIRGINIA RENTAL FEE	06/12 - 06/14			2%	\$5.11
VIRGINIA RENTAL TAX	06/12 - 06/14			4%	\$10.22
<b>Total Charges:</b>					<b>\$281.16</b>

**Total Amount Due \$0.00**

**PAYMENT INFORMATION**

AMOUNT PAID \$281.16  
 TYPE Mastercard

**CREDIT CARD NUMBER**

[REDACTED]

PENDING

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6/14/2011

HC-021

HRC-804

COURTESY MOBIL  
280 SAWMILL RIVER RD  
ELMSFORD N.Y  
914-592-8618

Sale

[Redacted]

Inv. # XM86110  
9748286  
Date 06/13/11 21:31  
ELMSFORD SNACK  
ELMSFORD NY  
Pump # 3 Regular  
Gallons 13.923  
Price/Gal ..\$ 4.099  
Fuel Sale ..\$ 57.07

You Saved \$0.100/Gal

THANK YOU FOR  
CHOOSING MOBIL

FOREST GLEN BP  
2601 FOREST GLEN RD  
SILVER SPRING MD  
9320375

DATE 06/12/11 16:45  
PUMP # 03  
PRODUCT: REGULR  
GALLONS: 13.306  
PRICE/G: \$ 3.899  
FUEL SALE \$ 51.88

MASTERCARD

[Redacted]

Ref: 68691041  
Resp Code: 000  
Stan: 019083334

SITE ID: 9320375

Earn a 5% rebate  
with the BP Visa  
Take application  
and Apply Today

THANK YOU  
HAVE A NICE DAY

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*tolls DC -> N.Y  
Round trip  
\$45*

**Bryan Pagliano**

# INVOICE

Phone

Date: 2011

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**To:**  
Justin Cooper

**For:**  
Tech labor  
Please make payable to Bryan Pagliano

DESCRIPTION	HOURS	RATE	AMOUNT
Troubleshoot and turn off esmtp inspect, install virus protection on syslog server 6/15/2011	2	\$125	\$250
Install solarwinds log forwarder, troubleshoot symantec install, configure syslog for firewall and servers, block a bfa manually 6/21/2011	3	\$125	\$325
ASA configurations, scanning filter, botnet filter, spoofing filte, sbsmonacct troubleshooting	2	\$125	\$250
Take Screen shots for gui block of Brute force attack 7/18/2011	1	\$125	\$125
Block BFA ip's, patch blackberry server with microsoft patches, look through syslogs	1.5	\$125	\$187.50
Reset password and block bfa 8/1/2011	.75	\$125	93.75
Check software version of BES server and download patches, research 2 factor solutions 8/9/2011	2	\$125	\$250
Patch bb server, block BFA, type up how to block bfa at firewall 8/11/2011	1.5	\$125	\$187.50
Register Cisco licenses through <a href="http://cisco.com">cisco.com</a> 8/15/2011	1	\$125	\$125
Uploaded Humas contacts 8/17/2011	1	\$125	\$125
Block a BFA and install licenses on the ASA 8/18/2011	1	\$125	\$125
Configure Botnet filter, gather IPS docs 8/23/2011	1.75	\$125	218.75
Pushed new security policy to [redacted] VPN configuration planning	2	\$125	\$250
Applied security policy to [redacted] BB, configured self signed cert on asa 8/25/2011	2	\$125	\$250
<b>Total</b>			<b>\$2762.50</b>

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## Vendor QuickReport All Transactions

	Payment Type	Date	Financial Institution	Debit	Credit
<b>US21, INC.</b>					
	Check	03/03/2009	Citi Checking		5,155.00
	Check	03/30/2009	JP Morgan		921.00
	Check	03/26/2010	JP Morgan		160.00
	Check	01/21/2011	JP Morgan		3,957.00
	Check	03/02/2011	JP Morgan		216.00
	Check	03/02/2011	JP Morgan		6,449.00
	Check	08/02/2011	JP Morgan		1,880.00
	Credit Card Charge	02/12/2012	JP Morgan		150.00
	Check	05/21/2012	JP Morgan		880.00
	Check	01/31/2014	JP Morgan		738.95
<b>Total</b>				<b>0.00</b>	<b>20,506.95</b>



2721 Prosperity Ave. Suite 300  
FAIRFAX, VA 22031

# QUOTATION

Quote Number: CLIN-0224  
Quote Date: Feb 24, 2011  
Page: 1

Voice: 703 560-0021  
Fax: 703-560-2336

<b>Quoted To:</b>
WILLIAM CLINTON
<input type="text"/>

<b>Ship To:</b>
Bryan Paoliano
<input type="text"/>

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<b>Customer Contact</b>	<b>Good Thru</b>	<b>Payment Terms</b>	<b>Sales Rep</b>
Justin Cooper	3/26/11	Net 30 Days	

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Quantity	Item	Description	Unit Price	Amount
1.00	AND-PGO-PK	MNT PK DEFENDER W/ ANDROID SOFT TOKEN LICs	75.00	75.00
1.00	DWB-PGO-PK	DEFENDER/BLACKBERRY TOKEN PACK LIC/MNTPK	75.00	75.00
1.00	ASA5510-AIP10-K9	Cisco ASA 5510 Security appliance - Fast Ethernet - with Cisco Advanced Inspection and Prevention Security Services Module 10 (AIP-SSM-10)	3,680.00	3,680.00
1.00	NSS324D04-K9	Cisco Small Business NSS 324 Smart Storage NAS - 4 TB - Serial ATA-300 - HD 1 TB x 4 - RAID 0, 1, 5, 6, JBOD, 5 hot spare - Gigabit Ethernet - iSCSI	1,580.00	1,580.00
1.00	P73-04982	Microsoft Windows Server 2008 R2 Standard License - 1 server - Open Business.	692.00	692.00
1.00	300052052	SolarWinds - KIWI SYSLOG SVR SGL INST LIC W/ 12MO MNT	290.00	290.00
1.00	FREIGHT	Shipping and Insurance	57.00	57.00
			<b>Subtotal</b>	<b>6,449.00</b>
			<b>Sales Tax</b>	
			<b>TOTAL</b>	<b>6,449.00</b>

①





**US21, Inc.**  
 2721 Prosperity Ave  
 Suite 300  
 Fairfax, VA 22031  
 Phone # 703-560-0021  
 Fax # 703-560-2336

# Invoice

Invoice # 6164

Date: 3/30/2012

<b>Bill To</b> WILLIAM CLINTON	<b>Ship To</b> WILLIAM CLINTON
-----------------------------------	-----------------------------------

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<b>Customer Contact</b> Justin Cooper	<b>Customer Phone</b>	<b>Ship Via</b> electronic	<b>Sales Rep</b> MD
<b>P.O. No.</b>	<b>Terms</b> NET 30 Days	<b>Ship Date</b> 3/30/2012	<b>Due Date</b> 4/29/2012

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Item No.	Qty	Item	Description	Unit Price	Ext. Price
	20	5FD-00058-36	Microsoft - OV FORFRNT PROT EXCH SVR SNGL. 36M AP PER U.  William Clinton Attn: Justin Cooper  License Authorization Number  License Agreement Number	44.00	880.00

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Notes	Subtotal USD	\$880.00
	Tax	\$0.00
	Total	\$880.00
Payments/Credits	\$0.00	Balance Due
		\$880.00

②

HC-026

HRC-809



**US21, Inc.**  
 2721 Prosperity Ave  
 Suite 300  
 Fairfax, VA 22031  
 Phone # 703-560-0021  
 Fax # 703-560-2336

# Invoice

Invoice # 10210  
 Date: 3/12/2013

<b>Bill To:</b> JUSTIN COOPER

<b>Ship To:</b>

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b7C

Customer Contact	Customer Phone	Ship Via	Sales Rep		
JUSTIN COOPER		electronic	MD		
PO No.	Terms	Ship Date	Due Date		
PER JUSTIN	CC	3/12/2013	3/12/2013		
Item	Qty	Item	Description	Unit Price	Ext Price
	1	900-0006	Out of Warranty On-Site Service Incident - Desktop, Workstation and Notebook  Service address is <div style="border: 1px solid black; width: 100px; height: 20px; margin: 5px 0;"></div>	249.00	249.00
	1	900-0009	Parts Required on an Out of Warranty Call PART #NU209 SRTAG #G842PC1	69.95	69.95
	1	L-ASASS10-BOT-1YR=	Cisco ASA 5500 Botnet Traffic Filter License Subscription Ilcense ( 1 year ) - 1 appliance - delivered via electronic distribution  Product Number ASA5510-AIP10-K9  Service Product Number CON-SU1-AS1A10K9  Serial Number JMX1421L059	420.00	420.00

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Notes	<b>Subtotal USD</b>	\$738.95
	<b>Tax</b>	\$0.00
	<b>Total</b>	\$738.95
<b>Payments/Credits</b>	\$0.00	<b>Balance Due</b>
		\$738.95

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HC-027

HRC-810



**Service Level Agreement (SLA Premium)  
for CESC  
by  
Platte River Networks**

**Effective Date: 7/ /2013**

<b>Document Owner:</b>	Platte River Networks
------------------------	-----------------------

**Version**

Version	Date	Description	Author
1.1	6/27/2013	Service Level Agreement	PRN

**Approval**

*(By signing below, all Approvers agree to all terms and conditions outlined in this Agreement.)*

Approvers	Role	Signed	Approval Date
Platte River Networks	Service Provider		__/__/2013
CESC	Customer		/ /2013

Platte River Networks  
2955 Inca Street Suite 2K  
Denver, CO 80202



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## 1. Agreement Overview

This Agreement represents a Service Level Agreement ("SLA" or "Agreement") between **Platte River Networks** and CESC for the provisioning of IT services required to support and sustain through the Intuition Premium package. (As Outlined Below)

This Agreement remains valid until superseded by a revised agreement mutually endorsed by the stakeholders.

This Agreement outlines the parameters of all IT services covered as they are mutually understood by the primary stakeholders. This Agreement does not supersede current processes and procedures unless explicitly stated herein.

## 2. Goals & Objectives

The purpose of this Agreement is to ensure that the proper elements and commitments are in place to provide consistent IT service support and delivery to the Customer(s) by the Service Provider(s).

The goal of this Agreement is to obtain mutual agreement for IT service provision between the Service Provider(s) and Customer(s).

The objectives of this Agreement are to:

- Provide clear reference to service ownership, accountability, roles and/or responsibilities.
- Present a clear, concise and measurable description of service provision to the customer.
- Match perceptions of expected service provision with actual service support & delivery.

## 3. Stakeholders

The following Service Provider(s) and Customer(s) will be used as the basis of the Agreement and represent the primary stakeholders associated with this SLA:

**IT Service Provider(s):** Platte River Networks  
**IT Customer(s):** CESC ("Customer")



#### 4. Periodic Review

This Agreement is valid from the **Effective Date** outlined herein and is valid until further notice. This Agreement should be reviewed at a minimum once per fiscal year; however, in lieu of a review during any period specified, the current Agreement will remain in effect.

The **Business Relationship Manager** (Platte River Networks) is responsible for facilitating regular reviews of this document. Contents of this document may be amended as required, provided mutual agreement is obtained from the primary stakeholders and communicated to all affected parties. The Document Owner will incorporate all subsequent revisions and obtain mutual agreements / approvals as required.

**Business Relationship Manager:** Platte River Networks  
**Review Period:** Quarterly  
**Next Review Date:** 10 /15/2013

#### 5. Service Agreement

The following detailed service parameters are the responsibility of the Service Provider in the ongoing support of this Agreement.

##### 5.1. Service Scope

The following Services are covered by this Agreement\*;

- o Monitoring
- o Reporting
- o Alert Management
- o Maintenance
- o Threat Protection
- o Email Continuity
- o Web Filtering
- o Workstation Management
- o Helpdesk Services -- Level I, II, III Support
- o Troubleshoot & Fix Server, Infrastructure Including Workstations
- o Monthly Recurring Charge
- o Initial Setup Fee Required
- o Initial On-boarding process will take up to 30 days

\* Consulting Services Billed Time & Materials for Hardware failures, Disaster Recovery, Projects.

\* Platte River Networks reserves the right to include 'out of scope' work within the scope of this agreement.

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Platte River Networks  
2955 Inca Street Suite 2K  
Denver, CO 80202

HC-031

HRC-814



## 5.2. Customer Requirements

Customer responsibilities and/or requirements in support of this Agreement include:

- Payment for all support costs at the agreed interval.
- Reasonable availability of customer representative(s) when resolving a service related incident or request.
- Client is asked to notify Platte River Networks of any/all changes to their network environment at least 30 days prior to the change takes place including all: office location, hardware, software, telecom/circuit, printing/copying/scanning, and website/DNS.

## 5.3. Service Provider Requirements

Service Provider responsibilities and/or requirements in support of this Agreement include:

- Meeting response times associated with service related incidents.
- Appropriate notification to Customer for all scheduled maintenance.

## 5.4. Service Assumptions

Assumptions related to in-scope services and/or components include:

- Changes to services will be communicated and documented to all stakeholders.
- The number of Servers, Workstations and/or network devices monitored by Intuition may be modified from time to time by the mutual agreement of both PRN and Customer and may affect the MRC at the time of the change.

## 6. Service Management

Effective support of in-scope services is a result of maintaining consistent service levels. The following sections provide relevant details on service availability, monitoring of in-scope services and related components.



### 6.1. Service Availability

Coverage parameters specific to the service(s) covered in this Agreement are as follows:

During the initial on-boarding process the following coverage parameters apply:

- Telephone support : 8:00 A.M. to 5:00 P.M. Monday – Friday (MST)
  - Calls received outside of office hours will be forwarded to our On Call Voice Mailbox. Calls received from 5:00pm – 9:00pm and 6:00am – 8:00am will be responded to within 1hr. From 9:00pm to 6:00am will be responded to between the hours of 6:00am – 8:00am.
- Email support: Monitored 6:00 A.M. to 6:00 P.M. Monday – Friday (MST)
  - Emails received outside of office hours will be collected, however no action can be guaranteed until the next working day.

After the initial on-board is complete, telephone, email and remote support will be available 24x7x365.

The three appointed senior PRN engineers assigned to Customer are: Paul Combetta-Primary Consulting Engineer & Project Manager, Bill Thornton-Secondary Consulting Engineer and Craig Papke-IOC/Help Desk Manager. One of the three will be available immediately from 6 am – 6 pm MST Monday-Friday. We cannot guarantee their immediate availability after business hours, weekends or holidays. All other support will be available 24x7x365.

### 6.2. Service Requests

In support of services outlined in this Agreement, the Service Provider will respond to service related incidents and/or requests submitted by the Customer within the following time frames:

- All Service Requests will be responded to daily. Priority will be determined mutually between PRN and Customer.
- High Priority requests will be addressed same day.
- Medium Priority requests will be addressed within 48 hours.
- Low Priority requests will be addressed as scheduled.

PRN employees, IOC and Intuition Help Desk employees, will not access any Customer's email content or Customer's Exchange infrastructure without prior permission from the Customer and only if the approved access is for Customer account basic remediation purposes. In the event remediation requires admin access to the Customer's Exchange infrastructure and the Customer grants PRN permission to access, the only appointed and approved PRN employees who will be granted admin access are the following:



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### 6.3. Intuition Operation Center Key Performance Metrics

Priority	Response SLA	Plan to Resolution SLA	Description
P1: Critical	15 Minutes (Phone Call Required)	Ticket Handled Immediately, Work until resolve	This is an EMERGENCY condition that significantly restricts the use of an application, system or network to perform any critical business function. This could mean that several users are impacted.
P2: High	1 Hour	2 Hours	The reported issue may severely restrict use of key devices in the network. This could mean that multiple users are impacted but the overall network and servers functioning
P3: Medium	4 Hours	24 Hours	The reported issue may restrict the use of one or more features of the system, but the business or financial impact is not severe.
P4: Low	Same Day	24-48 hours	The reported anomaly in the system does not substantially restrict the use of one or more features of the product to perform necessary business functions.

### 6.4. Help Desktop Key Performance Metrics

METRIC	TARGET	INTERVAL	NOTES
Calls answered	97%	Monthly	Inbound call answered without automation or system attendant
Average Speed to Help Desk Support	30 Minutes	Monthly	Average Speed to ticket assignment and resource allocation for Help Desk Issue
% of Calls Answered within ASA Target	90/30	Monthly	90% of all ticketed calls will be assigned and worked on within 30 minutes upon entering queue



**SERVICE AGREEMENT**

**INTUITION PREMIUM 24x7x365**



**CESC**

**JULY 2, 2013**

**Platte River Networks**  
2955 Inca Street Suite 2K  
Denver, CO 80202

**HC-035**

HRC-818



## FEE SCHEDULE

Platte River Networks Inc. ("Platte River Networks" or "PRN") and CESC ("the Customer" or "Client") located at 750 Third Ave., New York, NY 10017, agrees as follows:

The Customer will pay Platte River Networks a monthly recurring fee (MRC) totaling ~~\$2,495.00~~. Customer will be invoiced the MRC on the first day of each month on a monthly basis. The initial MRC for the first month of service-July 2013, will be prorated based on the July start date. The Customer will pay a ~~\$1,500.00~~ one-time Intuition setup fee. Platte River Networks and Customer will meet on 10/15/2013 for an account review to discuss the monthly recurring fee going forward.

### (1) SERVICE CLASSIFICATION:

Platte River Networks will provide the following services to Customer:

- Intuition Premium 24x7x365 Services: Please see Intuition Service Level Agreement (SLA-Premium) for additional information.
- Project Work as needed or requested by Customer – Any service that is not included in the Intuition Service Level Agreement will be based on the PRN Fee Schedule below.
- Projects – When a project has been identified, PRN will provide Customer with an Initial project estimate (quote) that must be approved and signed by Customer prior to the project work beginning. Once the Initial project quote is signed by the customer, PRN will complete Due Diligence: gathering documentation for the project, creating a project plan and generating a final project Scope of Work (SOW). PRN will present the SOW to the Customer for final approval before proceeding with the project. Should the Customer request to change the Scope of Work during the project, PRN will provide the Customer with a Change Order Form to be approved and signed by the Customer prior to the commencement of any additional work.

**PROJECT HOURLY RATE-** Any service that is not included in the Intuition Service Level Agreement will be based on the PRN Fee Schedule below:

\$125 per Hour billed in 15 minute increments

PRN will obtain approval from Customer prior to incurring travel expenses.

Client is asked to notify Platte River Networks of any/all changes to their network environment at least 30 days prior to the change takes place including all: office location, hardware, software, telecom/circuit, printing/copying/scanning, and website/DNS.

Platte River Networks  
2955 Inca Street Suite 2K  
Denver, CO 80202

HC-036

HRC-819



## (2) PAYMENT:

The Customer will pay Platte River Networks on Net 15 terms calculated from the date of the invoice. Any charges on an invoice that remain unpaid 30 days past the due date will be assessed a \$25 late payment fee per invoice, and a monthly interest rate of one and one-half (1 ½%) percent.

Platte River Networks reserves the right to suspend service in the event that Customer's monetary balance for any invoice exceeds 30 days past due (60 days past invoice date). Any disputes regarding charges on invoices must be brought to Platte River Networks' attention, in writing, within 15 days from the date of the invoice, otherwise the charges on the invoice will be deemed valid.

## (3) TERMINATION:

Either Party may elect to terminate the Agreement at any time with or without cause, subject to the conditions set forth herein. In the event that a Party elects to terminate the Agreement, the terminating Party will provide the other Party written notice of such termination at least thirty (30) days prior to the termination date.

Either Party may terminate this Agreement upon the breach by the other Party of any of the terms or provisions of this Agreement, unless such breach is cured within fifteen (15) days after written notice thereof;

PRN hereby agrees that Customer may immediately terminate this Agreement, without any notice to PRN in the event that Customer determines in good faith that PRN has breached any term or condition set forth in Section 6 (Confidentiality) of this Agreement.

Either Party may terminate this Agreement immediately in the event that the other Party: (i) becomes insolvent, (ii) makes an assignment for the benefit of creditors; (iii) files a voluntary bankruptcy petition; (iv) acquiesces to any involuntary bankruptcy petition; (v) is adjudicated bankrupt; or (vi) ceases to do business, in each case by written notice by the terminating Party to the breaching Party.

Should Customer terminate this Agreement within 6 months of initial contract acceptance date, a termination fee equal to one month Intuition service fee will be applied.

Upon termination of this Agreement, all outstanding undisputed balances must be paid immediately in full.

## (4) HARDWARE & SOFTWARE PURCHASES:

Customer must review, sign and return quotes to complete an order. Payment for hardware and/or software invoices are due before Platte River Networks will process the order (Visa, MC, American Express and checks are accepted forms of payment). Labor costs will be invoiced separately from materials. Platte River Networks provides this good faith quote based upon their research of Customer's current technology structure and future needs. If the installation and/or configuration of Customer's network by Platte River Networks encounters a problem requiring more hours than are included in the itemized allocation on this quote, Platte River Networks will notify Customer to discuss the matter and its resolution as a possible additional purchase order.

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**(5) SOFTWARE LICENSING:**

Platte River Networks does not support unlicensed software. Customer represents that all installed software is licensed. In the event that Customer has any unlicensed software on premises, Customer is responsible for notifying Platte River Networks of such so that a remediation plan can be prepared and implemented to assist Customer in achieving 100% license compliance.

**(6) CONFIDENTIAL INFORMATION:**

Platte River Networks will keep all information gained as a result of its services to Customer about the Customer's operations and business practices confidential, except in cases where divulgence of certain information is necessary to perform a task requested by the Customer, and Platte River Networks receives the Customer's consent to do so, or in cases where the information is or becomes part of the public domain other than as a result of unauthorized disclosure by Platte River Networks.

**(7) INSURANCE REQUIREMENTS:**

Platte River Networks at its sole cost and expense, shall at all times during the term of this Agreement maintain insurance and furnish certificates from the insurance carrier evidencing said insurance, which shall include, but is not limited to: (i) errors and omissions insurance in the amount of \$1,000,000; (ii) comprehensive general liability insurance in the amount of \$2,000,000; and (iii) any other usual and customary policies of insurance applicable to PRN's line of business.

**(8) LIABILITY:**

In no event shall either Party be liable for any indirect, incidental, special or consequential damages, including loss of profits, revenue, data, or use, incurred by either Party or any third party, whether in an action in contract or tort, in any way arising from either Party's performance or nonperformance of this Agreement, even if the other Party or any other person has been advised of the possibility of such damages. In no event shall either party's liability for damages hereunder exceed \$2,000,000, except for damages which have directly resulted from PRN's gross negligence or willful misconduct in connection with providing or failing to provide the services under this agreement.

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**(9) PRINCIPAL CONTACTS:**

**CUSTOMER INFORMATION:**

Company Name: CESC  
Billing Address: 750 Third Ave.  
City: New York State: NY Zip: 10017  
Phone: 212-485-5800

(A) PRIMARY FINANCIAL CONTACT: (Authorized signer)

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(B) PRIMARY TECHNICAL CONTACT: (Client on-site project manager, responsible for prioritization of projects and tasks and has authorization to schedule work and act on behalf of primary financial contact).

(C) BILLING CONTACT: (Invoices will be sent to this person at the address listed above unless alternate billing address is listed below. This person is not authorized to request service from Platte River Networks)

(D) ADDITIONAL TECHNICAL CONTACT: (Please list any additional contacts beyond A and B above that are authorized to request services from Platte River networks.)

---

Please provide additional service locations and special billing instructions.



**(10) APPROVAL:**

This Agreement shall be governed and enforced in accordance with the laws of the State of Colorado and may not be assigned by Customer without the prior written consent of Platte River Networks. This Agreement supersedes all prior agreements and understandings between Platte River Networks and the Customer relating to the subject matter of this Agreement. Performance of services by Platte River Networks is subject to interruption or delay due to causes beyond its reasonable control such as acts of God, government, weather, fire, power or telecommunications failure, labor dispute, inability to obtain supplies, or breakdown of equipment. The terms set forth here constitute the entire agreement of the parties, and any additional or different terms or conditions set forth in any other document shall be of no effect.

Sign below to indicate your approval and acceptance of this agreement.  
Please sign 2 copies and retain one copy for your records.

**CUSTOMER ACCEPTANCE:**

Signature:  Date: 7/18/13

Print Name:

Title:

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**PLATTE RIVER NETWORKS ACCEPTANCE:**

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Print Name: \_\_\_\_\_

Title: \_\_\_\_\_



## IOC Infrastructure & Help Desk Customer Inventory

### Servers

- VMWare host      Dell PowerEdge R620
- Exchange server
- Domain Controller
- Blackberry server
- Datto BDR      SIRIS 2000

### Network Devices

- Fortinet FortiGate 80C Firewall x 2
- Dell PowerConnect 2824 Switch x 2

### Users

- 25 end users





**INTUITION**

Intuition Operations Center and Help Desk  
Description of Services  
CESC (Customer)  
July 8, 2013

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**HC-042**

HRC-825



## Introduction

This document specifies the scope, schedule, guidelines and procedures for services delivered by Platte River Networks (PRN) and our Intuition Operations Center (IOC) and Intuition Help Desk Services to the CESC (Customer) and the Customers end users. Delivery of these services is bound by the conditions outlined in the Intuition Premium Service Level Agreement ("SLA").

## IOC Services

SERVICE	IOC SERVICES
Deployment and use of Intuition Workplace policy modules for monitoring and alerting	✓
Alert Notification	✓
Performance of Scheduled Maintenance Activities (against SLAs)	✓
Daily Service Summary Reporting	✓
Monthly Executive Summary Reporting	✓
Remote Remediation	✓
Advanced Problem Management	✓

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## IOC Services – Alert, Respond and Resolve

Alerts are verified as genuine and actionable or closed. Management tickets are created for all actionable issues in the IOC and all interconnected systems as applicable for regular reporting. Alerts deemed actionable by the IOC team are resolved according to the SLA. When alerts are not actionable by the IOC team, timely notification to the Customer is made, based on the priority of the alert and device. Similarly, remediation information is updated in these tickets and shared across interconnected systems as applicable.

Internet-access related issues where IOC Engineers have been authorized to act on behalf of the Customer will be resolved with the Customer's Internet Service Provider (ISP).

Regular daily and monthly reporting will be available to the Customer as will per-incident recommendations and root causes analyses. Incidents are prioritized with the following classifications and responded to accordingly as per the SLA.

## IOC Maintenance Activities

Any and all maintenance activities that can be completed using pre-existing automation options in IOC will be performed. Where no current means of automating the activity exists, the IOC may be engaged to supply scripted solutions.

All relevant information about a failure is documented and provided in the ticket, along with any other prescribed notification (such as email or telephone contact) required. IOC will continue working to resolve the issue until the maintenance activity has been completed.

For example, if an automated task to defragment a hard drive has failed to complete, IOC will monitor how many times this activity has failed on the device and identify any possible root causes, like insufficient disk space, to complete the operation. IOC Engineers will immediately address the low disk space issue by performing a disk cleanup. If that fails to provide sufficient free space, a list will be compiled of objects occupying the greatest percentage of the disk, and promptly provided to the Customer with recommendations for freeing more space (such as, identifying databases, data files, or programs that can be moved to other partitions or network locations).

Any code-related defect suspected or identified in IOC that impacts the ability to deliver maintenance services will be escalated until the issue is resolved.

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### NOC Maintenance Activities for Workstations

Non-Windows operating systems are managed using SNMP only.

MAINTENANCE ACTIVITY	SCHEDULE	DESCRIPTION
Monitoring	Continuous	Monitoring will be configured using IOC policy modules except where the Customer has specified pre-existing policy modules for continued use. All products for which a policy module exists are eligible for monitoring services.  Device-level monitoring for all supported protocols is available upon request, or will be maintained where pre-existing.
Green Computing	Daily or as defined	All non-essential workstation class devices will be shut down and/or woken daily on an automated schedule to save energy costs. Windows power management plans will be remotely configured as requested.  This service is available upon request only.
AV Services	Daily	All definition and signature updates are performed on a daily or as-needed basis. Quarantine or clean actions are taken to best protect data integrity and stem the infection from compromising network systems.
Disk Health Audit	Monthly	An extensive checkdisk (CHKDSK) routine is executed upon logical drive c:\ creating error events that will trigger alerts for all issues identified.
Disk Cleanup	Weekly	Temporary files and Temporary Internet files are removed on all Windows devices.
Disk Defragmentation	Monthly	Defragmentation of all fixed disks using operating system native utilities.
Microsoft Patch Management	Weekly or as defined	Microsoft patch management will be configured using IOC update agent policies and approval groups except where the Customer has specified pre-existing update agent policies and approval groups for continued use. All products and classifications for which IOC support exists are eligible for patch management services.  By default, critical and security updates for all present software components will be approved and automatically installed. Service pack installations are performed by request as a scheduled activity.
Application Updates	Weekly, Monthly	Third-party applications for which update scripts are included in IOC will be scheduled on a weekly basis except where the Customer has a pre-existing schedule.

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**NOC Maintenance Activities for Servers**

SNMP monitoring will also be configured where available. Non-Windows operating systems are managed using SNMP only.

MAINTENANCE ACTIVITY	SCHEDULE	SCOPE
Monitoring	Continuous	Monitoring will be configured using IOC policy modules except where the Customer has specified pre-existing policy modules for continued use. All products for which a policy module exists are eligible for monitoring services.  Device-level monitoring for all supported protocols is available upon request, or will be maintained where pre-existing.
AV Service	Daily	All definition and signature updates are performed on a daily or as-needed basis. Quarantine or clean actions are taken to best protect data integrity and stem the infection from compromising network systems.
Disk Health Audit	Monthly	An extensive checkdisk (CHKDSK) routine is executed upon logical drive c:\ creating error events that will trigger alerts for all issues identified.
Disk Cleanup	Weekly	Temporary files and Temporary Internet files are removed for all profiles on all Windows devices.
Disk Defragmentation	Monthly	Defragmentation of all fixed disks using operating system native utilities.
Microsoft Patch Management	Weekly or as defined	Microsoft patch management will be configured using IOC update agent policies and approval groups except where the Customer has specified pre-existing update agent policies and approval groups for continued use. All products and classifications for which IOC support exists are eligible for patch management services.  By default, critical and security updates for all present software components will be approved and automatically installed. Service Pack installations are performed by request as a scheduled activity.
Application Updates	Monthly	Critical, Security and Definition updating for all other applications as named by the Customer where not requiring vendor-approved contact or access to restricted portals will be scheduled. Depending on automation schedule, anti-malware definitions should be updated on a daily basis.

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**NOC Maintenance Activities for Network Infrastructure**

Supported infrastructure devices includes, but are not limited to, IP-based hardware and software firewalls, switches, routers, bridges, VPNs and security devices. Note that these devices must support, SNMP and/or syslog monitoring and management, where protocols or logs are exposed that provide status information in a format that may be read by IOC.

ACTIVITY	SCHEDULE	SCOPE
Monitoring	Continuous	<ul style="list-style-type: none"> <li>• Availability</li> <li>• Bandwidth utilization</li> <li>• Service availability (FTP, HTTP, VPN, etc)</li> <li>• Resource monitoring (CPU, memory, sessions, etc)</li> <li>• Performance</li> </ul>
Firmware Updates	Ongoing	<p>Critical and security patches for the firewall operating system will be installed.</p> <p>Security patches are reviewed and installed based on releases by the technology vendor on an as-needed basis that conforms to the change management policy. Configuration backup of the device will be taken before the patches are installed on the devices.</p>

**NOC Maintenance Activities for Network Printers**

Supported print devices include, but are not limited to, IP-based hardware and software from all major vendors. Note that these devices must support, SNMP and/or syslog monitoring and management, where protocols or logs are exposed that provide status information in a format that may be read by IOC.

ACTIVITY	SCHEDULE	SCOPE
Monitoring	Continuous	<p>Monitoring will be configured using IOC policy modules except where the Customer has specified pre-existing policy modules for continued use. All products for which a policy module exists are eligible for monitoring services.</p> <p>Device-level monitoring for all supported protocols is available upon request or will be maintained where pre-existing.</p>

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### NOC Maintenance Activities for Other IP-based Devices

Supported devices include, but are not limited to, IP-based hardware and software installed on the same, such as firewalls, switches, routers, bridges, VPNs and security devices. Note that these devices must support, SNMP and/or syslog monitoring and management, where protocols or logs are exposed that provide status information in a format that may be read by IOC.

ACTIVITY	SCHEDULE	SCOPE
Monitoring	Continuous	<p>Monitoring will be configured using IOC policy modules except where the Customer has specified pre-existing policy modules for continued use. All products for which a policy module exists are eligible for monitoring services.</p> <p>Device-level monitoring for all supported protocols is available upon request, or will be maintained where pre-existing.</p>

### NOC Maintenance Activities for Connectivity

IOC Services will, when provided with access and authorization to do so, act as your agent in all communications with managed end-client Internet Service Providers (ISPs) to resolve the issues with the primary Internet connection or other named connections, as described in the table below as as-needed responses to issues.

ACTIVITY	SCHEDULE	SCOPE
Connection Assurance	Continuous	<p>IOC Services will open a ticket with the ISP and perform actionable tasks in support of resolution, including communicating via email, chat, and phone or as applicable. Email or phone notification will be provided to the Customer.</p> <p>The Customer must provide the following details prior to commencement of service:</p> <ul style="list-style-type: none"> <li>• Name of the ISP</li> <li>• Location of the client</li> <li>• Bandwidth / service plan details</li> <li>• Circuit ID</li> <li>• Service provider member ID</li> <li>• ISP online credentials to create ticket</li> </ul>
Failover	On-demand	<p>IOC Services will back up the configuration of network devices. Backup will be stored on the Onsite Manager server for disaster recovery purposes and for configuration management. Configuration backup is only available where supported by the managed device.</p>
Vulnerability Scanning	Monthly	<p>A scheduled monthly external vulnerability scan is performed for one or more public IP addresses.</p>

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### NOC Maintenance Activities for Microsoft Exchange

ACTIVITY	SCHEDULE	SCOPE
Monitoring	Continuous	Comprehensive monitoring collects continuous performance metrics and critical Windows log events. SMTP and MTA statistics are gathered and interpreted 24/7.
Service Assurance	Continuous	Consistent running state of Windows services is managed 24/7.
Database Management	Continuous	Management of all database and log files disk space (selectively/collectively), performance of each disk and I/O balancing across disks, management and monitoring of database size and traffic.

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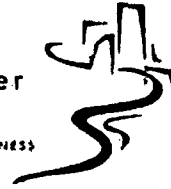
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## Additional IOC services

The following additional remediation and maintenance services are offered.

ACTIVITY	SCHEDULE	SCOPE
<b>Servers</b>		
Microsoft Patch Management	Weekly or as required	Any issues observed during or following updates will be worked and resolved by the IOC team within the appropriate priority tier of the SLA, including unsuccessful installations and suspected generation of secondary issues.
<b>Microsoft Exchange</b>		
Security Assurance	Quarterly	Complete security hardening exercise will be performed during scheduled non-production hours. Key components include hardening the Windows infrastructure, back-end and front-end servers.
Administration	Upon request	Ongoing administration for creating objects in the Exchange server and configuring distribution lists, mailboxes, user groups, and calendars. Backups and database redundancy are validated and restored or otherwise managed as necessary in response to failure conditions.
<b>Other IP Devices</b>		
Firmware Updates	Ongoing	Patches for the device firmware will be installed. Service not available for smartphones.

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## IOC Services Reporting

Regular reporting on IOC Services includes but is not limited to the following. Reports listed will be available to the Customer and archived.

### **Daily Activity Summary**

The Daily Activity Summary provides a daily summary of the following:

- open tickets
- closed tickets
- automated activities performed
- remote sessions conducted

### **Monthly Activity Summary**

The Monthly Activity Summary provides a monthly summary of the following:

- open tickets
- tickets open for more than one day
- closed tickets
- automated activities performed
- remote sessions conducted
- graph summarizing year-to-date tickets, organized by month

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## Intuition Help Desk Services

Where IOC Services are focused on the client's infrastructure, the function of Intuition Help Desk Services is to support individual users. Our Help Desk (HD) team provides 24/7 remote assistance and repair to help the Customer's end users use their technology—reducing downtime and increasing their productivity.

We set up a dedicated phone number for the Customer, only the Customer will have access to this phone number.

We set up a dedicated email for the Customer. The Customer's end users receive 24 / 7 HD support to troubleshoot and fix all third-party and operating system issues at the workstation level through the dedicated phone number, IOC remote tools, and email. The method of communication is the choice of the end user. Upon end user approval, our Help Desk Technicians can remotely control the end user's desktop in order to expedite remediation, and if requested by the end user, will call the end user on the phone to expedite the resolution process.

Help Desk issues that cannot be resolved at the level of the single workstation and end user are escalated directly to the IOC for resolution with an explanation of the issue.

## Features of Help Desk Services

Intuition Help Desk Services feature the following:

- End-to-end support for PC, Mac and alternative operating systems, applications and devices.
- Certified experts providing live, experienced assistance to the Customer's end users for all aspects of their interaction with information technologies.
- Dedicated phone number, email instantly connects the Customer's end users with our Help Desk Technicians so best-in-class support is available any time of day, from anywhere in the world.
- Remote access technologies enable our Help Desk Technicians to perform direct diagnostics, determine what the end user's technical problem is, implement corrections and instruct the end user to achieve resolution *without interrupting the end user*.
- Help Desk Technicians will use advanced screen sharing and remote workstation control technologies extensively, upon Customer's end user permission, to facilitate superior understanding of end user issues and questions, and to illustrate and execute appropriate solutions most effectively and efficiently.
- If requested by the Customer's end user, a Help Desk Technician will call the end user on the phone to expedite the resolution process.

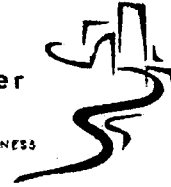
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## Intuition Help Desk Scope of Services for PC and Mac Workstations

Help Desk includes support to answer Customer end user questions and resolve issues that can include, *but are not limited to*:

Assistance with the operation and configuration for all workstation-class operating systems, encompassing all user-originated activity and requirements, such as installation and removal of applications, enabling user-specific settings, peripheral management, and resolving system or application error messages received.

Help with basic networking configuration of user-specific devices such as workstations, phones, laptops and tablets. Internet connectivity using DSL, cable, or wireless modems, routers, and transceivers which are not business- or enterprise- class devices. This includes registering computers on networks, configuring availability of or access to shared network resources including file systems, printers, scanners, fax servers, mail servers, and Blackberry servers.

Supporting all line-of-business and productivity applications by ensuring vendor-recommended software updates have been installed and that the integrity of the installation its configuration allows for expected performance levels. This includes complete removal and secondary installation, as required, where licenses and installation sources are available. Where data loss is a potential outcome, PRN will advise users of this possibility and assist in preventative efforts including using backup applications or migrating data directories, but will not be held responsible for data loss occurring during user-approved activity.

Verification and optimization of performance of user-level devices.

Removal of all types of malware including viruses, Trojans, spyware, browser hijackers and botnets.

Remote diagnosis and remediation of faults with interoperation of operating system and device hardware, driver evaluation, installation and upgrades.

Connectivity assurance with bring-your-own-device endpoints such as cameras, storage devices, phones, and multimedia players.

Appropriate and safe use of Internet-enabled technologies including Web browsers, FTP clients, online storage services and news readers from all manufacturers.

Configuration and use of all Internet-related security applications including personal firewalls, malware scanners and blockers, proxy and privacy clients.

Operation of office and multimedia applications from vendors such as Microsoft, Apple and Adobe.

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## Intuition IOC Services Exclusions

IOC Services shall not be provided under any of the following circumstances or for the following items or programs:

- When requested actions violate copyright laws, or introduce liability concerns that limit processes to those that render incomplete required steps for successful completion of the task.
- Authoring of code-based content, including but not limited to, shell or user mode scripts, applications, web pages, applets, or other development solutions.
- Authoring of media-based content, including but not limited to, graphics, copy text, audio or visual content.
- Where resolution of identified faults require correcting code-based defects in applications or firmware.
- When end-users are unable to provide sufficient proof of identity and are asking for security related information such as non-default access to network resources, passwords, activity histories, etc.

## Intuition Help Desk Services Exclusions

Help Desk Services shall not be provided under any of the following circumstances or for the following items or programs:

- When requested actions violate copyright laws, or introduce liability concerns that limit processes to those that render incomplete required steps for successful completion of the task.
- Authoring of code-based content, including but not limited to, shell or user mode scripts, applications, web pages, applets, or other development solutions.
- Authoring of media-based content, including but not limited to, graphics, copy text, audio or visual content.
- Where resolution of identified faults require correcting code-based defects in applications or firmware.
- When the activity requires on-premise physical access to a device during period of work, or following configuration changes (BIOS flash, power-cycling devices not WOL enabled).
- Change to core components of an operating system or application introduces potential barriers to further remediation or remote remediation, such as changes to Windows Registry, .NET Framework or WMI repository made manually and not under direct vendor advisement with express approval of PRN and the Customer.

## Additional Clause

PRN employees, IOC and Intuition Help Desk employees, will not access any Customer's email content or Customer's Exchange infrastructure without prior permission from the Customer and only if the approved access is for Customer account basic remediation purposes. In the event remediation requires admin access to the Customer's Exchange infrastructure and the Customer grants PRN permission to access, the only appointed and approved PRN employees who will be granted admin access are the following:

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HC-054

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1/7/16  
Serial 127

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 1/6/2016

On January 6, 2016, two separate LHMs were provided to the Central Intelligence Agency (CIA) requesting use authority to show documents containing CIA equities during future interviews related to the captioned matter. The LHMs were provided to CIA detailee

b3 per CIA  
b6 per CIA

Copies of the LHMs are enclosed in an attached 1A.

Investigation on 1/6/2016 at Washington, DC

b3  
b7E

File #  - 127 Date dictated N/A

By SA

b6  
b7C

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CLEAR WITH SCA  
AND S/SRAP

CONSULT CIA,  
DOD, FBI

RELEASE IN PART  
B6

From: sbwhoep [redacted]  
Sent: Friday, April 23, 2010 2:26 PM  
To: H  
Subject: H: Important new memo on Kyrg. Sid  
Attachments: hrc memo kyrg 042310.docx

b6 per DOS

FBI INFO  
CLASSIFIED BY: NSICG J76J18T80  
REASON: 1.4 (C)  
DECLASSIFY ON: 12-31-2035  
DATE: 11-09-2015

~~FBI INFO  
CLASSIFIED BY: NSICG J23J98T32  
REASON: 1.4 (C)  
DECLASSIFY ON: 12-31-2035  
DATE: 09-01-2015~~

~~CONFIDENTIAL~~

April 23, 2010

For: Hillary  
om: Sid  
re: Kyrgyzstan Update

b6 per DOS

[redacted] my friend with deep contacts in Kyrgyzstan and who testified this week on the latest developments there before the House Oversight Committee, has sent me a memo containing important new information and including some recommendations. Here it is:

B6

To: Sid Blumenthal

From: [redacted]

B6

b6 per DOS

Date: April 23, 2010

Subj: Kyrgyzstan Update

This is to update you on some key developments in Kyrgyzstan since I last wrote, drawing largely on discussions with leading figures in the interim government, staffers, and several independent analysts on the ground both in Bishkek and the country's south.



## 1. Interim Government Struggling to Restore Order in Capital and Across North; Exercising Weak Authority in Country's South.

Following the 2005 revolution, the new government was able to restore peace to the capital and exercise its authority across the nation within 72 hours. This was largely due to Feliks Kulov, an opposition leader who was a career policeman and commanded deep respect within the police forces. Following the 2010 revolution, the interim government continues to have an extremely difficult time restoring order two weeks later. A large part of the problem comes in motivating the police and getting them back out on the street and doing patrols. Relations between the government and the police rank-and-file are terrible and police claim that they risk being beaten or shot simply by going out on the streets. Much of this stems from breeding resentment over the deaths (now put at 85, but with hundreds still in the hospitals with wounds, nearly a hundred with life-threatening wounds). The break down in law and order is reaching Hobbesian dimensions. Squatters, frequently armed with iron bars and stones, and sometimes with guns, attempt to occupy homes and buildings on the outskirts of the capital. In the Issyk Kul resort area, several hotels and dozens of vacation villas have been seized. But spontaneous "squatters actions" are reported all across the country, and government authority is especially feeble in the south (and hardly apparent in the Jalalabad Province). Government leaders express confidence that they will get the situation in hand, though they agree it may take some weeks. But others see serious vulnerability and point to menacing coverage of the situation in the Russian media, much of it broadcast into Kyrgyzstan, coupled with concerns of possible threats against ethnic Russians. The situation could be exploited by Russia as a pretext for police operations in Kyrgyzstan, but the Kyrgyz leaders I speak with consider this a remote possibility. Restoring police order across the country is still the top priority for the interim government. Recommendation: U.S. support to the new government to help restore police operations is critical; basics like paying salaries and furnishing fuel is essential.

b1 per DOS  
 b6 per DOS  
 b7C per DOS  
 b7E per DOS  
 (S) per F

## 2. Criminal Investigation Targeting [REDACTED]

(S)

(S)

b1 per DOS, FBI

b3 per FBI

b6 per DOS

b7C per DOS

b7E per DOS

(S)

(S)

3. **Base Politics.** On balance, I believe the Manas Transit Center can be retained for another year. Beyond that, an assessment shouldn't be attempted until after the fall parliamentary elections and the formation of a new government. However, the effort is increasingly difficult, and there is little margin for error. The U.S. has to play its cards very astutely to get through this. There are three keys to success:

1) **Work with Russia.** As one of Otunbayeva's assistants tells me, "If this is a Russia vs. U.S. matter, you shouldn't be in suspense: the U.S. loses." That same interlocutor told me, however, that the U.S. was playing things "just right" by working at the White House level through Medvedev and making the case for the base in the context of joint interests. Russia will continue low-level anti-base propaganda, and the U.S. can survive this. But if Russia turns up the heat, the new government will jump to its tune. There is a strong sense that Russia is justly angry because Bakiyev took their money and betrayed them, and that Russia has to be appeased over this.

(b) **Admit Mistakes.** Beknazarov is building a case against the U.S. over the fuel contracts. He is arguing that they were a form of bribery pursued by the U.S. to corrupt the government. He has assembled a strong evidentiary portfolio already. Kyrgyz prosecutors have shown me documents showing much of the paper path between Red Star/Mina and DOD; they have payment records to the Bakiyev-controlled companies; they even have pricing data showing that fuel was being sold at around \$3.25. They have detailed research on [redacted] role and tapes of some of his communications which show him operating as if he were a fully integrated part of the DESC team, and they've identified other figures in the Red Star operations in London who they believe are U.S. intelligence

b6 per DOS

operatives. Almost all of this information is being kept tightly under wraps in Washington even as it can be easily sourced in Bishkek. Beknazarov will use this to bolster claims that the U.S. is still scheming and is still misleading on this issue. The only effective response to this is to let in the natural disinfectant of sunlight. Recommendation: The U.S. has to admit the essence of what it did (though not necessarily the particulars of who did it), acknowledge it was wrong, and say that this will stop. It should offer cooperation with Kyrgyz law enforcement though steering their efforts towards Kyrgyz citizens involved, rather than U.S. persons. It should undertake to rebid the corrupt contracts and take Red Star out of the process. One alternative worth some thought would be to bid the fuel supply arrangements to a Kyrgyz state supplier—an arrangement which might help the Kyrgyz deal with their crisis over electricity costs (another particularly grave challenge to the government).

(c) Make clear the relationship isn't just about the base. Every opposition leader I speak with says he or she wants to see real evidence that the relationship between the U.S. and Kyrgyzstan is shared values like democracy and the rule of law and shared security concerns—not the Manas Transit Center. That means that the best way to secure the base going forward is to be supportive of Kyrgyzstan in ways completely unrelated to the base. The number one option is education (support the American University, the scholarship programs, the secondary education programs for instance). The number two option is broad support for civil society, especially geared to the Constitutional referendum and upcoming parliamentary elections.

Threat on the Horizon. Masaliev, the head of the Communist Party, has emerged as interim speaker of the parliament and has established himself as a key conciliator. He states openly that he will run against the base, using it as a lead issue in the elections. Roughly half of the core group of political figures carrying the interim government seems primed to do the same. The other half will spout anti-base rhetoric, but behind the scenes they are clearly prepared to be reconciled provided the corruption issue is bridged, an agreed platform of security interests can be articulated, and the base can clearly be shown to provide economic benefit to the country as a whole. It will be essential that the U.S. not undermine its friends in this process; their task will be tough enough.

4. **Constitution and Elections.** Tekebayev has taken the lead in crafting a new constitution and it will circulate publicly very soon. I have seen his draft and have offered comments. In essence, the new constitution moves the country away from the presidential model now favored in the post-Soviet space and towards something much closer to continental European parliamentary government. It is poised to establish a lists- rather than mandate-based system for parliamentary elections, designed to help assure balanced representation among ethnic groups, regions, religions and party affiliations. As now drafted it offers an extraordinary measure of protection for minority parties (they get automatic control of the Budget Committee, for instance), so much so that one has to wonder whether this is workable. However, it has a strong focus on balance-of-power concepts and avoiding autocratic power in the hands of a president. It retains the office of the president, however, while making the government an independent base of power. Recommendation: Tekebayev is moving too quickly on the constitution project. For it to have legitimacy, the proposal needs to be open to public debate and discussion for a longer period of time, and civil society groups need to have proper input. The U.S. should support their efforts at consultation and consensus-building provided they don't over rush the process.

Elections are now foreseen for the fall. This promises to be Kyrgyzstan's first fully free and fair elections—though some Kyrgyz elections in the past have been fairer and freer than almost anywhere else in Central Asia. It would make sense to deploy the full election support apparatus—helping with international monitors, involving IRI and NDI and other civil society organizations that can help the Kyrgyz parties get out and compete vigorously. The elections, like the constitution, are essential to creating a government with legitimacy, of which the interim government has a very short supply.

5. **DOS Relations.** Assistant Secretary Blake's visit was assessed positively. "We have a long way to go," is the balancing comment. Also they insist that the new leaders are absolutely unimpressed with the words of professional diplomats; the words must be followed by concrete action that gives them meaning. I am now hearing a higher level of animosity expressed against Ambassador Gfoeller and her staff—bitterness over the fact that she did not meet with opposition leaders, including those who formerly held high office or were members of parliament. One leader, who I

could count as pro-America, told me that "no one will ever forget that when the revolution came, Gfoeller was on the way to Washington to help Maksim wait new victims." That's a reference to the trade show which Maksim Bakiyev, the president's son and the nation's Überkleptocrat, which was to have opened in Washington with DOS presence and support. In the eyes of the new government, this is irredeemable evidence of bad judgment and a bond with corruption, and it tightly involves the State Department. Others say they know Gfoeller was just doing what the U.S. military expected of her. Recommendation: Highest possible level contacts should be continued, White House involvement at some point (trip by Michael McFaul, for instance), and a substitution of ambassadors seems advisable at the earliest opportunity.

6. **Congressional Hearings.** I testified before the House Oversight Committee on Thursday on the Kyrgyz revolution and its relationship with the DOD fuel contracts. Chair John Tierney told me afterwards that this hearing was merely a "framing and research" exercise to prepare for subsequent hearings with DOD and DOS representatives in May. The hearing was heavily attended and the questioning was very well informed. Moreover, it seems that both Democrats and Republicans on the committee were both focused on the corruption issue and highly dissatisfied with the official statements that have come so far from the Pentagon and State and very sympathetic with the criticisms that come from the Kyrgyz interim government about the fuel contracts. The committee will be sending researchers to Kyrgyzstan to look into the situation on the ground, and it appears both Chairman Tierney and Ranking Member Flake will go. I was asked to help them arrange meetings with Kyrgyz prosecutors who are investigating the fuel contract arrangements.

FEDERAL BUREAU OF INVESTIGATION  
FOI/PA  
DELETED PAGE INFORMATION SHEET  
FOI/PA# 1353814-0

Total Deleted Page(s) = 1  
Page 43 ~ Duplicate;

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X Deleted Page(s) X  
X No Duplication Fee X  
X For this Page X  
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#55

FD-340 (Rev. 4-11-03)

File Number: [Redacted] - 302

Field Office Acquiring Evidence **WF**

Serial # of Originating Document **62**

Date Received **2/11/2016**

From \_\_\_\_\_  
(Name of Contributor/Interviewee)

\_\_\_\_\_  
(Address)

\_\_\_\_\_  
(City and State)

By **SA** [Redacted]

To Be Returned  Yes  No

Receipt Given  Yes  No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e)

Federal Rules of Criminal Procedure  Yes  No

Federal Taxpayer Information (FTI)  Yes  No

**MIDYEAR EXAM;  
MISHANDLING OF CLASSIFIED**

Reference: \_\_\_\_\_  
(Communication Enclosing Material)

Description:  Original notes interview of [Redacted] and documents displayed.

b3  
b7E

b6  
b7C

b6  
b7C

2/18/2016 - PRN [redacted]

b6  
b7C

reached out via email that sec. had  
email on a laptop + didn't want to lose

Wanted to put on mail server (PRN)

Tried to do a remote session. <sup>screen connect in house</sup> a import, but

was in Mac Mail, so wasn't working

Shipped so [redacted] could manually pull

b6  
b7C

Moved folders in Mac Mail to pst, Each year was separate  
file. (separate pst)

Googled mac mail to pst to find a way to convert

hrcarchive was regular exchange mailbox - likely could  
send/rec email - does not recall who had access

Showed [redacted] how to access <sup>archive</sup> mailbox on 4/11/2016 using  
her email client

b6  
b7C

who?  
doesn't recall

Once mail imported, deleted email out of mac mail

did not use any wiping software

[redacted] did not delete hrcarchive mailbox. Only PRN has  
admin rights.

b6  
b7C

Doc 8 - different iterations of pst <sup>of</sup> ~~from~~ gov addresses.

- might have tried outcode, then power shell

- does not recall what export.pst is

- created based on conv. w/ CM - need to provide to ~~state~~

Burned DVDs and arranged pickup, but later conversation - gov  
decided not to ship physical media. Destroyed DVDs -

CM wanted <sup>secure</sup> electronic transfer.



- looked @ export to verify it would open, but doesn't recall file name <sup>before transfer</sup>
- reg expression text editor - worried about address being different than what Sec. was using at the time (when new email address used outlook would present old email w/ new address) - Discussed w/ BP and CM, but no changes made. Tool can't do it.
- address had become public

[redacted]@gmail.com - test address [redacted] has to check b6  
b7c

### email flow

- 7/29/14 + 7/30 - Doc 8.gov - ~~hrc~~ archive access to ~~hrc~~ email archives
- transferred pst to CM & HS workstations <sup>both windows</sup> using Screen Connect. encrypted zip w/ password protected pst transferred using screen connect (also secure tunnel)
- 8/12/14 - helped HS reconnect <sup>↳ doesn't recall which folder on workstation copied to.</sup>
- 9/29/14 - new email archive - entire hrc mailbox, plus hrcarchive. several GB from <sup>↳ not sure which of these, thinks both.</sup> from while HRC was in office
- Gave someone access to live HRCarchive mailbox at some point
- Didn't do any limitations/deleting on export.
- 11/10/2014 - HRC HS reestablish connection to HRC emails - not sure if exported pst or live archive mailbox, or something else
- 11/24/2014 - Urgent CISC call - more specific CISC requirements - doesn't recall
- Outlook installed on admin server to test pst files created.
- speculated gov/export deleted if no longer need attached to outlook.

- HA gmail/yahoo - imported her email into PRN server mailbox. Not sure of timeframe

- [redacted] tmp - might have used gmail to transfer Mac mail files to <sup>a PST file</sup> PRN server [redacted] b6 b7C

- [redacted] - might have done on macbook, but probably on PRN server b6 b7C

- 12/9/14-12/10 - wanted last 60 days of email in new account. no other migration. (HRC+<sup>HA</sup>CMC)

- 12/11/14 email - "Hillary cover-up operation" work ticket archive cleanup

- probably related to change to 60 day email retention policy/backup

- doesn't recall prior policy

- [redacted] never asked to clean up <sup>attn</sup> pst's - after transfer, would remove from management server. b6 b7C

- 1/5/15 clean up - possibly remove pst from workstations

- OnTrack - bundled w/ Datto, used for exchange restore

- doesn't recall any actions

- 1/6/2015 - PSTs modified - any time outlook opened, updates last modified time for any attached pst's

- 1/7/2015 - HRC archive mailbox still on server b6 b7C

[redacted] doesn't know why not on server now - will check current

1/2015 - Google app - [redacted] office was using Google for business. Used Google apps migration to pull [redacted] office to server. ~~Wanted~~ 1/15 not the right time frame for that, however.

b6  
b7C

[redacted] - Security Pursuit - March 2015

b6  
b7C

- does not know who [redacted] is

- does not recall implementing any new security features

42 - filters - sending/rec. addresses, date filters for time as sec of state

- shared drive - on PRN hardware in Denver

- Clinton's backup device - secondary mobile device of Sec.

- export.pst still existed on 3/7/2015 - <sup>outlook updates modified date when opened</sup> doesn't recall when removed from migrant server

- NAS - BB server logs, but no email content when PRN took possession.

- Doesn't know what was on USB external drive

- PRN never used NAS or external drive

- Preservation letter/email of 3/9/2015

- does not recall seeing a preservation order/letter/email in that time frame

- 3/10/15, 3/12/2015 - CM wasn't on PRN server, so [redacted] does not know what these records are about

b6  
b7C

- 3/25/2015 conf. call - doesn't remember what about - no recollection

[redacted] advised [redacted] not to answer questions related to gov. w/ DK document 49 - based on 5<sup>th</sup> amendment

b6  
b7C

- Datto manual deletions on 3/31/2015

Someone from CISC, doesn't recall who or when. Didn't want PSTs hanging around after export.

- [ ] doesn't recall deletions
- Everyone @ PRN has access to client portal
- ~~wasn't~~ doesn't recall being asked to delete

b6  
b7C

3/31/2015 - Bleach Bit - PSTs removal from management server. Did this of own accord based on misdeed of an engineer. Doesn't recall what settings used.

They wanted PSTs off the server

- 56 - [ ] does not recall creating.
- PST shredded probably a reference to bleach bit

b6  
b7C

57 - Doesn't recall - possibly update. Used Google apps for [ ]

58 - Appt. to schedule time for regular maint.

60 - CISC wanted to verify they had a 60 day retention policy [ ] believes [ ] mispoke regarding 30 day retention

b6  
b7C

61 - Initial setup auto syncs w/ Datto data center - might have sent new one because of that.

63-65 - Possibly removed mailboxes attached to outlook on mgmt. server. Speculation

- nothing with email exports in Sept. 2015 timeframe
- Standard practice to have outback power controls installed b/c req. for backup restore from Datto
- ~~Occasionally~~ logs into Datto during maintenance appts. to check backups.
- Not instructed to delete anything in Sep. 2015 timeframe + didn't delete anything

Probably used screen connect in Oct. 2015

or  probably logged in to make sure everything running.

b6  
b7c

- Primary method of connection to next box.

- hrcarchive mailbox

- did not delete mailbox and not re-created

- doesn't know why not on server when turned over

~~if power~~

- Bleach bit - might have used on exchange server because pst export would be created there, then moved over to admin server and opened to verify export worked correctly.

- Disk defrag - doesn't recall running manually, sometimes done as part of routine maintenance

# Invoice



**Platte River Networks**  
 5700 Washington St  
 Denver, CO 80216  
 303.255.1941

Date	Invoice #
2/15/2014	35478

**PAID**  
 03/04/2014

**Bill To:**

GESC  
 Marcum LLP c/d [redacted]  
 750 Third Avenue  
 New York, NY 10017

b6  
 b7C

Project	P.O. No.	Terms	Due Date	
		Net 30	3/17/2014	
Serviced	Description	Units	Rate	Amount
2/11/2014	Task/Ticket: Remote Support-T&M T20140211.0015 Summary Notes: 2/11 [redacted] 1 hour * Worked with Monica to import HRC's archived email into separate archive email box Resource Name: [redacted]	1	125.00	125.00

b6  
 b7C

Thank you for your business!

Your prompt payment is appreciated. A late fee of \$25.00 and a monthly finance charge of 1 1/2% (18% annually) may be assessed for invoices not paid within terms.

Any disputes should be reported within 15 days of the invoice date or all charges will be deemed as acceptable by the client. In the event of non-payment, the client will also be responsible for collection and attorney fees incurred in collections efforts.

Please direct all billing inquiries and requests to the Accounting Department  
 accounting@platteriver.com :: 303.255.1941

<b>Subtotal</b>	<b>\$125.00</b>
<b>Sales Tax (0.0%)</b>	<b>\$0.00</b>
<b>Total</b>	<b>\$125.00</b>
<b>Payments/Credits</b>	<b>-\$125.00</b>
<b>Balance Due *</b>	<b>\$0.00</b>

b6  
b7C

[Redacted]  
Sent time: 06/10/2014 03:14:57 PM  
[Redacted]  
To: CRC  
Cc: CRC  
Subject: RE: Current CESC user list

Did you hear anything back?

[Redacted] CLIENT RESOURCE REPRESENTATIVE  
O 303.255.1941 F 303.474.3942  
**PLATTE RIVER NETWORKS**  
IT SERVICES FOR BUSINESS

b6  
b7C

From: [Redacted]  
Sent: Monday, June 09, 2014 9:48 AM  
To: [Redacted]  
Cc: CRC  
Subject: RE: Current CESC user list

b6  
b7C

[Redacted] Here are all of the active mailboxes, minus a few system ones:

b6  
b7C

Administrator  
[Redacted]

b6  
b7C

CCM  
[Redacted] Office Scheduling  
[Redacted]  
Finances [Redacted] Office  
H [Redacted] hrod17  
[Redacted]

helpdesk  
HRC Archive  
Huma Abedin  
[Redacted]  
Justin Admin2  
Justin Cooper

[Redacted]

Press  
[Redacted]

From: [Redacted] (mailto:[Redacted])  
Sent: Friday, June 06, 2014 3:49 PM  
To: [Redacted]  
Cc: CRC  
Subject: RE: Current CESC user list

b6  
b7C

[Redacted]

Can you provide a list of all current mailbox by domain and we will review the list and confirm all the current mailboxes.

Thanks,

[Redacted] Clinton Foundation  
Direct: [Redacted]

b6  
b7C

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**From:** [Redacted] [mailto:[Redacted]]  
**Sent:** Thursday, June 05, 2014 7:52 PM  
**To:** [Redacted]  
**Cc:** CRC  
**Subject:** Current CESC user list

b6  
b7C

H [Redacted] Can one of you provide us with a current list of the active CESC employees? We have all of the old and current users listed on the servers, but would like to make sure that we're maintaining the 'real' user list in our ticket/CRM system accurately.

b6  
b7C

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**Platte River Networks**  
 5700 Washington St  
 Denver, CO 80216  
 303.255.1941

# Invoice

Date	Invoice #
2/28/2014	35593

**PAID**  
 03/15/2014

**Bill To:**

CESC  
 Marcum LLP c/o [redacted]  
 750 Third Avenue  
 New York, NY 10017

b6  
 b7C

Project	P.O. No.	Terms	Due Date	
		Net 30	3/30/2014	
Serviced	Description	Units	Rate	Amount
2/25/2014	Task/Ticket: On Call-Email Archive T20140225.0074 Summary Notes: 2/25 [redacted] 2 hours * Export/import of HRC email archive to current email system Resource Name: [redacted]	2	125.00	250.00

b6  
 b7C

Thank you for your business!

Your prompt payment is appreciated. A late fee of \$25.00 and a monthly finance charge of 1 1/2% (18% annually) may be assessed for invoices not paid within terms.

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 accounting@platteriver.com :: 303.255.1941

<b>Subtotal</b>	\$250.00
<b>Sales Tax (0.0%)</b>	\$0.00
<b>Total</b>	\$250.00
<b>Payments/Credits</b>	-\$250.00
<b>Balance Due *</b>	<b>\$0.00</b>

On Fri, Apr 4, 2014 at 10:11 AM, [redacted] wrote:  
Sorry, missed this the first time around. Yes, the email has been imported into an archive mailbox on the server, and I can send the mac back to you soon

b6  
b7C

**From:** [redacted] [mailto:[redacted]]  
**Sent:** Friday, April 04, 2014 10:10 AM  
**To:** Monica Hanley  
**Cc:** [redacted]  
**Subject:** Re: HRC emails

b6  
b7C

Just checking back on this [redacted] is it still with you? Thank you, [redacted]

b6  
b7C

On Tue, Mar 25, 2014 at 4:42 PM, [redacted] wrote:  
Hi guys - just following up on this. Let me know when the wiped laptop is ready to be shipped [redacted] best to send it to the foundation at:

b6  
b7C

Hillary Rodham Clinton  
c/o [redacted]  
1271 Avenue of the Americas  
42nd Floor  
New York, NY 10020

b6  
b7C

Let me know once it's gone out so we know to expect it. Thanks [redacted]

b6  
b7C

On Mon, Feb 10, 2014 at 9:03 PM, Monica Hanley [redacted] wrote:  
sounds great  
if tomorrow falls through for you, we can find another time. Will email you in the morning.

b6 per DOS

On Mon, Feb 10, 2014 at 9:02 PM, [redacted] wrote:  
Hi Monica-

b6  
b7C

I should be able to work with you on this tomorrow morning, can we shoot for around 11a EST?

**From:** Monica Hanley [mailto:[monica.hanley@gmail.com](mailto:monica.hanley@gmail.com)]  
**Sent:** Monday, February 10, 2014 8:55 PM  
**To:** [redacted]  
**Cc:** [redacted]  
**Subject:** HRC emails

b6  
b7C

Hi [redacted]  
I am no longer with HRC's office (Jan 31st was my last day) but I wanted to try to finish the HRC email project which requires sending all of her archived email to your server. You recall that we couldn't figure out a way for you to connect to the laptop that I have in my possession.

b6  
b7C

Do you have time to try tomorrow? Last time, I tried from HRC's home but tomorrow I can try from my home, which has good wifi.

I'm adding [redacted] for her situational awareness. [redacted] as soon we've transferred the email, I'll figure out a way to get the wiped laptop to you.

b6  
b7C

best,  
Monica

[redacted]

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From [redacted]  
Date: Wed, Apr 9, 2014 at 7:16 PM  
Subject: Re: HRC emails  
To [redacted]

b6  
b7C

That's perfect

On Apr 9, 2014, at 6:46 PM [redacted] wrote:

b6  
b7C

Sure thing. Earlier in the day is better- can we shoot for around 10am?

From [redacted] (mailto:[redacted])  
Sent: Wednesday, April 09, 2014 6:38 PM  
To [redacted]  
Subject: Re: HRC emails

b6  
b7C

Apologies - is there a good time for you to go through this on Friday? Thanks! [redacted]

b6  
b7C

On Wed, Apr 9, 2014 at 12:36 PM, [redacted] wrote:  
Hi [redacted] good to hear you're on the mend! I will try you a little later this afternoon. Bes [redacted]

On Wed, Apr 9, 2014 at 10:00 AM, [redacted] wrote:  
Hi [redacted] Sorry for the delay, I got extremely ill over the weekend and am just now feeling semi-human again.

b6  
b7C

If you have some time today, can you call me so I can give you the details on how to access HRC's archived emails?

From [redacted] (mailto:[redacted])  
Sent: Saturday, April 05, 2014 1:55 PM  
To: Monica Hanley  
Cc: [redacted]  
Subject: Re: HRC emails

b6  
b7C

makes sense! would love the details - thanks!

On Sat, Apr 5, 2014 at 11:54 AM, Monica Hanley [redacted] wrote:  
hi!

b6 per DOS

I know I don't work with you anymore so no need to reply to me but if HRC wants access to her email, can she now access it through the web [redacted] I think you should update Huma and [redacted] on where all of her old email is.

b6  
b7C

**PST Files - PRN Admin Server**

File Name	Created Date	Modified Date	Location	System Status
HRC .gov email Archive.pst	7/23/2014 11:43 AM	7/23/2014 11:43 AM	C:\Users\administrator.CLINTON\Desktop	Not present
HRC .gov emails.pst	7/23/2014 3:46 PM	7/23/2014 3:46 PM	C:\Users\administrator.CLINTON\Desktop	Not present
HRC gov emails.pst	7/23/2014 3:48 PM	7/23/2014 3:48 PM	C:\temp	In Recycle Bin, no content
export.pst	7/23/2014 4:38 PM	11/18/2014 11:11 AM	C:\temp	Not present
HRC archive – complete.pst	Unknown	Unknown	C:\temp	Registry only
hrcarchive@clinton email.com – HRC archive.ost	Unknown	Unknown	C:\Users\administrator.CLINTON\AppData...	Registry only
[redacted] [redacted]@gmail.com - [redacted] temp.pst	Unknown (temp file created 7/24/2014 10:55 AM)	Unknown	C:\Users\administrator.CLINTON\AppData\Local\Microsoft\Outlook	

b6  
b7C

## PRN Admin Server – PST Files

### 1) HRC .gov email Archive.pst

Path		
CESC-PRN-flat.vmdk/Partition 2/NONAME [NTFS]/[root]/Users/administrator.CLINTON/AppData/Roaming/Microsoft/Windows/Recent/HRC .gov email Archive.pst.lnk		
Created	Accessed	Modified
7/23/2014 11:43:56 AM (2014-07-23 15:43:56 UTC)	7/23/2014 11:43:56 AM (2014-07-23 15:43:56 UTC)	7/23/2014 11:43:56 AM (2014-07-23 15:43:56 UTC)

<b>Link target information</b>	
Local Path	C:\Users\administrator.CLINTON\Desktop\HRC .gov email Archive.pst
Volume Type	Fixed Disk
Volume Serial Number	4A62-8D8C
File Size	0
Creation time (UTC)	N/A
Last write time (UTC)	N/A
Last access time (UTC)	N/A
<b>Optional fields</b>	
Relative Path	..\..\..\..\Desktop\HRC .gov email Archive.pst
Working directory	C:\Users\administrator.CLINTON\Desktop

### 2) HRC .gov emails.pst

Path		
CESC-PRN-flat.vmdk/Partition 2/NONAME [NTFS]/[root]/Users/administrator.CLINTON/AppData/Roaming/Microsoft/Windows/Recent/HRC .gov emails.pst.lnk		
Created	Accessed	Modified
7/23/2014 3:46:28 PM (2014-07-23 19:46:28 UTC)	7/23/2014 3:46:28 PM (2014-07-23 19:46:28 UTC)	7/23/2014 3:46:28 PM (2014-07-23 19:46:28 UTC)

<b>Link target information</b>	
Local Path	C:\Users\administrator.CLINTON\Desktop\HRC .gov emails.pst
Volume Type	Fixed Disk
Volume Serial Number	4A62-8D8C
File Size	0
Creation time (UTC)	N/A
Last write time (UTC)	N/A
Last access time (UTC)	N/A
<b>Optional fields</b>	
Relative Path	..\..\..\..\Desktop\HRC .gov emails.pst
Working directory	C:\Users\administrator.CLINTON\Desktop

3) HRC gov emails.pst – PST in Recycle Bin but empty

<b>Path</b>
CESEC-PRN-flat.vmdk/Partition 2/NONAME [NTFS]/[root]/Users/administrator.CLINTON/AppData/Roaming/Microsoft/Windows/Recent/HRC gov emails.pst.lnk

Created	Accessed	Modified
7/23/2014 3:48:10 PM (2014-07-23 19:48:10 UTC)	7/23/2014 3:48:10 PM (2014-07-23 19:48:10 UTC)	7/23/2014 3:48:10 PM (2014-07-23 19:48:10 UTC)

Link target information	
Local Path	C:\temp\HRC gov emails.pst
Volume Type	Fixed Disk
Volume Serial Number	4A62-8D8C
File Size	0
Creation time (UTC)	N/A
Last write time (UTC)	N/A
Last access time (UTC)	N/A
Optional fields	
Relative Path	..\..\..\..\..\temp\HRC gov emails.pst
Working directory	C:\temp

HRC gov emails.pst Recycle Bin file as \$RB0UH6F.pst:

<b>Path</b>
CESEC-PRN-flat.vmdk/Partition 2/NONAME [NTFS]/[root]/\$Recycle.Bin/S-1-5-21-2146671152-1263538465-3310924795-500/\$RB0UH6F.pst

Created	Accessed	Modified
7/23/2014 3:48:55 PM (2014-07-23 19:48:55 UTC)	7/23/2014 3:48:57 PM (2014-07-23 19:48:57 UTC)	7/23/2014 3:48:57 PM (2014-07-23 19:48:57 UTC)

Recycle date/time:

<b>Path</b>
CESEC-PRN-flat.vmdk/Partition 2/NONAME [NTFS]/[root]/\$Recycle.Bin/S-1-5-21-2146671152-1263538465-3310924795-500/\$RB0UH6F.pst

Created	Accessed	Modified
7/23/2014 4:37:56 PM (2014-07-23 20:37:56 UTC)	7/23/2014 4:37:56 PM (2014-07-23 20:37:56 UTC)	7/23/2014 4:37:56 PM (2014-07-23 20:37:56 UTC)

<b>Original Name</b>	C:\temp\HRC gov emails.pst
<b>Logical Size</b>	271KB
<b>Date Recycled</b>	7/23/2014 8:37:56 PM +00:00

4) export.pst

<b>Path</b>		
CESC-PRN-flat.vmdk/Partition 2/NOName [NTFS]/[root]/Users/administrator.CLINTON/AppData/Roaming/Microsoft/Windows/Recent/export.pst.lnk		
<b>Created</b>	<b>Accessed</b>	<b>Modified</b>
7/23/2014 4:38:07 PM (2014-07-23 20:38:07 UTC)	11/18/2014 11:11:32 AM (2014-11-18 16:11:32 UTC)	11/18/2014 11:11:32 AM (2014-11-18 16:11:32 UTC)
<b>Link target information</b>		
Local Path	C:\temp\export.pst	
Volume Type	Fixed Disk	
Volume Serial Number	4A62-8D8C	
File Size	1176830976	
Creation time (UTC)	7/23/2014 8:38:07 PM +00:00	
Last write time (UTC)	11/18/2014 4:11:06 PM +00:00	
Last access time (UTC)	7/23/2014 8:38:15 PM +00:00	
<b>File attributes</b>		
Archive		
<b>Optional fields</b>		
Relative Path	..\..\..\..\..\temp\export.pst	
Working directory	C:\temp	
<b>Target system information</b>		
NetBIOS name	cesc-prn	
MAC address	00-50-56-A8-99-B3	

5) HRC archive – complete.pst

6) hrcarchive@clintonemail.com – HRC archive.ost

Registry Files:

<input checked="" type="checkbox"/> <b>Key Properties</b>	
Last Written Time	3/7/2015 17:03:57 UTC

NTUSER.DAT\Software\Microsoft\Office\14.0\Outlook\Search\Catalog



**From:** [redacted]  
**Sent time:** 07/23/2014 10:19:42 PM  
**To:** [redacted]  
**Subject:** FedEx charge

---

ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED  
DATE 11-28-2016 BY J76J18T80 NSICG

b6  
b7c

Hello ladies- I am not sure who deals with paying the FedEx bill, but I wanted to let you know that CESC has requested some work done that is requiring me to overnight them some DVDs of archived data. I am arranging a shipment now for a priority overnight pickup from my house tomorrow. Looks like the charge is \$46.38 which can be billed to CESC. If you can put a note on that part of the invoice, just put "Cheryl Mills - Archive data delivery" or something to that effect.

Thanks, and let me know if you have any questions!

**From:** Bryan Pagliano [redacted]  
**Sent time:** 07/24/2014 02:41:54 PM  
**To:** [redacted]  
**Subject:** He used a Regular Expression text editor

---

b6  
b7C

He could not recall which one he used at the time, but here is a site with some options. Can you take a look at these?

<http://www.smashingmagazine.com/2009/04/10/25-text-batch-processing-tools-reviewed/>

Doesn't look like it should take too much time (depending on the machine time)....

-Bryan



**Platte River Networks**  
 5700 Washington St  
 Denver, CO 80216  
 303.255.1941

# Invoice

Date	Invoice #
8/16/2014	37068

**PAID**  
 08/29/2014

**Bill To:**

CESC  
 Marcum LLP c/d [redacted]  
 750 Third Avenue  
 New York, NY 10017

b6  
 b7C

Project	P.O. No.	Terms	Due Date	
		Net 30	9/15/2014	
Serviced	Description	Units	Rate	Amount
8/12/2014	Task/Ticket: Remote Support T20140812.0094 Summary Notes: 8/12 [redacted] 30 min * Worked with Heather via phone/remote session to restore access to archived emails per CDM Resource Name [redacted]	0.5	125.00	62.50

b6  
 b7C

Thank you for your business!

Your prompt payment is appreciated. A late fee of \$25.00 and a monthly finance charge of 1 1/2% (18% annually) may be assessed for invoices not paid within terms.

Any disputes should be reported within 15 days of the invoice date or all charges will be deemed as acceptable by the client. In the event of non-payment, the client will also be responsible for collection and attorney fees incurred in collections efforts.

Please direct all billing inquiries and requests to the Accounting Department  
 accounting@platteriver.com :: 303.255.1941

<b>Subtotal</b>	\$62.50
<b>Sales Tax (0.0%)</b>	\$0.00
<b>Total</b>	\$62.50
<b>Payments/Credits</b>	-\$62.50
<b>Balance Due *</b>	<b>\$0.00</b>

# Invoice



**Platte River Networks**  
5700 Washington St  
Denver, CO 80216  
303.255.1941

Date	Invoice #
9/30/2014	37413

**PAID**  
10/18/2014

**Bill To:**

CESC  
Marcum LLP c/d [redacted]  
750 Third Avenue  
New York, NY 10017

b6  
b7C

Project	P.O. No.	Terms	Due Date
		Net 30	10/30/2014
Serviced	Description	Units	Amount
9/30/2014	Task/Ticket: Remote Support T20141002.0003 Summary Notes: 9/30 [redacted] 2 hours * Export of HRC archive to encrypted PST per Cheryl Mills Resource Name [redacted]	2	250.00

b6  
b7C

Thank you for your business!

Your prompt payment is appreciated. A late fee of \$25.00 and a monthly finance charge of 1 1/2% (18% annually) may be assessed for invoices not paid within terms.

Any disputes should be reported within 15 days of the invoice date or all charges will be deemed as acceptable by the client. In the event of non-payment, the client will also be responsible for collection and attorney fees incurred in collections efforts.

Please direct all billing inquiries and requests to the Accounting Department  
accounting@platteriver.com :: 303.255.1941

<b>Subtotal</b>	\$250.00
<b>Sales Tax (0.0%)</b>	\$0.00
<b>Total</b>	\$250.00
<b>Payments/Credits</b>	-\$250.00
<b>Balance Due *</b>	<b>\$0.00</b>

# Invoice



**Platte River Networks**  
5700 Washington St  
Denver, CO 80216  
303.255.1941

Date	Invoice #
10/4/2014	37487

**PAID**  
10/24/2014

**Bill To:**

CESC  
Marcum LLP c/o   
750 Third Avenue  
New York, NY 10017

b6  
b7C

Project	P.O. No.	Terms	Due Date	
		Net 30	11/3/2014	
Serviced	Description	Units	Rate	Amount
10/1/2014	Task/Ticket: Cheryl Mills phone call T20140929.0004 Summary Notes: 10/1 - <input type="text"/> 45 min * Call with Cheryl Mills re: HRC archive Resource Name <input type="text"/>	0.75	125.00	93.75

b6  
b7C

Thank you for your business!

Your prompt payment is appreciated. A late fee of \$25.00 and a monthly finance charge of 1 1/2% (18% annually) may be assessed for invoices not paid within terms.

Any disputes should be reported within 15 days of the invoice date or all charges will be deemed as acceptable by the client. In the event of non-payment, the client will also be responsible for collection and attorney fees incurred in collections efforts.

Please direct all billing inquiries and requests to the Accounting Department  
accounting@platteriver.com :: 303.255.1941

<b>Subtotal</b>	<b>\$93.75</b>
<b>Sales Tax (0.0%)</b>	<b>\$0.00</b>
<b>Total</b>	<b>\$93.75</b>
<b>Payments/Credits</b>	<b>-\$93.75</b>
<b>Balance Due *</b>	<b>\$0.00</b>

8/20/2015

Tickets by Date

Packets: Sent = 4, Received = 4, Lost = 0 (0% loss),  
Approximate round trip times in milli-seconds:  
Minimum = 0ms, Maximum = 0ms, Average = 0ms

No Action required

Hence we are closing this ticket.

11/19/2014 [redacted] Notes from Service Center  
Updated by Admin, Status:'Open', Priority:'High', Severity:'Warning', and Category:'Onsite Manager Alerts'  
Noc Tech:SG  
We are working on this

b6  
b7C

11/19/2014 [redacted] Notes from Service Center  
Updated by Admin, Status:Open, Priority:High, Severity:Warning, and Category:Onsite Manager Alerts

b6  
b7C

Remote Support : T20141118.0022

Status : Complete

Account Name : CESC  
Work Type : Remote Support  
Issue Type :  
Sub-Issue Type :  
Description :

Entered by [redacted] on 11/18/2014  
Currently assigned to [redacted]  
Service Request

b6  
b7C

11/18/2014 Administrator,  
Autotask

11/18/2014 [redacted] Worked 0.50 hours (09:00 AM to 09:30 AM)  
Summary Notes : 11/18 [redacted] - 30 min  
\* Worked with Heather via remote/phone to re-establish connection to HRC emails

b6  
b7C

11/18/2014 [redacted]

b6  
b7C

Site Not Communicating : T20141116.0001

Status : Complete

Account Name : CESC  
Work Type : Alert Management

Entered by [redacted] on 11/16/2014  
Currently assigned to [redacted]

b6  
b7C

**From:**   
**Sent time:** 11/24/2014 07:23:45 AM  
**To:** PRNFirm  
**Subject:** MMM

---

CESC has an urgent call they want me on at 8a MST so I will be on the MMM call until then

8/20/2015

Tickets by Date

11/24/2014 [Redacted]

b6  
b7C

11/24/2014 [Redacted]  
Summary Notes : 11/24 [Redacted] - 2 hours

Worked 2.00 hours (08:00 AM to 10:00 AM)

\* Worked with Heather via phone/remote control session on more specific export requirements

**P1 - Server Has Been Rebooted : Reason Inside : T20141124.0018**

**Status : Complete**

**Account Name :** CESC

Entered by [Redacted] on 11/24/2014

b6  
b7C

**Work Type :** Alert Management

Currently assigned to [Redacted]

**Issue Type :**

Monitoring Alert

**Sub-Issue Type :**

Alert Management

**Description :**

Alert has been recorded from Site: CESC for system MWNOG-L2-CESC-PRN at 11:02 AM on 11/24/2014

Windows Event Alert was recorded

Time: 11/24/2014 11:02:49 AM

Windows Event Time: 11/24/2014 10:56:21 AM

Windows Event Log: System

Windows Event Source: USER32

Windows Event ID: 1074

Windows Event Severity: Information

Windows Event Message: The process C:\Windows\system32\winlogon.exe (CESC-PRN) has initiated the restart of computer CESC-PRN on behalf of user CLINTON\Administrator for the following reason: No title for this reason could be found

Reason Code: 0x500ff

Shutdown Type: restart

Comment:

11/24/2014 Administrator, Autotask

11/24/2014 Administrator, Autotask

11/24/2014 Administrator, Autotask



Date	Time	Source	Event
11/24/2014	10:23 AM	PRN Admin Server	Outlook Office Alert event log on the PRN Admin Server indicates "Are you sure you want to remove this mailbox?"
11/24/2014	10:24 AM	PRN Admin Server	Microsoft Outlook configuration files [HRC archive.srs, HRC archive.xml, PB45-Configuration-hrcarchive@clineontemail.com.xml files] associated with archive were MODIFIED on the PRN Admin server under the "administrator.CLINTON" profile.
11/24/2014	10:29 AM	PRN Admin Server	Outlook Office Alert event log on the PRN Admin Server indicates "The subfolders will also be deleted. Are you sure you want to delete the 'gov export' folder?"
11/24/2014	10:51 AM	PRN Admin Server	NTUSER.DAT Windows registry key for the "administrator.CLINTON" profile, "\\Software\Microsoft\Office\14.0\Outlook\Search" containing "hrcarchive@clintonemail.com-HRC archive.ost" was updated on PRN Admin Server.
11/24/2014	10:55:11 AM	PRN Admin Server	Users\administrator.CLINTON\AppData\Local\Microsoft\Outlook\ [redacted] @gmail.com [redacted] temp.pst.tmp created timestamp
11/24/2014	10:55:13 AM	PRN Admin Server	Users\administrator.CLINTON\AppData\Local\Microsoft\Outlook\ [redacted] @gmail.com [redacted] temp.pst.tmp accessed/modified timestamp
11/24/2014	11:01 AM	PRN Admin Server	PB45-Configuration-hrcarchive@clintonemail.com.xml on PRN Admin Server is MODIFIED. File contains "protection" rules for email rights management (who can forward types of emails, access for replies).
11/24/2014	12:15 PM	PRN Admin Server	Shortcut file "huma-gmail-yahoo.pst.lnk" is CREATED on the PRN Admin Server.
11/24/2014	12:25 PM	PRN Admin Server	HRC Archive.xml (Outlook navigation pane settings data file) is MODIFIED on the PRN Admin Server.

b6  
b7C

b6  
b7C

# PRN Admin Server – PST Files

Profile: administrator.CLINTON

“HRC Archive” referenced in the Windows Messaging Subsystem profile for [ ] temp:

b6  
b7C

<input type="checkbox"/> <b>Key Properties</b>	
Last Written Time	1/6/2015 15:01:15 UTC

NTUSER.DAT\Software\Microsoft\Windows NT\CurrentVersion\Windows Messaging Subsystem\Profiles [ ] temp\

b6  
b7C

000	0A 00 00 00 2C 00 00 00-5E 00 00 00 60 00 00 00	. . . . .
010	62 00 00 00 92 00 00 00-C4 00 00 00 F6 00 00 00	b . . . . . Ä . . . . .
020	26 01 00 00 56 01 00 00-88 01 00 00 32 00 30 00	s . . . . V . . . . . 2 0 .
030	31 00 30 00 20 00 49 00-6E 00 62 00 6F 00 78 00	1 0 . . . I n b o x .
040	20 00 2D 00 20 00 48 00-52 00 43 00 20 00 41 00	. . . . H R C . A .
050	72 00 63 00 68 00 69 00-76 00 65 00 00 00 00 00	r c h i v e . . . . .
060	00 00 32 00 30 00 31 00-33 00 20 00 53 00 65 00	. 2 0 1 3 . S e .
070	6E 00 74 00 20 00 2D 00-20 00 48 00 52 00 43 00	n t . . . . H R C .
080	20 00 41 00 72 00 63 00-68 00 69 00 76 00 65 00	. A r c h i v e .
090	00 00 32 00 30 00 31 00-33 00 20 00 49 00 6E 00	. 2 0 1 3 . I n .
0a0	62 00 6F 00 78 00 20 00-2D 00 20 00 48 00 52 00	b o x . . . . H R .
0b0	43 00 20 00 41 00 72 00-63 00 68 00 69 00 76 00	C . A r c h i v .
0c0	65 00 00 00 32 00 30 00-31 00 32 00 20 00 49 00	e . . 2 0 1 2 . I .
0d0	6E 00 62 00 6F 00 78 00-20 00 2D 00 20 00 48 00	n b o x . . . . H .
0e0	52 00 43 00 20 00 41 00-72 00 63 00 68 00 69 00	R C . A r c h i .
0f0	76 00 65 00 00 00 32 00-30 00 31 00 32 00 20 00	v e . . 2 0 1 2 . .
100	53 00 65 00 6E 00 74 00-20 00 2D 00 20 00 48 00	S e n t . . . . H .
110	52 00 43 00 20 00 41 00-72 00 63 00 68 00 69 00	R C . A r c h i .
120	76 00 65 00 00 00 32 00-30 00 31 00 31 00 20 00	v e . . 2 0 1 1 . .
130	53 00 65 00 6E 00 74 00-20 00 2D 00 20 00 48 00	S e n t . . . . H .
140	52 00 43 00 20 00 41 00-72 00 63 00 68 00 69 00	R C . A r c h i .
150	76 00 65 00 00 00 49 00-6E 00 62 00 6F 00 78 00	v e . . I n b o x .
160	20 00 32 00 30 00 31 00-31 00 20 00 2D 00 20 00	. 2 0 1 1 . . . . .
170	48 00 52 00 43 00 20 00-41 00 72 00 63 00 68 00	H R C . A r c h .
180	69 00 76 00 65 00 00 00-32 00 30 00 31 00 30 00	i v e . . 2 0 1 0 .
190	20 00 53 00 65 00 6E 00-74 00 20 00 2D 00 20 00	. S e n t . . . . .
1a0	48 00 52 00 43 00 20 00-41 00 72 00 63 00 68 00	H R C . A r c h .
1b0	69 00 76 00 65 00 00 00-	i v e . . . . .

---

Submit	False
From Me	False
Resend	False
Sender Email Address	reinesp@state.gov
Sent Representing Email Address	reinesp@state.gov
Send Representing Name	Renes, Philippe I
Read Receipt Requested	False
Message Class	IPM.Note
Message Size	8,284
Received By	[REDACTED]
Received Representing Email Address	[REDACTED]
Conversation Topic	[REDACTED]
Received By Email Address	[REDACTED]
Importance	Normal
Sensitivity	Normal
Received Representing Name	[REDACTED]
Last Modifier Name	HRC Archive
Sender Name	Renes, Philippe I
Entry ID	[REDACTED]
Internet Message ID	[REDACTED]
Conversation Index	[REDACTED]

b6  
b7C

b6 per DOS  
b7E per DOS

From: [redacted]  
Sent time: 12/10/2014 01:38:13 PM  
To: HDR29 <HDR29@clintonemail.com>  
Subject: RE: test

---

b6  
b7C

Reply #2

From: HDR29 [mailto:HDR29@clintonemail.com]  
Sent: Wednesday, December 10, 2014 2:36 PM  
To: [redacted]  
Subject: Re: test

b6  
b7C

Success

---

From: [redacted]  
Date: Wednesday, December 10, 2014 at 2:34 PM  
To: [redacted] <hdr29@clintonemail.com>  
Subject: RE: test

b6  
b7C

reply

From: HDR29 [mailto:HDR29@clintonemail.com]  
Sent: Wednesday, December 10, 2014 2:33 PM  
To: [redacted]  
Subject: test

b6  
b7C

test

---

This email has been scanned for email related threats and delivered safely by Mimecast.  
For more information please visit <http://www.mimecast.com>

---

**From:** [redacted]  
**Sent time:** 12/10/2014 01:34:57 PM  
**To:** HDR29 <HDR29@clintonemail.com>  
**Subject:** RE: test

---

b6  
b7C

reply

**From:** HDR29 [mailto:HDR29@clintonemail.com]  
**Sent:** Wednesday, December 10, 2014 2:33 PM  
**To:** [redacted]  
**Subject:** test

b6  
b7C

test

[REDACTED]

---

From: [REDACTED]  
Sent: Friday, December 12, 2014 1:40 PM  
To: [REDACTED]  
Subject: RE: hrod17

b6  
b7C

Does the BB have any field to change the display name? I am not finding anything on this topic so far

From: [REDACTED] [mailto:[REDACTED]]  
Sent: Friday, December 12, 2014 1:30 PM  
To: [REDACTED]  
Subject: Re: hrod17

b6  
b7C

Ha!

From: [REDACTED]  
Date: Friday, December 12, 2014 at 1:28 PM  
To: [REDACTED]  
Subject: RE: hrod17

b6  
b7C

I will look into this. All bets are off with Exchange and IMAP however!

From: [REDACTED] [mailto:[REDACTED]]  
Sent: Friday, December 12, 2014 1:18 PM  
To: [REDACTED]  
Subject: Re: hrod17

b6  
b7C

It worked! Thank you!

it's showing up as from "[clinton/hdr29@clintonemail.com](mailto:clinton/hdr29@clintonemail.com)" - and we don't see an option on our end to change that on the berry. Is that something that gets done by you or through the web portal? We just want it to say "H"

From: [REDACTED]  
Date: Friday, December 12, 2014 at 12:44 PM  
To: [REDACTED]  
Subject: RE: hrod17

b6  
b7C

Phone is going to be a problem, I have a conf call at 1p EST and then I'm in the car directly afterwards.

Have you tried Clinton\hdr29 and [hdr29@clintonemail.com](mailto:hdr29@clintonemail.com) for the username?

I just changed the authentication type for IMAP on the server, can you try it again real quick?

From: [REDACTED] [mailto:[REDACTED]]  
Sent: Friday, December 12, 2014 12:41 PM  
To: [REDACTED]  
Subject: Re: hrod17

b6  
b7C

Just saw it - thank you.

Can I call you with [redacted] on the line, who has the device in hand and bringing it to HRC - because we can not crack the IMAP code. If so, let me know where to call you.

b6  
b7C

**From:** [redacted]  
**Date:** Fri, 12 Dec 2014 17:36:59 +0000  
**To:** [redacted]  
**Subject:** RE: hrod17

b6  
b7C

It should be starting to populate now

**From:** [redacted] [mailto:[redacted]]  
**Sent:** Friday, December 12, 2014 12:32 PM  
**To:** [redacted]  
**Subject:** Re: hrod17

b6  
b7C

Let me know when I should look to see if it's populating.

**From:** [redacted]  
**Date:** Friday, December 12, 2014 at 11:52 AM  
**To:** [redacted]  
**Subject:** RE: hrod17

b6  
b7C

Can do

-----Original Message-----

**From:** [redacted] [mailto:[redacted]]  
**Sent:** Friday, December 12, 2014 11:47 AM  
**To:** [redacted]  
**Subject:** hrod17

b6  
b7C

You can put a copy of her last 60 days of email received in hrod17 into her [hdr29@clintonemail.com](mailto:hdr29@clintonemail.com) account right? Same concept as copying her contacts over. That way she has the last 60 by virtue of the hdr29 account.

---

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For more information please visit <http://www.mimecast.com>

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This email has been scanned for email related threats and delivered safely by Mimecast.  
For more information please visit <http://www.mimecast.com>

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This email has been scanned for email related threats and delivered safely by Mimecast.  
For more information please visit <http://www.mimecast.com>

Issue Type :

Service Request

Sub-Issue Type :

Description :

12/11/2014 Administrator,  
Autotask

12/09/2014 [Redacted]

b6  
b7C

12/09/2014 [Redacted] Worked 0.50 hours (01:00 PM to 01:30 PM)  
Summary Notes : 12/9 [Redacted] - 30 min  
\* Phone call with CDM and Heather about upcoming changes/email archive

b6  
b7C

**P1 - VMware ESXi Trap Messages : T20141208.0017**

Status : Complete

Account Name : CESC

Entered by [Redacted] on 12/08/2014

b6  
b7C

Work Type : Alert Management

Currently assigned to [Redacted]

Issue Type :

Monitoring Alert

Sub-Issue Type :

Alert Management

Description :

Alert has been recorded from Site: CESC for system MWNOG-L2-CESC-ESXi.clinton.local at 9:54 AM on 12/8/2014

SNMP Trap Alert was recorded

Time: 12/8/2014 9:54:04 AM

Generic Message: EnterpriseSpecific

Enterprise OID: 1.3.6.1.4.1.6876.4.1

Specific Number: 3

Varbind #1: OID: 1.3.6.1.4.1.6876.50.101.0 Data: 3

Varbind #2: OID: 1.3.6.1.4.1.6876.50.102.0 Data: /vmfs/volumes/51ba449f-a875f9ee-a00e-90b11c51b4f4/clntinetbb/clntinetbb.vmx

Varbind #3: OID: 1.3.6.1.4.1.6876.2.1.1.2.10 Data: CLNTINETBB (BES)

12/08/2014 Administrator,  
Autotask

12/08/2014 Administrator,  
Autotask



**From:** [redacted]  
**Sent time:** 12/11/2014 09:35:38 AM  
**To:** [redacted]  
**Subject:** RE: 2 items for IT support

b6  
b7C

I look forward to it. I'm kind of freaking about Gresham. Thoughts on what to do with [redacted]

b6  
b7C

**From:** [redacted]  
**Sent:** Thursday, December 11, 2014 8:33 AM  
**To:** [redacted]  
**Subject:** RE: 2 items for IT support

Its all part of the Hilary coverup operation ☺ I'll have to tell you about it at the party

**From:** [redacted]  
**Sent:** Thursday, December 11, 2014 10:26 AM  
**To:** [redacted]  
**Subject:** RE: 2 items for IT support

b6  
b7C

Shit. Thanks for the heads up.

**From:** [redacted]  
**Sent:** Thursday, December 11, 2014 8:25 AM  
**To:** [redacted]  
**Subject:** RE: 2 items for IT support

b6  
b7C

I am stuck on the phone with cesc again

**From:** [redacted]  
**Sent:** Thursday, December 11, 2014 10:09 AM  
**To:** [redacted]  
**Subject:** FW: 2 items for IT support

b6  
b7C

I've got the IOC in a meeting. can you take this one?

**From:** [redacted] (mailto:[redacted])  
**Sent:** Thursday, December 11, 2014 8:07 AM  
**To:** IOC [redacted] helpdesk  
**Subject:** RE: 2 items for IT support

b6  
b7C

I cannot access the CRM now at all.

[redacted]

b6  
b7C

Gresham Partners, LLC  
333 W. Wacker Dr., Suite 700 | Chicago, IL 60606

P [redacted] F [redacted] E [redacted]

---

**From:** IOC [mailto:IOC@platteriver.com]  
**Sent:** Wednesday, December 10, 2014 5:33 PM  
**To:** [redacted] helpdesk  
**Cc:** [redacted]  
**Subject:** RE: 2 items for IT support

b6  
b7C

[redacted]

You should have access to the Project Planning folder now. Please test and let us know.

As for IE11 – did we want to hold off given the recent CRM issue with IE11?

We can also help with the toolbar tomorrow whenever you have a few minutes to let us remote onto your machine. Please let us know when would be a good time to do that. Thanks!

[redacted] 303.255.1941  
INTUITION OPERATIONS CENTER  
**PLATTE RIVER NETWORKS**  
IT SERVICES FOR BUSINESS

b6  
b7C

From: [redacted] (mailto:[redacted])  
Sent: Wednesday, December 10, 2014 1:18 PM  
To: helpdesk  
Cc: [redacted]  
Subject: 2 items for IT support

b6  
b7C

Would you please give [redacted] access to this folder S:Project Planning  
Also [redacted] needs IE 11. She is using Chrome to access files from the SEC site, but she keeps getting the google toolbar

b6  
b7C

Thank you,

[redacted]

[redacted]

b6  
b7C

Gresham Partners, LLC  
333 W. Wacker Dr., Suite 700 | Chicago, IL 60606

P [redacted] F [redacted] E [redacted]

**Gresham Partners is an independent investment and wealth management firm that has been serving select families, family offices and endowments since 1997.**

Please visit [www.greshampartners.com](http://www.greshampartners.com) to learn more about Gresham.

---

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---

8/20/2015

Tickets by Date

Summary Notes : 12/22 [redacted] 4 hours  
\* Server maintenance 12/22

b6  
b7C

12/19/2014 [redacted]

b6  
b7C

**CESC - email changes : T20141212.0040**

**Status : Complete**

**Account Name :** CESC

Entered by [redacted] on 12/12/2014

b6  
b7C

**Work Type :** Helpdesk

Currently assigned to [redacted]

**Issue Type :**

Service Request

**Sub-Issue Type :**

**Description :**

12/14/2014 Administrator,  
Autotask

12/12/2014 [redacted]

b6  
b7C

12/12/2014 [redacted] Worked 2.00 hours (09:00 AM to 11:00 AM)

Summary Notes : 12/12 [redacted] 2 hours  
\* Email retention changes per Cheryl Mills  
\* Archive/email cleanup tasks  
\* Worked with [redacted] on IMAP access settings

b6  
b7C

**Remote Support : T20141211.0028**

**Status : Complete**

**Account Name :** CESC

Entered by [redacted] on 12/11/2014

b6  
b7C

**Work Type :** Remote Support

Currently assigned to [redacted]

**Issue Type :**

Service Request

**Sub-Issue Type :**

**Description :**

12/11/2014 Administrator,  
Autotask

12/11/2014 [redacted]

b6  
b7C



01/05/2015

[Redacted]

Worked 0.50 hours (12:00 PM to 12:30 PM)

Summary Notes : 1/5 [Redacted] - 30 min

\* Remote session with Cheryl and Heather to clean up files

b6  
b7C

Date	Time	Source	Event
1/5/2015	3:30 PM	PRN Admin Server	OnTrack PowerControls for Exchange v7.2 is installed on PRN Admin Server.

## PRN Admin Server – PST Files

Profile: administrator.CLINTON

PST files referenced in OpenSave Most Recently Used PST and the Recent Documents registry keys:

- HRC .gov email Archive.pst
- HRC .gov emails.pst
- HRC gov emails.pst
- export.pst

<input type="checkbox"/> <b>Key Properties</b>	
Last Written Time	1/6/2015 15:02:16 UTC

NTUSER.DAT\Software\Microsoft\Windows\CurrentVersion\Explorer\ComDlg32\OpenSavePid\MRU\pst

<input type="checkbox"/> <b>Key Properties</b>	
Last Written Time	1/6/2015 15:02:16 UTC

NTUSER.DAT\Software\Microsoft\Windows\CurrentVersion\Explorer\RecentDocs\pst

**From:** [Redacted]  
**Sent time:** 01/07/2015 03:37:03 PM  
**To:** [Redacted]  
**Cc:** CRC  
**Subject:** RE: Active User List

The highlighted items are confirmed active mailboxes.

[Redacted]

CCM

[Redacted] Office Scheduling

[Redacted]

Finances [Redacted] Office

H

[Redacted]

Helpdesk (*unaware of this account, I assume it is the admin account*)

HRC Archive

Huma Abedin

[Redacted]

Justin Admin2

Justin Cooper

[Redacted]

[Redacted] (*should be forwarding to a gmail.com account*)

[Redacted]

Press

[Redacted]

[Redacted] (*who requested this account?*)

[Redacted]

[Redacted] (*should be forwarding to a clintonfoundation.org account*)

[Redacted]

Thanks,

[Redacted]

Clinton Foundation

Direct [Redacted]

**From:** [Redacted] [mailto:[Redacted]]

**Sent:** Wednesday, January 07, 2015 3:18 PM

**To:** [Redacted]

**Cc:** CRC

**Subject:** RE: Active User List

[Redacted]

At your convenience, can you please forward over the current user list.

Thanks,

[Redacted] 303-255-1941  
CLIENT REPRESENTATIVE | CRC

From: [redacted]  
Sent: Friday, December 05, 2014 1:23 PM  
To: [redacted]  
Subject: RE: Active User List

b6  
b7C

I think we disabled justin's accounts but never fully removed them, I will get that done

There are no forwards on [redacted] account. Should that be deleted as well ?

From: [redacted] [mailto:[redacted]]  
Sent: Friday, December 5, 2014 3:09 PM  
To: [redacted]  
Subject: RE: Active User List

b6  
b7C

Thanks [redacted]

b6  
b7C

[redacted] said she has requested last year for the Justin accounts to be deleted.

Also, what currently happens when [redacted] is emailed? Is the mail being forwarded anywhere?

Thanks,

b6  
b7C

[redacted]  
[redacted] Clinton Foundation  
Direct [redacted]

From: [redacted] [mailto:[redacted]]  
Sent: Thursday, December 04, 2014 6:31 PM  
To: [redacted]  
Subject: RE: Active User List

b6  
b7C

[redacted] here is the current list of mailboxes on the server (mailboxes only, not distribution groups)

b6  
b7C

[redacted]

b6  
b7C

CCM

[redacted] Office Scheduling

[redacted]  
Finances [redacted] Office

H

[redacted]  
helpdesk

HRC Archive

Huma Abedin

[redacted]

Justin Admin2

Justin Cooper

[redacted]



[Redacted]

Press

[Redacted]

b6  
b7C

From: [Redacted]

Sent: Thursday, December 4, 2014 6:26 PM

To: [Redacted]  
Cc: [Redacted]

Subject: RE: Active User List

b6  
b7C

This was the list that was sent to me roughly 6 months ago or so. Copied [Redacted] if he has any other insight

b6  
b7C

[Redacted] | CLIENT RESOURCE REPRESENTATIVE  
O 303.255.1941 F 303.474.3942  
**PLATTE RIVER NETWORKS**  
IT SERVICES FOR BUSINESS

From: [Redacted] [mailto:[Redacted]]

Sent: Thursday, December 04, 2014 4:19 PM

To: [Redacted]

Subject: RE: Active User List

b6  
b7C

Hey [Redacted]

b6  
b7C

Are we sure this list is complete? What jumps out to me, is [Redacted] is not listed.

Thanks.

[Redacted]  
[Redacted] Clinton Foundation  
Direct [Redacted]

b6  
b7C

From: [Redacted] [mailto:[Redacted]]

Sent: Thursday, December 04, 2014 12:27 PM

To: [Redacted]

Cc: [Redacted]

Subject: RE: Active User List

b6  
b7C

[Redacted]

b6  
b7C

Is that list accurate or do we need to add/delete anyone?

Thanks,

[Redacted] | CLIENT RESOURCE REPRESENTATIVE  
O 303.255.1941 F 303.474.3942  
**PLATTE RIVER NETWORKS**  
IT SERVICES FOR BUSINESS

b6  
b7C

From: [Redacted]

Sent: Wednesday, December 03, 2014 8:03 AM

To: [Redacted]

Subject: RE: Active User List

b6  
b7C

Please see attached

[Redacted] | CLIENT RESOURCE REPRESENTATIVE  
O 303.255.1941 F 303.474.3942  
**PLATTE RIVER NETWORKS**

b6  
b7C

From: [redacted] [mailto:[redacted]]  
Sent: Tuesday, December 02, 2014 4:51 PM  
To: [redacted]  
Subject: RE: Active User List

b6  
b7C

Yes, I would still need them. Tomorrow is fine.

Thanks,

[redacted]  
[redacted] Clinton Foundation  
Direct [redacted]

b6  
b7C

From: [redacted] [mailto:[redacted]]  
Sent: Tuesday, December 02, 2014 6:50 PM  
To: [redacted]  
Subject: RE: Active User List

b6  
b7C

I can, let me send this to you tomorrow as I will have to go into each user's account. This has no effect on their actual account, this is just for our records only. Still need me to send the email addresses over?

[redacted] | CLIENT RESOURCE REPRESENTATIVE  
O 303.255.1941 F 303.474.3942  
**PLATTE RIVER NETWORKS**  
IT SERVICES FOR BUSINESS

b6  
b7C

From: [redacted] [mailto:[redacted]]  
Sent: Tuesday, December 02, 2014 4:46 PM  
To: [redacted]  
Subject: RE: Active User List

b6  
b7C

H [redacted]

b6  
b7C

Can you please send me the email address associated with the accounts.

Thanks,

[redacted]  
[redacted] Clinton Foundation  
Direct [redacted]

b6  
b7C

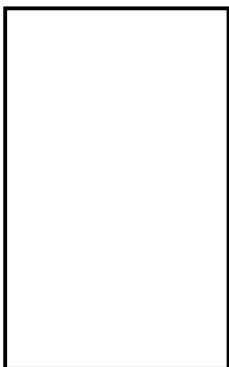
From: [redacted] [mailto:[redacted]]  
Sent: Tuesday, December 02, 2014 6:40 PM  
To: [redacted]  
Cc: [redacted]  
Subject: Active User List

b6  
b7C

[redacted]

b6  
b7C

Below is the Active User List that I have in our system. Can you please go through this list and let me know if any of these users are no longer with your organization or if there are any users I need to add to this list? Please send me an update at your earliest convenience. Thanks!!

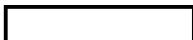


Justin Cooper

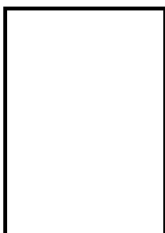
Justin Admin2



Huma Abedin



H \*



| CLIENT RESOURCE REPRESENTATIVE

O 303.255.1941 F 303.474.3942

**PLATTE RIVER NETWORKS**

IT SERVICES FOR BUSINESS

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# PRN Admin Server - Installed/Uninstalled Application Events:

Event ID	Time Generated	SID	Message
11,724	10/1/2015 11:28:50 PM	S-1-5-21-2146671152-1263538465-3310924795-1643	Product: ScreenConnect Client (aa0488eadb285db1) -- Removal completed successfully.
11,724	10/1/2015 11:28:41 PM	S-1-5-21-2146671152-1263538465-3310924795-1643	Product: ScreenConnect Client (56cfa98e32ea813a) -- Removal completed successfully.
11,728	9/25/2015 10:42:14 AM	S-1-5-21-2146671152-1263538465-3310924795-1643	Product: Ontrack PowerControls 7.2 -- Configuration completed successfully.
11,707	9/25/2015 10:38:42 AM	S-1-5-21-2146671152-1263538465-3310924795-1643	Product: Ontrack PowerControls 7.2 -- Installation operation completed successfully.
11,707	9/25/2015 10:38:09 AM	S-1-5-21-2146671152-1263538465-3310924795-1643	Product: Ontrack PowerControls 7.2 -- Installation operation completed successfully.
11,728	9/25/2015 10:38:09 AM	S-1-5-21-2146671152-1263538465-3310924795-1643	Product: Microsoft Visual C++ 2008 Redistributable - x86 9.0.30729.17 -- Configuration completed successfully
11,728	9/25/2015 10:38:09 AM	S-1-5-21-2146671152-1263538465-3310924795-1643	Product: Microsoft Visual C++ 2005 Redistributable -- Configuration completed successfully.
11,728	9/15/2015 4:48:00 PM	S-1-5-18	Product: Google Update Helper -- Configuration completed successfully.
11,707	9/10/2015 2:01:40 AM	S-1-5-18	Product: ScreenConnect Client (aa0488eadb285db1) -- Installation completed successfully.
11,728	8/26/2015 10:42:00 PM	S-1-5-18	Product: Google Update Helper -- Configuration completed successfully.
11,728	8/17/2015 12:36:00 AM	S-1-5-18	Product: Google Update Helper -- Configuration completed successfully.
11,707	6/9/2015 10:56:22 AM	S-1-5-18	Product: ScreenConnect Client (56cfa98e32ea813a) -- Installation completed successfully.
11,707	6/9/2015 1:03:19 AM	S-1-5-18	Product: ScreenConnect Client (56cfa98e32ea813a) -- Installation completed successfully.
11,707	5/25/2015 6:32:14 PM	S-1-5-18	Product: Google Apps Sync™ for Microsoft Outlook® 3.7.410.1100 -- Installation completed successfully.
11,728	5/15/2015 1:30:00 PM	S-1-5-18	Product: Google Update Helper -- Configuration completed successfully.
11,707	5/11/2015 10:24:55 AM	S-1-5-18	Product: Google Apps Sync™ for Microsoft Outlook® 3.7.400.1050 -- Installation completed successfully.
11,707	5/6/2015 6:25:04 AM	S-1-5-18	Product: Google Apps Migration For Microsoft Outlook® 3.4.27.52 -- Installation completed successfully.
11,707	4/5/2015 1:24:36 AM	S-1-5-18	Product: Google Apps Sync™ for Microsoft Outlook® 3.7.395.1040 -- Installation completed successfully.
11,707	4/2/2015 10:24:26 PM	S-1-5-18	Product: Google Apps Migration For Microsoft Outlook® 3.3.25.50 -- Installation completed successfully.
11,707	3/26/2015 9:24:57 AM	S-1-5-18	Product: Google Apps Migration For Microsoft Outlook® 3.2.23.48 -- Installation completed successfully.
11,728	2/26/2015 1:15:42 PM	S-1-5-21-2146671152-1263538465-3310924795-1643	Product: Trend Micro Client/Server Security Agent -- Configuration completed successfully.
11,707	2/5/2015 9:24:00 AM	S-1-5-18	Product: Google Update Helper -- Installation completed successfully.
11,707	1/26/2015 2:41:17 PM	S-1-5-21-2146671152-1263538465-3310924795-500	Product: FortiClient SSLVPN v4.0.2300 -- Installation completed successfully.
11,724	1/23/2015 11:51:04 AM	S-1-5-18	Product: Managed Workplace Onsite Manager -- Removal completed successfully.
11,707	1/8/2015 9:19:51 PM	S-1-5-18	Product: Google Apps Migration For Microsoft Outlook® 3.1.21.46 -- Installation completed successfully.
11,728	1/5/2015 3:31:33 PM	S-1-5-21-2146671152-1263538465-3310924795-500	Product: Ontrack PowerControls 7.2 -- Configuration completed successfully.
11,707	1/5/2015 3:31:13 PM	S-1-5-21-2146671152-1263538465-3310924795-500	Product: Ontrack PowerControls 7.2 -- Installation operation completed successfully.
11,707	1/5/2015 3:30:38 PM	S-1-5-21-2146671152-1263538465-3310924795-500	Product: Ontrack PowerControls 7.2 -- Installation operation completed successfully.
11,707	1/5/2015 3:30:37 PM	S-1-5-21-2146671152-1263538465-3310924795-500	Product: Microsoft Visual C++ 2008 Redistributable - x86 9.0.30729.17 -- Installation completed successfully.
11,707	1/5/2015 3:30:33 PM	S-1-5-21-2146671152-1263538465-3310924795-500	Product: Microsoft Visual C++ 2005 Redistributable -- Installation completed successfully.
11,707	1/5/2015 3:29:47 PM	S-1-5-21-2146671152-1263538465-3310924795-500	Product: Microsoft Visual C++ 2012 x86 Additional Runtime - 11.0.60610 -- Installation completed successfully.
11,707	1/5/2015 3:29:46 PM	S-1-5-21-2146671152-1263538465-3310924795-500	Product: Microsoft Visual C++ 2012 x86 Minimum Runtime - 11.0.60610 -- Installation completed successfully.
11,707	1/5/2015 3:29:26 PM	S-1-5-21-2146671152-1263538465-3310924795-500	Product: Microsoft Visual C++ 2008 Redistributable - x64 9.0.30729.17 -- Installation completed successfully.

From: [Redacted]  
Sent time: 03/05/2015 12:31:29 PM  
To: [Redacted]  
Subject: [Redacted] Security Pursuit

b6  
b7C

[Redacted] is going to send over a list of recommendations for us to apply for additional security against hackers.  
He did say we should probably remove all Clinton files, folders, info off our servers etc. on an independent drive.

b6  
b7C

[Redacted]

b6  
b7C

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2955 INCA ST 2K O: 303.255.1941  
DENVER, CO 80202 M: 303.253.0386  
[WWW.PLATTERIVER.COM](http://WWW.PLATTERIVER.COM)



From: [redacted]  
Sent time: 03/03/2015 09:11:16 AM  
To: [redacted]  
Subject: RE: top story in the news today...

b6  
b7C

Not surprised.

[redacted]

b6  
b7C

**PLATTE RIVER NETWORKS**  
2955 INCA ST 2K O: 303.255.1941  
DENVER, CO 80202 M: 303.253.0386  
[WWW.PLATTERIVER.COM](http://WWW.PLATTERIVER.COM)



From: [redacted]  
Sent: Tuesday, March 03, 2015 8:11 AM  
To: [redacted]  
Subject: RE: top story in the news today...

b6  
b7C

I've done quite a bit already in the last few months related to this. Her team had me do a bunch of exports and email filters and cleanup to provide a PST file of all of HRC's emails to/from any .gov addresses. I billed probably close to 10 hours in oncall tickets with CESC related to it :)

From: [redacted]  
Sent: Tuesday, March 03, 2015 9:57 AM  
To: [redacted]  
Cc: [redacted]  
Subject: RE: top story in the news today...

b6  
b7C

I'm curious the questioned emails actually reside on the new server we provisioned.

We may be asked to provide those. Can't imagine it will be much more than that.

[redacted]

b6  
b7C

**PLATTE RIVER NETWORKS**  
2955 INCA ST 2K O: 303.255.1941  
DENVER, CO 80202 M: 303.253.0386  
[WWW.PLATTERIVER.COM](http://WWW.PLATTERIVER.COM)



From: [redacted]  
Sent: Tuesday, March 03, 2015 7:56 AM  
To: [redacted]  
Cc: [redacted]  
Subject: RE: top story in the news today...

b6  
b7C

Guess we'll have to wait and see if we are asked to do anything.

From: [redacted]  
Sent: Tuesday, March 3, 2015 7:45 AM  
To: [redacted]  
Cc: [redacted]  
Subject: top story in the news today...

b6  
b7C

We should probably discuss what if...regarding Hillary and the state department emails.  
I know we did not take over email until AFTER the mentioned occurrence.

I assume some of those emails may have been migrated when we took over?

b6  
b7c

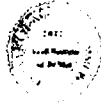


**PLATTE RIVER NETWORKS**

2955 INCA ST 2K O: 303.255.1941

DENVER, CO 80202 M: 303.253.0386

[WWW.PLATTERIVER.COM](http://WWW.PLATTERIVER.COM)



From: [redacted]  
Sent time: 03/05/2015 05:29:17 PM  
To: [redacted]  
Subject: RE: Share

Works for me.

From [redacted]  
Sent: Thursday, March 5, 2015 4:28 PM  
To [redacted]  
Subject: RE: Share

Is this accurate on who needs access?

[redacted]

**PLATTE RIVER NETWORKS**  
2955 INCA ST 2K | DENVER, CO 80202  
303.255.1941 | [PLATTERIVER.COM](http://PLATTERIVER.COM)

From [redacted]  
Sent: Thursday, March 5, 2015 9:41 AM  
To [redacted]  
Subject: Share

I've created a new share

\\prndc01\cesc\$

Who all needs access to that share? Currently I have the following:

[redacted]

Any others that would need access? I've completed downloading the notes from Evernote and deleted out of our Evernote account. I will move the P: drive files there next.

Thanks,

[redacted]

**PLATTE RIVER NETWORKS**  
2955 INCA ST 2K | DENVER, CO 80202  
303.255.1941 | [PLATTERIVER.COM](http://PLATTERIVER.COM)





From: [Redacted]  
Sent time: 03/05/2015 05:34:49 PM  
To: [Redacted]  
Cc: [Redacted]  
Subject: Share

---

I've created a share [\\prndc01\cesc\\$](#). You should all have access to the share and folders. I moved the P: drive client files and dumped all the notes from Evernote in the share. You will need to access this for the time being for any of the documentation.

Please let me know if you have questions.

[Redacted]

**PLATTE RIVER NETWORKS**  
2955 INCA ST 2K | DENVER, CO 80202  
303.255.1941 | [PLATTERIVER.COM](http://PLATTERIVER.COM)



**From:** [redacted]  
**Sent time:** 03/05/2015 08:55:13 PM  
**To:** [redacted]  
**Subject:** RE: CESC Firewall

---

I'd have to check my email, but I'm pretty sure they required HRC's backup device to be able to hit the mail server via IMAP/SMTP after they moved her address (they had me forward her email to another server a month or two back)

**From:** [redacted]  
**Sent:** Thursday, March 5, 2015 9:52 PM  
**To:** [redacted]  
**Subject:** CESC Firewall

So after I locked things down this morning, I went back in and found we have a rule allowing any IP on the internet inbound to the mail server over ports 25, and 465 (SMTPS). I didn't see much traffic hitting it, and I assume all traffic has to go through MXLogic, so I disabled it. If we get any calls about email not coming through or something, we can just re-enable it. Unless you know of any reason why we left this rule in place?

[redacted]  
303.255.1941



4) export.pst

<b>Path</b>		
CESC-PRN-flat.vmdk/Partition 2/NONAME [NTFS]/[root]/Users/administrator.CLINTON/AppData/Roaming/Microsoft/Windows/Recent/export.pst.lnk		
<b>Created</b>	<b>Accessed</b>	<b>Modified</b>
7/23/2014 4:38:07 PM (2014-07-23 20:38:07 UTC)	11/18/2014 11:11:32 AM (2014-11-18 16:11:32 UTC)	11/18/2014 11:11:32 AM (2014-11-18 16:11:32 UTC)

<b>Link target information</b>	
Local Path	C:\temp\export.pst
Volume Type	Fixed Disk
Volume Serial Number	4A62-8D8C
File Size	1176830976
Creation time (UTC)	7/23/2014 8:38:07 PM +00:00
Last write time (UTC)	11/18/2014 4:11:06 PM +00:00
Last access time (UTC)	7/23/2014 8:38:15 PM +00:00
<b>File attributes</b>	
Archive	
<b>Optional fields</b>	
Relative Path	..\..\..\..\..\temp\export.pst
Working directory	C:\temp
<b>Target system information</b>	
NetBIOS name	cesc-prn
MAC address	00-50-56-A8-99-B3

5) HRC archive – complete.pst

6) hrcarchive@clintonemail.com – HRC archive.ost

Registry Files:

<input checked="" type="checkbox"/> <b>Key Properties</b>	
Last Written Time	3/7/2015 17:03:57 UTC

NTUSER.DAT\Software\Microsoft\Office\14.0\Outlook\Search\Catalog

8/20/2015

Tickets by Date

🕒 03/08/2015 [redacted] Worked 13.00 hours (01:00 PM to 02:00 AM)

b6  
b7C

- Summary Notes : 3.8.15 [redacted] PRN (1:00p – 2:00a) 13hrs On Call
- \* Talked with Cheryl about some questions/concerns she had.
  - \* Decided we cannot answer the email backup questions accurately without physically being onsite.
  - \* Reviewed available flights, and booked one for a few hours from now.
  - \* Headed to the airport and arrived in NYC.
  - \* Got in a car and headed to the Datacenter.
  - \* Called Cheryl and reviewed what we will be looking for.
  - \* Worked with [redacted] to ensure we have all backups and files we think we have, and re-inventoried all data.
  - \* Powered on the older servers that have been shutdown and looked for any backups or old data, did not find anything.
  - \* Reviewed the old drives and didn't find anything either.
  - \* Double checked the physical security and cabling, and had another call with Cheryl.
  - \* Headed back to the hotel and continued security measures.

b6  
b7C

🕒 03/07/2015 [redacted] Worked 4.00 hours (10:00 AM to 02:00 PM)

b6  
b7C

- Summary Notes : 3/7 [redacted] - 4 hours
- \* Security updates, conference calls, planning

[redacted] BB setup : T20150303.0045

Status : Complete

b6  
b7C

**Account Name :** CESC  
**Work Type :** Remote Support  
**Issue Type :**  
**Sub-Issue Type :**  
**Description :**

Entered by [redacted] on 03/03/2015  
 Currently assigned to [redacted]  
 Service Request

b6  
b7C

📅 03/04/2015 Administrator, Autotask

📅 03/03/2015 [redacted]

b6  
b7C

🕒 03/03/2015 [redacted] Worked 0.50 hours (12:00 PM to 12:30 PM)

- Summary Notes : 3/3 [redacted] - 30 min
- \* Provision/setup of new BB account for [redacted]

b6  
b7C

Webroot : T20150226.0029

Status : Complete

**Account Name :** CESC  
**Work Type :** Remote Support  
**Issue Type :**  
**Sub-Issue Type :**  
**Description :**

Entered by [redacted] on 02/26/2015  
 Currently assigned to [redacted]  
 Service Request

b6  
b7C

## USB Devices – PRN Admin Server

Serial Number	Friendly Name	Associated User Accounts	First Connected	Last Written
0000000FA5ABE0&0	USB 2.0 USB Flash Drive USB Device	administrator.CLINTON, prnadmin.CLINTON	3/8/2015 23:21	9/30/2015 21:03
0000000FA5ABE0&0	USB 2.0 USB Flash Drive USB Device	administrator.CLINTON, prnadmin.CLINTON	3/8/2015 23:21	10/3/2015 10:51
0000000FA5ABE0&0	USB 2.0 USB Flash Drive USB Device	administrator.CLINTON, prnadmin.CLINTON	3/8/2015 23:21	3/10/2015 18:31
0000000FA5ABE0&0	USB 2.0 USB Flash Drive USB Device	adminlstrator.CLINTON, prnadmin.CLINTON	3/8/2015 23:21	3/10/2015 18:31
2GHU026M__&0	Seagate Desktop USB Device	-Not Found-	6/25/2013 13:31	9/30/2015 21:03
2GHU026M__&0	Seagate Desktop USB Device	-Not Found-	6/25/2013 13:31	10/3/2015 10:51
2GHU026M__&0	Seagate Desktop USB Device	-Not Found-	6/25/2013 13:31	3/10/2015 22:44
2GHU026M__&0	Seagate Desktop USB Device	-Not Found-	6/25/2013 13:31	3/10/2015 22:44

From: Cheryl Mills [redacted]  
Sent: Monday, March 09, 2015 2:39 PM  
To: [redacted]  
Cc: [redacted]  
Subject: Re: Email/Data Systems David Kendall

b6  
b7C

Dear [redacted]

b6  
b7C

Thank you for reviewing the existing tapes you collected with other equipment from the Clinton's residence when Platte River took over the systems in June 2013. I appreciate your review of those tapes, which you have stored in the data center since that time.

As we have discussed in the last week and consistent with the preservation email David Kendall shared with you, please do continue to preserve all relevant materials.

Thank you so much.

best.

cdm

On Mon, Mar 9, 2015 at 2:10 PM, [redacted] wrote:  
Cheryl,

b6  
b7C

Upon review of your email/data systems, we have not found any backups or any data that existed before we took over in June of 2013. However, we are backing up the data as it exists today, and continue to keep and maintain that retention policy. To our knowledge there are no backups or data on the systems that would hold any data previous to our involvement; that is not to say there could have been older backups that have since been overwritten or deleted, and could still be forensically found on the disks by means of advanced software. But this is not to our knowledge, and thus out of our control. Please let us know if you have any further questions or concerns.

Thank you,



b6  
b7C

303.255.1941

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Networking Infrastructure Solutions  
Network Solutions  
Small Business Specialist Community  
Office Deployment



This email has been scanned for email related threats and delivered safely by Mimecast.  
For more information please visit <http://www.mimecast.com>

**From:** [redacted]  
**Sent time:** 08/17/2015 07:02:50 PM  
**To:** [redacted]  
**Cc:** [redacted]  
**Subject:** RE: Emails  
**Attachments:** Re: Email/Data Systems

---

See attached!



PLATTE RIVER NETWORKS  
5700 WASHINGTON ST | DENVER, CO 80216  
303.255.1941 | PLATTERIVER.COM

—Original Message—

**From:** [redacted]  
**Sent:** Monday, August 17, 2015 5:56 PM  
**To:** [redacted]  
**Subject:** Emails

Guys

Do either of you have info on what we found on the server when [redacted] went onsite last spring and what we shared with the Clinton staff?



## USB Devices – PRN Admin Server

Serial Number	Friendly Name	Associated User Accounts	First Connected	Last Written
0000000FASABE0&0	USB 2.0 USB Flash Drive USB Device	administrator.CLINTON, prnadmin.CLINTON	3/8/2015 23:21	9/30/2015 21:03
0000000FASABE0&0	USB 2.0 USB Flash Drive USB Device	administrator.CLINTON, prnadmin.CLINTON	3/8/2015 23:21	10/3/2015 10:51
0000000FASABE0&0	USB 2.0 USB Flash Drive USB Device	adminIstrator.CLINTON, prnadmin.CLINTON	3/8/2015 23:21	3/10/2015 18:31
0000000FASABE0&0	USB 2.0 USB Flash Drive USB Device	adminIstrator.CLINTON, prnadmin.CLINTON	3/8/2015 23:21	3/10/2015 18:31
2GHJ026M &0	Seagate Desktop USB Device	-Not Found-	6/25/2013 13:31	9/30/2015 21:03
2GHJ026M &0	Seagate Desktop USB Device	-Not Found-	6/25/2013 13:31	10/3/2015 10:51
2GHJ026M &0	Seagate Desktop USB Device	-Not Found-	6/25/2013 13:31	3/10/2015 22:44
2GHJ026M &0	Seagate Desktop USB Device	-Not Found-	6/25/2013 13:31	3/10/2015 22:44



**Account Name :** CESC  
**Work Type :** Intuition Maintenance  
**Issue Type :**  
**Sub-Issue Type :**  
**Description :**

Entered by [redacted] on 03/10/2015  
Currently assigned to [redacted]  
Proactive Maintenance

b6  
b7C

03/12/2015 Administrator, Autotask

03/12/2015 Administrator, Autotask

03/10/2015 [redacted]

b6  
b7C

03/10/2015 [redacted]  
Summary Notes : 3/10 [redacted] 3 hours  
\* Email move for CCM  
\* Email flow troubleshooting

Worked 3.00 hours (09:00 AM to 12:00 PM)

b6  
b7C

**Intuition Maintenance : T20150309.0063**

**Status : Complete**

**Account Name :** CESC  
**Work Type :** Intuition Maintenance  
**Issue Type :**  
**Sub-Issue Type :**  
**Description :**

Entered by [redacted] on 03/09/2015  
Currently assigned to [redacted]  
Proactive Maintenance

b6  
b7C

03/10/2015 Administrator, Autotask

03/09/2015 [redacted]

b6  
b7C

03/09/2015 [redacted]  
Summary Notes : 3/9 [redacted] 30 min  
\* Additional updates with [redacted]

Worked 0.50 hours (01:00 PM to 01:30 PM)

**BB reactivations : T20150316.0009**

**Status : Complete**

**Account Name :** CESC  
**Work Type :** Remote Support  
**Issue Type :**  
**Sub-Issue Type :**  
**Description :**

Entered by [redacted] on 03/16/2015  
Currently assigned to [redacted]  
Service Request

b6  
b7C

📅 03/16/2015 Administrator, Autotask

📅 03/16/2015 [redacted]

b6  
b7C

🕒 03/13/2015 [redacted] Worked 0.50 hours (10:00 AM to 10:30 AM)  
Summary Notes : 3/13 [redacted] .5 hours  
\* BB re-activations with [redacted]

**CCM email : T20150312.0069**

**Status : Complete**

**Account Name :** CESC  
**Work Type :** Remote Support  
**Issue Type :**  
**Sub-Issue Type :**  
**Description :**

Entered by [redacted] on 03/12/2015  
Currently assigned to [redacted]  
Service Request

b6  
b7C

📅 03/13/2015 Administrator, Autotask

📅 03/12/2015 [redacted]

b6  
b7C

🕒 03/12/2015 [redacted] Worked 1.00 hours (12:00 PM to 01:00 PM)  
Summary Notes : 3/12 [redacted] 1 hour  
\* Migration issues with CCM email - troubleshoot  
\* Additional security updates w/firewall

**Intuition Maintenance : T20150310.0038**

**Status : Complete**

**From:** [redacted]  
**Sent time:** 03/25/2015 08:13:24 AM  
**To:** [redacted]  
**Subject:** CESC call

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b6  
b7C

[redacted]

b6  
b7C

Passcode [redacted]

**From:** [redacted]  
**Sent time:** 03/25/2015 02:23:21 PM  
**To:** [redacted]  
**Subject:** Clintons

---

What about backups?

8/20/2015

Tickets by Date

🕒 04/30/2015 [Redacted]  
Summary Notes : 4/30 [Redacted] 4 hours  
\* Server maintenance 4/30

Worked 4.00 hours (08:00 AM to 12:00 PM)

b6  
b7C

📅 04/30/2015 [Redacted]

b6  
b7C

**Conf Call : T20150401.0019**

**Status : Complete**

**Account Name :** CESC  
**Work Type :** Remote Support  
**Issue Type :**  
**Sub-Issue Type :**  
**Description :**

Entered by [Redacted] on 04/01/2015  
Currently assigned to [Redacted]  
Service Request

b6  
b7C

📅 04/01/2015 Administrator,  
Autotask

📅 04/01/2015 [Redacted]

b6  
b7C

🕒 03/31/2015 [Redacted]  
Summary Notes : 3/31 [Redacted] 30 min  
\* Conf call with David/Cheryl

Worked 0.50 hours (03:30 PM to 04:00 PM)

**Conf Call : T20150325.0057**

**Status : Complete**

**Account Name :** CESC  
**Work Type :** Remote Support  
**Issue Type :**  
**Sub-Issue Type :**  
**Description :**

Entered by [Redacted] on 03/25/2015  
Currently assigned to [Redacted]  
Service Request

b6  
b7C

📅 03/25/2015 Administrator,  
Autotask

📅 03/25/2015 [Redacted]

b6  
b7C

offsiteRetentionDeletedID	deviceID	agentName	pointTimestamp	dateDeleted	size	deletedBy	destroyType
51908309	20106	cesc-exch01	1422486354	3/31/2015 11:27	2147483647	10.99.99.10	manual
51908311	20106	cesc-exch01	1422572728	3/31/2015 11:27	2147483647	10.99.99.10	manual
51908467	20106	cesc-exch01	1422572728	3/31/2015 11:32	2147483647	127.0.0.1	manual
51908568	20106	cesc-exch01	1426550580	3/31/2015 11:35	1784575488	127.0.0.1	manual
51908583	20106	cesc-exch01	1427205815	3/31/2015 11:35	2147483647	127.0.0.1	manual
51908588	20106	cesc-exch01	1426536192	3/31/2015 11:36	2019729408	127.0.0.1	manual
51908597	20106	cesc-exch01	1427191331	3/31/2015 11:36	391461888	127.0.0.1	manual
51908598	20106	cesc-exch01	1426515266	3/31/2015 11:36	2147483647	127.0.0.1	manual
51908615	20106	cesc-exch01	1427169769	3/31/2015 11:36	1558543360	127.0.0.1	manual
51908632	20106	cesc-exch01	1426500171	3/31/2015 11:37	2147483647	127.0.0.1	manual
51908650	20106	cesc-exch01	1427148269	3/31/2015 11:37	2147483647	127.0.0.1	manual
51908651	20106	cesc-exch01	1426485711	3/31/2015 11:37	426132480	127.0.0.1	manual
51908662	20106	cesc-exch01	1427133859	3/31/2015 11:38	2147483647	127.0.0.1	manual
51908681	20106	cesc-exch01	1426471336	3/31/2015 11:38	867526144	127.0.0.1	manual
51908683	20106	cesc-exch01	1427119391	3/31/2015 11:38	2147483647	127.0.0.1	manual
51908693	20106	cesc-exch01	1426456942	3/31/2015 11:39	1357904384	127.0.0.1	manual
51908696	20106	cesc-exch01	1427104921	3/31/2015 11:39	529785856	127.0.0.1	manual
51908709	20106	cesc-exch01	1426435474	3/31/2015 11:39	2147483647	127.0.0.1	manual
51908711	20106	cesc-exch01	1427090571	3/31/2015 11:39	1637800448	127.0.0.1	manual
51908729	20106	cesc-exch01	1426420975	3/31/2015 11:40	1449253888	127.0.0.1	manual
51908737	20106	cesc-exch01	1427076145	3/31/2015 11:40	1083220992	127.0.0.1	manual
51908742	20106	cesc-exch01	1426406552	3/31/2015 11:40	1386481152	127.0.0.1	manual
51908755	20106	cesc-exch01	1427061765	3/31/2015 11:41	2147483647	127.0.0.1	manual
51908762	20106	cesc-exch01	1426392157	3/31/2015 11:41	881058304	127.0.0.1	manual
51908765	20106	cesc-exch01	1427047343	3/31/2015 11:41	1259869184	127.0.0.1	manual
51908772	20106	cesc-exch01	1426377741	3/31/2015 11:41	1382928384	127.0.0.1	manual
51908781	20106	cesc-exch01	1427032926	3/31/2015 11:42	957980672	127.0.0.1	manual
51908782	20106	cesc-exch01	1426363391	3/31/2015 11:42	1805410304	127.0.0.1	manual
51908791	20106	cesc-exch01	1427018496	3/31/2015 11:42	186290688	127.0.0.1	manual
51908792	20106	cesc-exch01	1426349009	3/31/2015 11:42	2147483647	127.0.0.1	manual
51908800	20106	cesc-exch01	1427004103	3/31/2015 11:42	544729088	127.0.0.1	manual
51908813	20106	cesc-exch01	1426334549	3/31/2015 11:43	1257823232	127.0.0.1	manual
51908822	20106	cesc-exch01	1426989738	3/31/2015 11:43	921419264	127.0.0.1	manual

51908832	20106 cesc-exch01	1426320132	3/31/2015 11:43	409286144	127.0.0.1	manual
51908837	20106 cesc-exch01	1426975341	3/31/2015 11:43	1543983104	127.0.0.1	manual
51908842	20106 cesc-exch01	1426305759	3/31/2015 11:44	914999296	127.0.0.1	manual
51908856	20106 cesc-exch01	1426960960	3/31/2015 11:44	1794873856	127.0.0.1	manual
51908862	20106 cesc-exch01	1426284221	3/31/2015 11:44	2147483647	127.0.0.1	manual
51908875	20106 cesc-exch01	1426946536	3/31/2015 11:45	1059841536	127.0.0.1	manual
51908885	20106 cesc-exch01	1426269811	3/31/2015 11:45	2147483647	127.0.0.1	manual
51908891	20106 cesc-exch01	1426932101	3/31/2015 11:45	185225216	127.0.0.1	manual
51908903	20106 cesc-exch01	1426255394	3/31/2015 11:45	2147483647	127.0.0.1	manual
51908908	20106 cesc-exch01	1426917709	3/31/2015 11:46	467534336	127.0.0.1	manual
51908918	20106 cesc-exch01	1426240923	3/31/2015 11:46	614016000	127.0.0.1	manual
51908923	20106 cesc-exch01	1426903358	3/31/2015 11:46	1454828032	127.0.0.1	manual
51908927	20106 cesc-exch01	1426219409	3/31/2015 11:46	2147483647	127.0.0.1	manual
51908936	20106 cesc-exch01	1426889010	3/31/2015 11:46	2147483647	127.0.0.1	manual
51908946	20106 cesc-exch01	1426197898	3/31/2015 11:47	2147483647	127.0.0.1	manual
51908953	20106 cesc-exch01	1426874634	3/31/2015 11:47	2147483647	127.0.0.1	manual
51908969	20106 cesc-exch01	1426176753	3/31/2015 11:47	2147483647	127.0.0.1	manual
51908978	20106 cesc-exch01	1426860183	3/31/2015 11:48	2147483647	127.0.0.1	manual
51908980	20106 cesc-exch01	1426161751	3/31/2015 11:48	1265425408	127.0.0.1	manual
51908992	20106 cesc-exch01	1426147311	3/31/2015 11:48	411952640	127.0.0.1	manual
51908994	20106 cesc-exch01	1426845699	3/31/2015 11:48	225860608	127.0.0.1	manual
51909004	20106 cesc-exch01	1426125827	3/31/2015 11:48	2147483647	127.0.0.1	manual
51909003	20106 cesc-exch01	1426824197	3/31/2015 11:48	1982041088	127.0.0.1	manual
51909007	20106 cesc-exch01	1426111468	3/31/2015 11:49	2147483647	127.0.0.1	manual
51909009	20106 cesc-exch01	1426802701	3/31/2015 11:49	2147483647	127.0.0.1	manual
51909013	20106 cesc-exch01	1426781210	3/31/2015 11:49	2147483647	127.0.0.1	manual
51909015	20106 cesc-exch01	1426097081	3/31/2015 11:49	2147483647	127.0.0.1	manual
51909021	20106 cesc-exch01	1426766529	3/31/2015 11:49	514964480	127.0.0.1	manual
51909022	20106 cesc-exch01	1426082603	3/31/2015 11:49	2147483647	127.0.0.1	manual
51909024	20106 cesc-exch01	1426752111	3/31/2015 11:49	258430464	127.0.0.1	manual
51909027	20106 cesc-exch01	1426068116	3/31/2015 11:49	330622976	127.0.0.1	manual
51909032	20106 cesc-exch01	1426053715	3/31/2015 11:49	635857920	127.0.0.1	manual
51909033	20106 cesc-exch01	1426730586	3/31/2015 11:49	2147483647	127.0.0.1	manual
51909039	20106 cesc-exch01	1426032684	3/31/2015 11:49	2147483647	127.0.0.1	manual

51909044	20106 cesc-exch01	1426716164	3/31/2015 11:50	2147483647	127.0.0.1	manual
51909046	20106 cesc-exch01	1426017879	3/31/2015 11:50	2147483647	127.0.0.1	manual
51909052	20106 cesc-exch01	1426694715	3/31/2015 11:50	2147483647	127.0.0.1	manual
51909069	20106 cesc-exch01	1426003520	3/31/2015 11:51	2147483647	127.0.0.1	manual
51909083	20106 cesc-exch01	1425988939	3/31/2015 11:51	1055816704	127.0.0.1	manual
51909081	20106 cesc-exch01	1426680192	3/31/2015 11:51	1973435392	127.0.0.1	manual
51909102	20106 cesc-exch01	1425974533	3/31/2015 11:52	876412928	127.0.0.1	manual
51909105	20106 cesc-exch01	1426665756	3/31/2015 11:52	1374854656	127.0.0.1	manual
51909107	20106 cesc-exch01	1425960162	3/31/2015 11:52	1553204224	127.0.0.1	manual
51909110	20106 cesc-exch01	1426644272	3/31/2015 11:52	2147483647	127.0.0.1	manual
51909116	20106 cesc-exch01	1425938664	3/31/2015 11:52	2147483647	127.0.0.1	manual
51909119	20106 cesc-exch01	1426629792	3/31/2015 11:52	2147483647	127.0.0.1	manual
51909123	20106 cesc-exch01	1425924194	3/31/2015 11:52	2147483647	127.0.0.1	manual
51909125	20106 cesc-exch01	1426615452	3/31/2015 11:52	2147483647	127.0.0.1	manual
51909128	20106 cesc-exch01	1426601049	3/31/2015 11:52	2147483647	127.0.0.1	manual
51909131	20106 cesc-exch01	1425909832	3/31/2015 11:52	2147483647	127.0.0.1	manual
51909132	20106 cesc-exch01	1425895311	3/31/2015 11:52	217388032	127.0.0.1	manual
51909136	20106 cesc-exch01	1426586571	3/31/2015 11:52	1770064384	127.0.0.1	manual
51909141	20106 cesc-exch01	1426565062	3/31/2015 11:53	2147483647	127.0.0.1	manual
51909143	20106 cesc-exch01	1425880940	3/31/2015 11:53	1306884608	127.0.0.1	manual
51909147	20106 cesc-exch01	1425859474	3/31/2015 11:53	2147483647	127.0.0.1	manual
51909154	20106 cesc-exch01	1425845017	3/31/2015 11:53	2147483647	127.0.0.1	manual
51909161	20106 cesc-exch01	1425830617	3/31/2015 11:53	2147483647	127.0.0.1	manual
51909167	20106 cesc-exch01	1425816133	3/31/2015 11:53	1075906048	127.0.0.1	manual
51909171	20106 cesc-exch01	1425801745	3/31/2015 11:53	1045999616	127.0.0.1	manual
51909184	20106 cesc-exch01	1425776723	3/31/2015 11:54	2147483647	127.0.0.1	manual
51909196	20106 cesc-exch01	1425762252	3/31/2015 11:54	2147483647	127.0.0.1	manual
51909212	20106 cesc-exch01	1425747860	3/31/2015 11:54	2147483647	127.0.0.1	manual
51909231	20106 cesc-exch01	1425733390	3/31/2015 11:55	1758722560	127.0.0.1	manual
51909242	20106 cesc-exch01	1425718922	3/31/2015 11:55	526188544	127.0.0.1	manual
51909248	20106 cesc-exch01	1425704582	3/31/2015 11:56	1651510272	127.0.0.1	manual
51909266	20106 cesc-exch01	1425683150	3/31/2015 11:56	2147483647	127.0.0.1	manual
51909278	20106 cesc-exch01	1425668666	3/31/2015 11:57	2147483647	127.0.0.1	manual
51909292	20106 cesc-exch01	1425654243	3/31/2015 11:57	2147483647	127.0.0.1	manual



51909310	20106	cesc-exch01	1425639736	3/31/2015 11:58	652189696	127.0.0.1	manual
51909321	20106	cesc-exch01	1425618246	3/31/2015 11:58	2147483647	127.0.0.1	manual
51909332	20106	cesc-exch01	1425596896	3/31/2015 11:58	2147483647	127.0.0.1	manual
51909342	20106	cesc-exch01	1425582241	3/31/2015 11:59	2147483647	127.0.0.1	manual
51909358	20106	cesc-exch01	1425567860	3/31/2015 11:59	2147483647	127.0.0.1	manual
51909374	20106	cesc-exch01	1425553322	3/31/2015 12:00	409280512	127.0.0.1	manual
51909388	20106	cesc-exch01	1425531794	3/31/2015 12:00	2147483647	127.0.0.1	manual
51909395	20106	cesc-exch01	1425510424	3/31/2015 12:00	2147483647	127.0.0.1	manual
51909399	20106	cesc-exch01	1425495936	3/31/2015 12:00	2147483647	127.0.0.1	manual
51909404	20106	cesc-exch01	1425481441	3/31/2015 12:01	2147483647	127.0.0.1	manual
51909411	20106	cesc-exch01	1425466915	3/31/2015 12:01	453376512	127.0.0.1	manual
51909415	20106	cesc-exch01	1425445432	3/31/2015 12:01	2147483647	127.0.0.1	manual
51909423	20106	cesc-exch01	1425423921	3/31/2015 12:01	2147483647	127.0.0.1	manual
51909426	20106	cesc-exch01	1425409458	3/31/2015 12:01	2147483647	127.0.0.1	manual
51909433	20106	cesc-exch01	1425395011	3/31/2015 12:01	2147483647	127.0.0.1	manual
51909437	20106	cesc-exch01	1425380507	3/31/2015 12:01	343230464	127.0.0.1	manual
51909447	20106	cesc-exch01	1425366141	3/31/2015 12:02	993950208	127.0.0.1	manual
51909457	20106	cesc-exch01	1425351767	3/31/2015 12:02	1680826880	127.0.0.1	manual
51909461	20106	cesc-exch01	1425330284	3/31/2015 12:02	2147483647	127.0.0.1	manual
51909467	20106	cesc-exch01	1425315940	3/31/2015 12:02	2147483647	127.0.0.1	manual
51909476	20106	cesc-exch01	1425301359	3/31/2015 12:02	1448999936	127.0.0.1	manual
51909485	20106	cesc-exch01	1425286923	3/31/2015 12:03	289822720	127.0.0.1	manual
51909492	20106	cesc-exch01	1425272567	3/31/2015 12:03	1210885632	127.0.0.1	manual
51909498	20106	cesc-exch01	1425258177	3/31/2015 12:03	1747767808	127.0.0.1	manual
51909510	20106	cesc-exch01	1425243808	3/31/2015 12:03	2147483647	127.0.0.1	manual
51909514	20106	cesc-exch01	1425229392	3/31/2015 12:03	2147483647	127.0.0.1	manual
51909520	20106	cesc-exch01	1425214909	3/31/2015 12:04	219101696	127.0.0.1	manual
51909528	20106	cesc-exch01	1425200517	3/31/2015 12:04	101869056	127.0.0.1	manual
51909539	20106	cesc-exch01	1425186174	3/31/2015 12:04	1022279168	127.0.0.1	manual
51909547	20106	cesc-exch01	1425171778	3/31/2015 12:04	1649956864	127.0.0.1	manual
51909555	20106	cesc-exch01	1425157378	3/31/2015 12:04	1883486208	127.0.0.1	manual
51909560	20106	cesc-exch01	1425143032	3/31/2015 12:05	2147483647	127.0.0.1	manual
51909570	20106	cesc-exch01	1425128524	3/31/2015 12:05	582242816	127.0.0.1	manual
51909574	20106	cesc-exch01	1425114111	3/31/2015 12:05	380931584	127.0.0.1	manual

51909589	20106 cesc-exch01	1425078346	3/31/2015 12:06	2147483647	127.0.0.1	manual
51909601	20106 cesc-exch01	1424991939	3/31/2015 12:07	2147483647	127.0.0.1	manual
51909604	20106 cesc-exch01	1424905556	3/31/2015 12:07	2147483647	127.0.0.1	manual
51909623	20106 cesc-exch01	1424819102	3/31/2015 12:09	2147483647	127.0.0.1	manual
51909630	20106 cesc-exch01	1424739896	3/31/2015 12:09	2147483647	127.0.0.1	manual
51909641	20106 cesc-exch01	1424653376	3/31/2015 12:09	1284926976	127.0.0.1	manual
51909649	20106 cesc-exch01	1424566997	3/31/2015 12:10	1753640448	127.0.0.1	manual
51909653	20106 cesc-exch01	1424474299	3/31/2015 12:10	2147483647	127.0.0.1	manual
51909661	20106 cesc-exch01	1424387113	3/31/2015 12:10	2147483647	127.0.0.1	manual
51909667	20106 cesc-exch01	1424300729	3/31/2015 12:10	2147483647	127.0.0.1	manual
51909672	20106 cesc-exch01	1424221492	3/31/2015 12:10	2147483647	127.0.0.1	manual
51909679	20106 cesc-exch01	1424127906	3/31/2015 12:10	2147483647	127.0.0.1	manual
51909684	20106 cesc-exch01	1424048560	3/31/2015 12:11	1016056832	127.0.0.1	manual
51909687	20106 cesc-exch01	1423962143	3/31/2015 12:11	752560128	127.0.0.1	manual
51909698	20106 cesc-exch01	1423868704	3/31/2015 12:11	2147483647	127.0.0.1	manual
51909701	20106 cesc-exch01	1423782296	3/31/2015 12:11	2147483647	127.0.0.1	manual
51909707	20106 cesc-exch01	1423703188	3/31/2015 12:11	2147483647	127.0.0.1	manual
51909711	20106 cesc-exch01	1423609541	3/31/2015 12:11	2147483647	127.0.0.1	manual
51909717	20106 cesc-exch01	1423523097	3/31/2015 12:12	2147483647	127.0.0.1	manual
51909724	20106 cesc-exch01	1423450971	3/31/2015 12:12	1843744768	127.0.0.1	manual
51909727	20106 cesc-exch01	1423364533	3/31/2015 12:12	895870464	127.0.0.1	manual
51909737	20106 cesc-exch01	1423263879	3/31/2015 12:12	2147483647	127.0.0.1	manual
51909745	20106 cesc-exch01	1423184703	3/31/2015 12:12	2147483647	127.0.0.1	manual
51909751	20106 cesc-exch01	1423091107	3/31/2015 12:13	2147483647	127.0.0.1	manual
51909754	20106 cesc-exch01	1423004744	3/31/2015 12:13	2147483647	127.0.0.1	manual
51909761	20106 cesc-exch01	1422918278	3/31/2015 12:13	2147483647	127.0.0.1	manual
51909765	20106 cesc-exch01	1422846147	3/31/2015 12:13	1241155072	127.0.0.1	manual
51909775	20106 cesc-exch01	1422759752	3/31/2015 12:13	1415788544	127.0.0.1	manual
51909784	20106 cesc-exch01	1422666618	3/31/2015 12:14	2147483647	127.0.0.1	manual
51910359	20106 cesc-exch01	1426845699	3/31/2015 12:38	225860608	127.0.0.1	manual
51910367	20106 cesc-exch01	1426802701	3/31/2015 12:38	2147483647	127.0.0.1	manual
51910376	20106 cesc-exch01	1426615452	3/31/2015 12:38	2147483647	127.0.0.1	manual
51910378	20106 cesc-exch01	1426586571	3/31/2015 12:38	1770064384	127.0.0.1	manual
51910382	20106 cesc-exch01	1426536192	3/31/2015 12:39	2019729408	127.0.0.1	manual

51910388	20106 cesc-exch01	1426500171	3/31/2015 12:39	2147483647	127.0.0.1	manual
51910401	20106 cesc-exch01	1426377741	3/31/2015 12:39	1382928384	127.0.0.1	manual
51910403	20106 cesc-exch01	1426363391	3/31/2015 12:39	1805410304	127.0.0.1	manual
51910407	20106 cesc-exch01	1426176753	3/31/2015 12:39	2147483647	127.0.0.1	manual
51910412	20106 cesc-exch01	1426161751	3/31/2015 12:39	1265425408	127.0.0.1	manual
51910416	20106 cesc-exch01	1426125827	3/31/2015 12:40	2147483647	127.0.0.1	manual
51910421	20106 cesc-exch01	1426068116	3/31/2015 12:40	330622976	127.0.0.1	manual
51910428	20106 cesc-exch01	1426032684	3/31/2015 12:40	2147483647	127.0.0.1	manual
51910433	20106 cesc-exch01	1426003520	3/31/2015 12:40	2147483647	127.0.0.1	manual
51910436	20106 cesc-exch01	1425988939	3/31/2015 12:40	1055816704	127.0.0.1	manual
51910441	20106 cesc-exch01	1425974533	3/31/2015 12:40	876412928	127.0.0.1	manual
51910446	20106 cesc-exch01	1425909832	3/31/2015 12:41	2147483647	127.0.0.1	manual
51910449	20106 cesc-exch01	1425880940	3/31/2015 12:41	1306884608	127.0.0.1	manual

## BleachBit – PRN Admin Server

- Program Files (x86)
  - BleachBit
  - Cisco Systems
  - Common Files
  - Fortinet
  - Google
  - InstallShield Installation Information
  - Internet Explorer
  - JAM Software
  - Java
  - Kroll Ontrack

### Bleachbit.ini:

- Cleaning: Most recently used items, recent documents, and recycle bin
- Shredding: Overwrite free space on F: drive

Name	Type	Data
LangID	REG_BINARY	09 04
C:\Program Files (x86)\Google\Chrome\Application\chrome.exe	REG_SZ	Google Chrome
C:\Windows\LTSvc\LTTray.exe	REG_SZ	LabTech Tray
C:\Users\prnadmin.CLINTON\Downloads\BleachBit-1.7-setup.exe	REG_SZ	BleachBit-1.7-setup
C:\Users\prnadmin.CLINTON\AppData\Local\Temp\3\Advanced I...	REG_SZ	Advanced IP Scanner
C:\Users\prnadmin\Downloads\putty.exe	REG_SZ	SSH, Telnet and Rlogin client

Date	Time (Eastern)	Event
3/31/2015	12:01:48 PM	Program Files (x86)\Bleachbit created
3/31/2015	12:01:53 PM	Users\prnadmin.CLINTON\AppData\Roaming\BleachBit created/accessed/modified
3/31/2015	12:01:53 PM	Users\prnadmin.CLINTON\AppData\Roaming\BleachBit\bleachbit.ini created/accessed
3/31/2015	12:14:04 PM	Users\prnadmin.CLINTON\AppData\Roaming\BleachBit\bleachbit.ini modified
3/31/2015	12:16:08 PM	Program Files (x86)\Bleachbit accessed/modified

## BleachBit – Exchange Server

Date	Time (Eastern)	Event
11/29/2014	11:02:50 AM	Registry Key: \ControlSet001\Control\Session Manager\AppCompatCache Path: ... C:\Program Files (x86)\BleachBit\BleachBit.exe
3/31/2015	11:42:01 AM	URL: <a href="http://bleachbit.sourceforge.net/download">http://bleachbit.sourceforge.net/download</a>
3/31/2015	11:43:41 AM	Registry Key: \ControlSet001\Control\Session Manager\AppCompatCache Path: ...C:\Users\prnadmin.CLINTON\Downloads\BleachBit-1.7-setup.exe
3/31/2015	11:44:28 AM	Registry Key: \ControlSet001\Control\Session Manager\AppCompatCache Path: ...C:\Program Files (x86)\BleachBit\uninstall.exe
3/31/2015	11:44:31 AM	Users/prnadmin.CLINTON/AppData/Roaming/BleachBit/bleachbit.ini
3/31/2015	12:15:14 PM	Registry Key: \Software\Microsoft\Windows\CurrentVersion\Explorer\UserAssist\{CEBFF5 CD-ACE2-4F4F-9178-9926F41749EA}\Count] C:\Users\prnadmin.CLINTON\Downloads\BleachBit-1.7-setup.exe
3/31/2015	12:15:24 PM	Registry Key: \ControlSet001\Control\Session Manager\AppCompatCache Path: ...C:\Program Files (x86)\BleachBit\uninstall.exe
3/31/2015	12:15:27 PM	Users/prnadmin.CLINTON/AppData/Roaming/BleachBit/bleachbit.ini

June of 2013

Migrated

Blackberry server – still exists in its old state. May have transaction log information

Old server – no data –

2014 HRCoffice.com registered in June

PST files created

2<sup>nd</sup> or 3<sup>rd</sup> week transition to HRCOffice.com

PST Files were shredded

# PRN Admin Server - Installed/Uninstalled Application Events:

Event ID	Time Generated	SID	Message
11,724	10/1/2015 11:28:50 PM	S-1-5-21-2146671152-1263538465-3310924795-1643	Product: ScreenConnect Client (aa0488eadb285db1) -- Removal completed successfully.
11,724	10/1/2015 11:28:41 PM	S-1-5-21-2146671152-1263538465-3310924795-1643	Product: ScreenConnect Client (56cfa98e32ea813a) -- Removal completed successfully.
11,728	9/25/2015 10:42:14 AM	S-1-5-21-2146671152-1263538465-3310924795-1643	Product: Ontrack PowerControls 7.2 -- Configuration completed successfully.
11,707	9/25/2015 10:38:42 AM	S-1-5-21-2146671152-1263538465-3310924795-1643	Product: Ontrack PowerControls 7.2 -- Installation operation completed successfully.
11,707	9/25/2015 10:38:09 AM	S-1-5-21-2146671152-1263538465-3310924795-1643	Product: Ontrack PowerControls 7.2 -- Installation operation completed successfully.
11,728	9/25/2015 10:38:09 AM	S-1-5-21-2146671152-1263538465-3310924795-1643	Product: Microsoft Visual C++ 2008 Redistributable - x86 9.0.30729.17 -- Configuration completed successfully.
11,728	9/25/2015 10:38:09 AM	S-1-5-21-2146671152-1263538465-3310924795-1643	Product: Microsoft Visual C++ 2005 Redistributable -- Configuration completed successfully.
11,728	9/15/2015 4:48:00 PM	S-1-5-18	Product: Google Update Helper -- Configuration completed successfully.
11,707	9/10/2015 2:01:40 AM	S-1-5-18	Product: ScreenConnect Client (aa0488eadb285db1) -- Installation completed successfully.
11,728	8/26/2015 10:42:00 PM	S-1-5-18	Product: Google Update Helper -- Configuration completed successfully.
11,728	8/17/2015 12:36:00 AM	S-1-5-18	Product: Google Update Helper -- Configuration completed successfully.
11,707	6/9/2015 10:56:22 AM	S-1-5-18	Product: ScreenConnect Client (56cfa98e32ea813a) -- Installation completed successfully.
11,707	6/9/2015 1:03:19 AM	S-1-5-18	Product: ScreenConnect Client (56cfa98e32ea813a) -- Installation completed successfully.
11,707	5/25/2015 6:32:14 PM	S-1-5-18	Product: Google Apps Sync™ for Microsoft Outlook® 3.7.410.1100 -- Installation completed successfully.
11,728	5/15/2015 1:30:00 PM	S-1-5-18	Product: Google Update Helper -- Configuration completed successfully.
11,707	5/11/2015 10:24:55 AM	S-1-5-18	Product: Google Apps Sync™ for Microsoft Outlook® 3.7.400.1050 -- Installation completed successfully.
11,707	5/6/2015 6:25:04 AM	S-1-5-18	Product: Google Apps Migration For Microsoft Outlook® 3.4.27.52 -- Installation completed successfully.
11,707	4/5/2015 1:24:36 AM	S-1-5-18	Product: Google Apps Sync™ for Microsoft Outlook® 3.7.395.1040 -- Installation completed successfully.
11,707	4/2/2015 10:24:26 PM	S-1-5-18	Product: Google Apps Migration For Microsoft Outlook® 3.3.25.50 -- Installation completed successfully.
11,707	3/26/2015 9:24:57 AM	S-1-5-18	Product: Google Apps Migration For Microsoft Outlook® 3.2.23.48 -- Installation completed successfully.
11,728	2/26/2015 1:15:42 PM	S-1-5-21-2146671152-1263538465-3310924795-1643	Product: Trend Micro Client/Server Security Agent -- Configuration completed successfully.
11,707	2/5/2015 9:24:00 AM	S-1-5-18	Product: Google Update Helper -- Installation completed successfully.
11,707	1/26/2015 2:41:17 PM	S-1-5-21-2146671152-1263538465-3310924795-500	Product: FortClient SSLVPN v4.0.2300 -- Installation completed successfully.
11,724	1/23/2015 11:51:04 AM	S-1-5-18	Product: Managed Workplace Onsite Manager -- Removal completed successfully.
11,707	1/8/2015 9:19:51 PM	S-1-5-18	Product: Google Apps Migration For Microsoft Outlook® 3.1.21.46 -- Installation completed successfully.
11,728	1/5/2015 3:31:33 PM	S-1-5-21-2146671152-1263538465-3310924795-500	Product: Ontrack PowerControls 7.2 -- Configuration completed successfully.
11,707	1/5/2015 3:31:13 PM	S-1-5-21-2146671152-1263538465-3310924795-500	Product: Ontrack PowerControls 7.2 -- Installation operation completed successfully.
11,707	1/5/2015 3:30:38 PM	S-1-5-21-2146671152-1263538465-3310924795-500	Product: Ontrack PowerControls 7.2 -- Installation operation completed successfully.
11,707	1/5/2015 3:30:37 PM	S-1-5-21-2146671152-1263538465-3310924795-500	Product: Microsoft Visual C++ 2008 Redistributable - x86 9.0.30729.17 -- Installation completed successfully.
11,707	1/5/2015 3:30:33 PM	S-1-5-21-2146671152-1263538465-3310924795-500	Product: Microsoft Visual C++ 2005 Redistributable -- Installation completed successfully.
11,707	1/5/2015 3:29:47 PM	S-1-5-21-2146671152-1263538465-3310924795-500	Product: Microsoft Visual C++ 2012 x86 Additional Runtime - 11.0.60610 -- Installation completed successfully.
11,707	1/5/2015 3:29:46 PM	S-1-5-21-2146671152-1263538465-3310924795-500	Product: Microsoft Visual C++ 2012 x86 Minimum Runtime - 11.0.60610 -- Installation completed successfully.
11,707	1/5/2015 3:29:26 PM	S-1-5-21-2146671152-1263538465-3310924795-500	Product: Microsoft Visual C++ 2008 Redistributable - x64 9.0.30729.17 -- Installation completed successfully.

From: [Redacted]  
Sent time: 04/24/2015 10:24:41 AM  
To: [Redacted]  
Cc: CRC [Redacted]  
Subject: [Redacted] 4/27-5/1

[Redacted] here is an overview of next week. I am going to go ahead and move CESC to Thursday. Do you need anything else moved around or added?

MONDAY	TUESDAY	WEDNESDAY	THURSDAY	FRIDAY
27	28	29	30	1
2955Inca [Redacted] Zoom Me Longs Peak Conference Room Cannon City-Remote Intuition Maintenance 128 Main Street Cañon City, CO 81215 CESC 750 Third Avenue New York, NY 10017 Pick up [Redacted]	Gresham Partners Intuition Maintenance Remote Minor Brown   ContactEase Database Installation Call In number [Redacted] Passcode: 103164 Pick up [Redacted] from bus sto	Minor & Brown 650 S. Cherry St, Suite 1100 Denver, CO Pick up [Redacted] from bus sto	Pick up [Redacted] from bus sto	Platte Call for Gresham code 163260 Pick up [Redacted] from bus sto Unavailable (Every Friday) - Transporting
Private Appointment				



8/20/2015

Tickets by Date

Summary Notes : 8/18 [redacted] 2 hours  
\* Contingency planning and security updates

b6  
b7C

🕒 08/18/2015 [redacted] Worked 1.50 hours (09:30 AM to 11:00 AM)

b6  
b7C

Summary Notes : 8.18.15 [redacted] PRN (9:30a – 11:00a) 1.5hrs On Call  
\* Logged in and reviewed the monitoring.  
\* Made several changes to the monitors for the firewalls and our reporting.  
\* Worked with [redacted] and the team to get the Datto backups working again.

📅 08/13/2015 Administrator,  
Autotask

🕒 08/13/2015 [redacted] Worked 1.00 hours (11:00 AM to 12:00 PM)

b6  
b7C

Summary Notes : 8/13 [redacted] 1 hour  
\* PR firm conf call

📅 08/12/2015 [redacted]

b6  
b7C

🕒 08/12/2015 [redacted] Worked 20.00 hours (05:00 AM to 01:00 AM)

b6  
b7C

Summary Notes : 8.12.15 [redacted] PRN (5:00a – 1:00a) 20hrs On Call  
\* Left for the airport and boarded a flight to NYC.  
\* Arrived at the datacenter and powered on the old server.  
\* Reviewed for any misc PRN software or garbage files we could have left behind (ie. Our old AV software, old monitoring software, etc).  
\* Server is clean, shutting it back down.  
\* Removed server from the rack and placed on a cart.  
\* FBI agents met me outside, and I signed the two pieces of paperwork to turnover the server to them for investigation.  
\* Returned to the datacenter and worked with [redacted] to increase security measures by proxying the SSL for Exchange to the firewall.  
\* This will aid in securing the IIS server on the Exchange system.  
\* Ensured the [redacted] device is cabled properly and working.  
\* We have already updated the firmware on the two firewalls, no need to do any other work.  
\* Returned to the airport and returned home.

b6  
b7C  
b7E

🕒 08/10/2015 [redacted] Worked 1.00 hours (03:00 PM to 04:00 PM)

b6  
b7C

Summary Notes : 8.10.15 [redacted] PRN (3:00p – 4:00p) 1hr On Call  
\* Worked with our attorneys on communication.  
\* Worked with PRN team on planning for the trip out to NYC.  
\* Went over logistics and the process of the server handoff.

🕒 08/06/2015 [redacted] Worked 1.00 hours (04:00 PM to 05:00 PM)

b6  
b7C

Summary Notes : 8/6 [redacted] 1 hour  
\* Answered questionnaire  
\* Backup research  
\* Internal discussion

📅 08/06/2015 [redacted]

b6  
b7C

From: [redacted]  
Sent time: 08/18/2015 11:21:05 AM  
To: [redacted]  
Subject: RE: email

b6  
b7C

No, think it was all phone comms

From: [redacted]  
Sent: Tuesday, August 18, 2015 12:16  
To: [redacted]  
Subject: Re: email

b6  
b7C

You ever find an email about them cutting the backups?

Sent from my iPhone

On Aug 18, 2015, at 10:09 [redacted] wrote:

b6  
b7C

That is a LOT of emails, on my part anyway, going back 2 years. I will have all of my sent items, but not incoming items. I only keep deleted items for 6 months

From: [redacted]  
Sent: Tuesday, August 18, 2015 12:08  
To: [redacted]  
Cc: [redacted]  
Subject: email

b6  
b7C

Please collect all email communications regarding CESC whether it's internal or external communications. We may have to produce that information and I think it's best to have that ready.

Thanks,

[redacted]

b6  
b7C

5700 WASHINGTON ST | DENVER, CO 80216  
303.255.1941 | [PLATTERIVER.COM](http://PLATTERIVER.COM)  
<image001.jpg>  
<image002.jpg>

From: [redacted]  
Sent time: 08/21/2015 12:59:16 PM  
To: [redacted]  
Subject: RE: Datto remote access

b6  
b7C

We haven't gotten approval from CESC and when we spoke with the Data Center they said we need approval from CESC to ship it to the data center, because the agreement between the data center is between CESC & the Data Center.



b6  
b7C

**PLATTE RIVER NETWORKS**

5700 WASHINGTON ST | DENVER, CO 80216

303.255.1941 | [PLATTERIVER.COM](http://PLATTERIVER.COM)

From: [redacted]  
Sent: Friday, August 21, 2015 11:50 AM  
To: [redacted]  
Subject: RE: Datto remote access

b6  
b7C

When is that going onsite? We can use the datacenter smarthands to install it in the rack so [redacted] doesn't have to go out again

b6  
b7C

From: [redacted]  
Sent: Friday, August 21, 2015 10:41  
To: [redacted]  
Subject: RE: Datto remote access

b6  
b7C

What we have is a new Datto to ship out there to replace the current Datto and turn on encryption. I believe the encryption key would be created by CESC and we would have the key. So we have to understand how encryption prevents access.



b6  
b7C

**PLATTE RIVER NETWORKS**

5700 WASHINGTON ST | DENVER, CO 80216

303.255.1941 | [PLATTERIVER.COM](http://PLATTERIVER.COM)

From: [redacted]  
Sent: Friday, August 21, 2015 7:29 AM  
To: [redacted]

b6  
b7C

Subject: FW: Datto remote access

??

From: [redacted]  
Sent: Friday, August 21, 2015 09:10  
To: [redacted]  
Subject: Re: Datto remote access

b6  
b7C

Good morning [redacted]

b6  
b7C

Thanks for reaching out.

I believe our legal teams have discussed this issue in detail and have come up with a solution to this problem. I would check with your team and see if they have those details. Let me know if you have any other questions I might be able to assist with. Thanks.

Best regards,

[redacted]

b6  
b7C

Datto, Inc.  
Direct Line [redacted]

[www.datto.com](http://www.datto.com)



Join the conversation! [social media icons]

On Thu, Aug 20, 2015 at 8:27 PM [redacted] wrote:

b6  
b7C

[redacted]

We are trying to tighten down every possible security angle on this customer. It occurs to us that anyone at PRN with access to the Datto Partner Portal (ie, everyone here) could potentially access this device via the remote web feature. Can we set up either two-factor authentication, or move this device to a separate partner account, or some other method (disable remote web

altogether?) to allow only who we permit on our end to access this device via the internet?

The serial # in question is 002590AFDEBE

Let me know, thanks!



b6  
b7C

---

This email has been scanned for email related threats and delivered safely by Mimecast.  
For more information please visit <http://www.mimecast.com>

---

From: [redacted]  
Sent time: 08/20/2015 11:37:40 PM  
To: [redacted]  
Subject: RE: CESC Datto

Datto shut it off.



**PLATTE RIVER NETWORKS**

5700 WASHINGTON ST | DENVER, CO 80216

303.255.1941 | [PLATTERIVER.COM](http://PLATTERIVER.COM)

From: [redacted]  
Sent: Thursday, August 20, 2015 9:36 PM  
To: [redacted]  
Subject: Re: CESC Datto

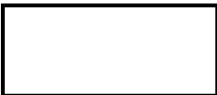
[redacted] did you guys turn off the off-site backups? We had discussed the possible ramifications of shutting them off, but we hadn't heard from Datto yet. All I know is that they are not currently running, and you mentioned them shutting down the node it was backing up to.

I've emailed [redacted] to see if we can further lock down access to the Datto.

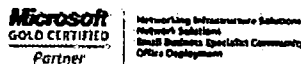
----- Original message -----

From: [redacted]  
Date: Thu, Aug 20, 2015 23:26  
To: [redacted]  
Subject: Re: CESC Datto

So does this mean we don't have offsite backups currently? That could be a problem if someone hacks this thing and jacks it up. We will have to be able to produce a copy of it somehow, or we're in some deep shit. Also, what ever came from the guys at Datto about the old backups? Do they have anyway of getting those back after we were told to cut it to 30days?



303.255.1941



---

From: [redacted]  
Date: Thursday, August 20, 2015 at 4:27 PM  
To: [redacted]  
Subject: RE: CESC Datto

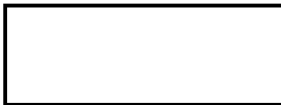
b6  
b7C

It's no longer replicating data offsite, but we can still use the partner portal to remotely access the device without additional authentication I believe

From: [redacted]  
Sent: Thursday, August 20, 2015 18:25  
To: [redacted]  
Subject: RE: CESC Datto

b6  
b7C

It is my understanding the node that the data was being replicated to is offline and no longer accessible. If you want to confirm that, please do.



b6  
b7C

**PLATTE RIVER NETWORKS**

5700 WASHINGTON ST | DENVER, CO 80216

303.255.1941 | [PLATTERIVER.COM](http://PLATTERIVER.COM)

From: [redacted]  
Sent: Thursday, August 20, 2015 3:34 PM  
To: [redacted]  
Subject: CESC Datto

b6  
b7C

Should we talk with Datto about moving their backup device to a separate partner account or other security features? Right now, anyone with portal access could potentially access the web UI of the datto and boot VMs etc

## PRN Exchange Server – DATTO

Visits to Control Panel for CESC-DATTO

Date	Time	Event
9/21/2015- 9/26/2016	Various	Multiple visits to <a href="http://192.168.42.20/login.php">http://192.168.42.20/login.php</a> and <a href="http://192.168.42.20/UI/login.php">http://192.168.42.20/UI/login.php</a>
9/23/2016	9:08 PM	<a href="http://192.168.42.20/UI/recoveryPoints.php?agent=cesc-exch01">http://192.168.42.20/UI/recoveryPoints.php?agent=cesc-exch01</a>
9/25/2016	1:40 PM	<a href="http://192.168.42.20/UI/UI_settings.php?agent=cesc-exch01">http://192.168.42.20/UI/UI_settings.php?agent=cesc-exch01</a>
9/25/2016	2:35 PM	<a href="http://192.168.42.20/UI/UIRestore-file.php?agent=cesc-exch01&amp;point=1443182506L">http://192.168.42.20/UI/UIRestore-file.php?agent=cesc-exch01&amp;point=1443182506L</a>
9/25/2016	5:38 PM	<a href="http://192.168.42.20/UI/UI_kroll.php">http://192.168.42.20/UI/UI_kroll.php</a>
9/25/2016	8:09 PM	<a href="http://192.168.42.20/UI/recover.php">http://192.168.42.20/UI/recover.php</a>
9/26/2016	5:20 PM	<a href="http://192.168.42.20/UI/agents.php">http://192.168.42.20/UI/agents.php</a>

## PRN Admin Server – DATTO

Visits to Control Panel for CESC-DATTO

Date	Time	Event
10/1/2016	6:17 PM	<a href="http://192.168.42.20/login.php">http://192.168.42.20/login.php</a>
10/1/2016	6:17 PM	<a href="https://auth.datto.com/login">https://auth.datto.com/login</a>



## PRN Admin Server - Recent Documents, Typed Paths

User: administrator.CLINTON

Software\Microsoft\Windows\CurrentVersion\Explorer\RecentDocs\.pst

LastWrite Time Fri Sep 25 19:56:18 2015 (UTC)

MRUListEx = 0

0 = Export.pst

Software\Microsoft\Windows\CurrentVersion\Explorer\TypedPaths

LastWrite Time Fri Sep 25 18:08:52 2015 (UTC)

url1 C:\Users\prnadmin.CLINTON\Documents\ScreenConnect\Files  
url2 \\192.168.42.250\cesc  
url3 \\192.168.42.250\  
url4 \\10.0.99.10  
url5 ftp://home.platterriver.com/

September - October 2015

Date	Time	Source	Event Description
9/25/2015	10:24 AM	PRN Admin Server	Outlook Office Alert event log message "Are you sure that you want to permanently delete the selected item(s)?" on PRN Admin Server.
9/25/2015	10:28 AM	PRN Admin Server	Outlook Office Alert event log message "Are you sure you want to remove this mailbox?" on PRN Admin Server.
9/25/2015	10:38 AM	PRN Admin Server	Shortcut files "OnTrack PowerControls for Exchange.Ink" and "Ontrack PowerControls ExtractWizard.Ink" created in the Windows Start menu on the PRN Admin Server.
9/25/2015	2:10 PM	PRN Admin Server	Shortcut file "C:\Users\prnadmin.CLINTON\AppData\Roaming\Microsoft\Windows\Recent\Export.Ink" pointing to "C:\Users\prnadmin.CLINTON\Desktop\Export.pst" created on PRN Admin Server.
9/25/2015	2:10 PM	PRN Admin Server	Application event log on PRN Admin Server indicates Outlook message "The store C:\Users\prnadmin.CLINTON\Desktop\Export.pst" is being re-pushed to the indexer for the following reason: Newly created store."
9/25/2015	2:35 PM	PRN Exchange Server	Chrome History: http://192.168.42.20/UI/UIRestore-file.php?agent=cesc-exch01&point=1443182506L
9/25/2015	3:56 PM	PRN Admin Server	IE History indicates "C:/Users/prnadmin.CLINTON/Desktop/Export.pst" visited on PRN Admin Server.
9/25/2015	4:40 PM	PRN Admin Server	Outlook message in the Office Alerts event log on the PRN Admin Server indicates "Are you sure you want to remove this profile from the system?"
9/25/2015	4:41 PM	PRN Admin Server	System event log on the PRN Admin Server indicates "Disk Defragmenter service entered the running state" (outside of normal scheduled time; otherwise, 6-7 AM)
9/29/2015	4:32:46 PM	PRN Admin Server	Chrome cache indicates "http://192.168.42.20/" (CESC-DATTO) visited
10/1/2015	6:17 PM	PRN Admin Server	Visit to http://192.168.42.20/login.php (CESC-DATTO) on PRN Admin Server
10/2/2015	12:36 PM	PRN Admin Server	account logon
10/2/2015	1:57:50 PM	PRN Admin Server	\\\$Recycle.Bin\<prnadmin.CLINTON>\ folder accessed/modified timestamp

b6  
b7C



2/18/2016


b6  
b7C

Reached out by email, Tried to do it remotely.  
Afraid of losing the email. So wanted to import it.  
B/c of MacMail, couldn't get it to connect to server  
~ 2/10/14

Asked Monica to send laptop  
Some program that exports/converts to pst  
Exported from Mac Mail as separate PSTs for each  
folder - named by date


Separate pst on the server called "HRC Archive"

↳ Exchange requires you to have an email  
tied to it

↳ put it in the main Exchange 

b6  
b7C

↳ added permissions for certain people

"Demo" for  → <sup>probably</sup> used Screen Connect to show her  
how to add the mailbox into local Outlook client

b6  
b7C

Once imported the mail, just deleted the mail from MacMail  
↳ Did not wipe laptop

HRC Archive not on server - surprised

(2)

b6  
b7C

2/18/16

[redacted]  
7/23/14 - submit to State Department

All .gov - different iterations of email export to/from .gov  
pst - maybe export didn't happen correctly  
- Maybe used Outlook or Power Shell

HRC archive - complete - probably when they requested all emails later

Burned DVDs + arranged a pickup - Destroyed DVDs  
wanted to transmit via secure means

Text batch processing tools -> concerned about email  
address being different than what  
she used before  
- w/Brian + Cheryl  
- Δ the email address at some point  
so that changed her address on  
all the old email she sent

7/24/14 - [redacted]@gmail.com  
- Dummy email account used for testing  
- We have a management VM on their server

b6  
b7C

7/29/14 - 7/30/14 - worked w/CM + Heather access to  
the archive mailbox (the gov export)  
↳ "presumably"

\* put that pst on their workstation

Both PST password protection, zip w/AES encryption  
Windows Transfer via Screen Connect, Did for both gov + complete  
placed on computers. [redacted] showed them how to import into Outlook

b6  
b7C

HRC 1570

2/18/16

- 9/29/14 - new email archive options - call
- presumes this is the 2<sup>nd</sup> complete export
  - several gbs at least
  - export (File > export of her mailbox)

At some point we did give someone access to the HRC Archive but this was just exports

Q If export HRC Archive or ~~the~~ Live mailbox or both?

↳ if File > export, would have been 2 parts

but does not recall exporting 2 files. But could have been merged later  
When working with importing w/ Cheryl, only restored  
access to gov export + full export (2x)

→ Thinks it was only the live mailbox for export.

But they already had access to HRC Archive b/c

I gave them permission to that mailbox

↳ can't recall who had access but maybe Cheryl

Gov export folder deleted - probably from Gov export

Huma-gmail-yahoo - did import of mail from her local account into the mail server

2/18/16 b6  
b7C

[redacted]@gmail.com

May have used gmail to export the mail from MacBook  
Mac Mail format

Hook Outlook up to gmail to pull in the email from Mac Mail  
May have done this on the Admin server  
to import the exported folders into Outlook

Wanted the email to migrate from our server to Gmail  
↳ Wanted last 60 days of emails

Email cleanup tasks - presumably related to switchity  
to 60 days of backups

The exported pots would have been deleted from  
the mgmt server at some point. Doesn't remember when

PowerControl bundled w/ Data backup. Used to do Exchange  
restores. Does not recall any actions done w/tool.

### Google Apps Migration

b6  
b7C

[redacted] Office were on gmail (Google Apps for Business)


- Allowed us to automate pulling all their emails
- Does not remember using it in 2015




2/18/16

b6  
b7C

March 2015

- Does not know  from Security Pursuit
- Filters - date range, email address
- "clean up" is a generic term

Share drive on PRN servers

Clinton's "backup device" that needed to connect - a <sup>one phone</sup> Blackberry <sup>nc</sup> device  
 talks about needing to access to IMAP

b6  
b7C

Export ~~pot~~ files were deleted but no recollection of when.  
 ↳ Does not know if related to "clean up" tasks

NAS - Brian had been <sup>using</sup> used for extra storage  
 BB server logs


External Drive - Doesn't know what it was used for

3/25/15 - what about backups? No recollection of it.

3/31/15 - Datto activity - show document for deletions  
 - Does not remember logging in

3/31/15 - Bleachbit.


- Why did you use a program like Bleachbit?
- They didn't want <sup>the psts</sup> this <sub>me</sub> on the server

Generic Doc - PST files were shredded - probably  Bleachbit  
 - Can't recall using the "overwrite free space" feature



2/18/16

b6  
b7C

4/5/15 Google Apps - Does not recall using it for anything other than  office

4/27/15 - Normal maintenance schedule for CESC

Aug - Sept 2015

- Timeline document
- Removing an attached mailbox
- Presumably related to maintenance of server



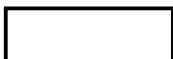


2/18/16 b6  
b7C

March 2015:

How was the inventory relayed to you from CESC?

Check to see what we have

 recommendations - just remember high-level  
Security check


b6  
b7C

3/8/15 - check what was there

- Look for backups that we may have missed

- ① Old server ← Think the old devices were powered off
- ② External - NAS drive was not configured
- ③ NAS - Think that was the 1<sup>st</sup> time we looked at it
- ④ Old BEs - Believes he powered it off

b6  
b7C

Does not recall deleting any other files but MagicISO  
& monitoring tools. Does not know if  logged in.

FEDERAL BUREAU OF INVESTIGATION  
FOI/PA  
DELETED PAGE INFORMATION SHEET  
FOI/PA# 1353814-0

Total Deleted Page(s) = 35

- Page 119 ~ b6 - per DOS; b7C - per DOS;
- Page 120 ~ b6 - per DOS; b7C - per DOS;
- Page 121 ~ b6 - per DOS; b7C - per DOS;
- Page 122 ~ b6 - per DOS; b7C - per DOS;
- Page 123 ~ b6 - per DOS; b7C - per DOS;
- Page 124 ~ b6 - per DOS; b7C - per DOS;
- Page 125 ~ b6 - per DOS; b7C - per DOS;
- Page 126 ~ b6 - per DOS; b7C - per DOS;
- Page 127 ~ b6 - per DOS; b7C - per DOS;
- Page 128 ~ b6 - per DOS; b7C - per DOS;
- Page 129 ~ b6 - per DOS; b7C - per DOS;
- Page 130 ~ b6 - per DOS; b7C - per DOS;
- Page 131 ~ b6 - per DOS; b7C - per DOS;
- Page 132 ~ b6 - per DOS; b7C - per DOS;
- Page 133 ~ b6 - per DOS; b7C - per DOS;
- Page 134 ~ b6 - per DOS; b7C - per DOS;
- Page 135 ~ b6 - per DOS; b7C - per DOS;
- Page 136 ~ b6 - per DOS; b7C - per DOS;
- Page 137 ~ b6 - per DOS; b7C - per DOS;
- Page 138 ~ b6 - per DOS; b7C - per DOS;
- Page 139 ~ b6 - per DOS; b7C - per DOS;
- Page 140 ~ b6 - per DOS; b7C - per DOS;
- Page 141 ~ b6 - per DOS; b7C - per DOS;
- Page 142 ~ b6 - per DOS; b7C - per DOS;
- Page 143 ~ b6 - per DOS; b7C - per DOS;
- Page 144 ~ b6 - per DOS; b7C - per DOS;
- Page 145 ~ b6 - per DOS; b7C - per DOS;
- Page 146 ~ b6 - per DOS; b7C - per DOS;
- Page 147 ~ b6 - per DOS; b7C - per DOS;
- Page 148 ~ b6 - per DOS; b7C - per DOS;
- Page 149 ~ b6 - per DOS; b7C - per DOS;
- Page 150 ~ b6 - per DOS; b7C - per DOS;
- Page 151 ~ b6 - per DOS; b7C - per DOS;
- Page 152 ~ b6 - per DOS; b7C - per DOS;
- Page 153 ~ b6 - per DOS; b7C - per DOS;

XXXXXXXXXXXXXXXXXXXXXXXXXXXXX  
X Deleted Page(s) X  
X No Duplication Fee X  
X For this Page X  
XXXXXXXXXXXXXXXXXXXXXXXXXXXXX

1A22

FD-340 (Rev. 4-11-03)

File Number [Redacted]

b3  
b7E

Field Office Acquiring Evidence HQ

Serial # of Originating Document 74

Date Received 8/19/15

From [Redacted]

b6  
b7C

(Name of Contributor/Interviewee)

OIG - US Dept of State  
(Address)

(City and State)

By SA [Redacted]

b6  
b7C

To Be Returned  Yes  No

Receipt Given  Yes  No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e)  
Federal Rules of Criminal Procedure

Yes  No

Federal Taxpayer Information (FTI)  
 Yes  No

Title:

Reference: \_\_\_\_\_  
(Communication Enclosing Material)

Description:  Original notes re interview of  
[Redacted] interview notes, 8/19/15 & her business card.

b6  
b7C




United States Department of State  
Office of Inspector General



b6

b7C

2121 Virginia Avenue, NW  
Suite 8100   
Washington, DC 20037

Phone   
Email: 

HRC-2506

8/19/15

[redacted] - since [redacted] [redacted] secure [redacted]

[redacted] Evalst Special Projects ~ 10 people (State etc) + ICG folks

b6  
b7C

~ Late March 2015 : Looking @ last 5 SecState w/ re: use of personal email for work purposes

- Albright -> current
- sent 3aire to them

- Project on FOIA process itself

b6  
b7C

[redacted] deals w/ Congressional Requests to State OIG & other special inquiries

- Personal Emails: 2009 - Clinton said day to day shouldn't use personal for business purposes

- If use personal, use your own gov email as well (after Clinton)
- Look up FAM citations
- Clinton sent out all-staff cable - personal not secure, don't use them

- Aug 2014 - Kennedy sent memo - don't use personal email

- Personal Search not addressed - no guidance / this will be a "finding"

- [redacted] focusing on this issue
- Info should be used on "approved automated system"

b6  
b7C

- Pagliano - [redacted] interviewed Pagliano's boss, who had no idea Pags set-up server

b6  
b7C

- Now all "top officials" are being "journalized" no matter what only gov addresses

- Normal STATE employees use "SMART" which creates record, if don't use SMART + double delete, prob gone  
- onus on employee to determine what's a record

- Onboarding, <sup>how records are preserved</sup> preservation + FOIA process are 3 main areas

- OIG has sent out broad requests for emails, docs (training, policy, etc.) on April 15  
- still don't have email files from previous SBE's staffs  
- "NO" from Pag's attorney

- Note @ meeting before July 4<sup>th</sup> w/ Kennedy, Visek + IB McMillough

- Been @ meeting w/ Kennedy discussing general goals going fwd

- Kennedy: <sup>nothing unusual</sup> nothing overly "uncooperative" but tone/tenor not positive  
- FOIA office people seen stuff they don't like

- FOIA <sup>request</sup> people ask STATE employees for emails w/ personal emails re: Clinton + some would say "none" even though FOIA people knew some existed + no recourse by FOIA team to compel positive responses

Bureau of Admin, Office of Info Programs + Services takes lead of FOIA request

- In this
- FOIA Congressional requests handled outside normal chain of people
  - unknown why it went outside normal chain
  - [redacted] tracking down specifics

b6  
b7C

3

- Talk to [redacted] about who to talk to

b6  
b7C

- Onboarding - no rules in place to prevent what happened

- PAO's boss didn't know he was setting up Clinton's server

- Unsure if site survey, M check prior to installation  
↳ OR if anyone else besides staff saw the server

#23

FD-340 (Rev. 4-11-03)

File Number

[Redacted]

b3  
b7E

Field Office Acquiring Evidence

HQ

Serial # of Originating Document

75

Date Received

8/19/15

From

[Redacted]

(Name of Contributor/Interviewee)

b6  
b7C

OIG - US Dept of State  
(Address)

(City and State)

By

SA

[Redacted]

b6  
b7C

To Be Returned  Yes

No

Receipt Given  Yes

No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e)  
Federal Rules of Criminal Procedure

Yes

No

Federal Taxpayer Information (FTI)

Yes

No

Title:

Reference:

(Communication Enclosing Material)

Description:  Original notes re interview of

- Email from [Redacted] with attachments:

b6  
b7C

① Securing Personal Email Accts ② 12 FAM 540

③ 14 STATE 120030 - state Dept Records Responsibilities & Policy

④ Senior Officials' Records Management Responsibilities



[Redacted] (LA) (FBI)

b6 per FBI, DOS  
b7C per FBI, DOS

**From:** [Redacted] (OIG) [Redacted]  
**Sent:** Wednesday, August 19, 2015 10:51 AM  
**To:** [Redacted] (CD) (FBI); [Redacted] (LA) (FBI)  
**Subject:** policy and memos  
**Attachments:** 11-STATE-65111.eml.pdf; 12 FAM 540.pdf; MemoPreservingEmailofSeniorOfficials.pdf; 14 STATE 128030.pdf

Hopes this helps. For 12 FAM 540, see 544.3. The provision on conducting day-to-day operations on an authorized system has been in place since 2005.

[Redacted]

b6 per FBI, DOS  
b7C per FBI, DOS

Office of Inspector General  
U.S. Department of State

[Redacted]

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From: svcsmartmfi  
Sent: 6/28/2011 6:42:47 PM  
To: SMART Core  
Subject: Securing Personal E-mail Accounts

**UNCLASSIFIED**



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MRN: 11 STATE 65111  
Date/DTG: Jun 28, 2011 / 282223Z JUN 11  
From: SECSTATE WASHDC  
Action: ALL DIPLOMATIC AND CONSULAR POSTS COLLECTIVE ROUTINE  
E.O.: 13526  
TAGS: APCS, ASEC, AADP, AMGT  
Subject: Securing Personal E-mail Accounts

UNCLAS STATE 065111

E.O. 13526: N/A  
TAGS: APCS, ASEC, AADP, AMGT  
SUBJECT: Securing Personal E-mail Accounts

Reference:

A) 12 FAM 544.3

1. Department of State users are encouraged to check the security settings and change passwords of their home e-mail accounts because of recent targeting of personal e-mail accounts by online adversaries. Security guidelines have been posted on the DS/SI/CS Cyber Security Awareness web page: <https://intranet.ds.state.sbu/DS/SI/CS/Awareness1/Content/Personal%20Email.aspx>.

2. Recently, Google asserted that online adversaries are targeting the personal Gmail accounts of U.S. government employees. Although the company believes it has taken appropriate steps to remediate identified activity, users should exercise caution and follow best practices in order to protect personal e-mail and prevent the compromise of government and personal information. The DS/SI/CS Cyber Security Awareness web site contains guides to help secure the web-based e-mail accounts of users and their families. This information can be accessed at: <https://intranet.ds.state.sbu/DS/SI/CS/Awareness1/Content/Personal%20Email.aspx>.

3. What can you and your family members do?  
(a) Follow the personal e-mail guides posted on the Awareness site to change your password, to ensure that messages are not

- auto-forwarding to an unintended address, and to verify that other security settings are properly configured.
- (b) Beware of e-mail messages that include links to password reset web pages. These can be easily faked.
- (c) Create strong passwords for all of your online accounts, change them often, and never use the same password for more than one account.
- (d) Avoid conducting official Department business from your personal e-mail accounts.
- (e) Do not reveal your personal e-mail address in your work "Out of Office" message.
- (f) Do not auto-forward Department e-mail to personal e-mail accounts, which is prohibited by Department policy (12 FAM 544.3).

4. Questions regarding cyber security awareness should be addressed to awareness@state.gov  
CLINTON

b6 per DOS  
b7C per DOS

**Signature:** CLINTON

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**Drafted By:** DS/SI/CS [redacted] 06/23/11 [redacted]  
**Cleared By:** DS/DSS:JCULVER, DS/SI [redacted] DS/SI/CS [redacted] DS/SI/CS: [redacted]  
AF/EX: LANDRE, WHA/EX: JBERTOT, NA-SCA/EX: ATEPLITZ, EUR/EX: JARBIN, EAP/EX: DCHRISTENSEN, IRM/OPS: STAYLOR, IRM/IA: JSTREUFERT, S/ES-O: NLMACKLIN  
**Approved By:** DS:EBOSWELL  
**Info:**  
**XMT:** AMEMBASSY TRIPOLI  
**Attachments:** metadata.dat

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**Action Post:**  
**Dissemination Rule:** Archive Copy

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## **12 FAM 540**

# **SENSITIVE BUT UNCLASSIFIED INFORMATION (SBU)**

*(CT:DS-190; 03-05-2013)*  
*(Office of Origin: DS/SI/IS)*

### **12 FAM 541 SCOPE**

*(CT:DS-190; 03-05-2013)*

- a. Sensitive but unclassified (SBU) information is information that is not classified for national security reasons, but that warrants/requires administrative control and protection from public or other unauthorized disclosure for other reasons. SBU should meet one or more of the criteria for exemption from public disclosure under the Freedom of Information Act (FOIA) (which also exempts information protected under other statutes), 5 U.S.C. 552, or should be protected by the Privacy Act, 5 U.S.C. 552a.
- b. Types of unclassified information to which SBU is typically applied include all FOIA exempt categories (ref. **5 U.S.C. 552b**), for example:
  - (1) Personnel, payroll, medical, passport, adoption, and other personal information about individuals, including social security numbers and home addresses and including information about employees as well as members of the public;
  - (2) Confidential business information, trade secrets, contractor bid or proposal information, and source selection information;
  - (3) Department records pertaining to the issuance or refusal of visas, other permits to enter the United States, and requests for asylum;
  - (4) Law enforcement information or information regarding ongoing investigations;
  - (5) Information illustrating or disclosing infrastructure protection vulnerabilities, or threats against persons, systems, operations, or facilities (such as, usernames, passwords, physical, technical or network specifics, and in certain instances, travel itineraries, meeting schedules or attendees), but not meeting the criteria for classification under *Executive Order (EO) 13526, dated December 29, 2009*;
  - (6) Information not customarily in the public domain and related to the protection of critical infrastructure assets, operations, or resources, whether physical or cyber, as defined in the Homeland Security Act, 6

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U.S.C. 131(c);

- (7) Design and construction information;
    - (a) Certain information relating to the design and construction of diplomatic missions abroad, such as graphic depictions of floor plans and specifications for foreign affairs offices and representational housing overseas, as outlined in the DS Security Classification Guide for the Design and Construction of Overseas Facilities, dated May 2003; and
    - (b) Certain information relating to the design and construction drawings and specifications of General Service Administration (GSA) facilities, as outlined in GSA Order PBS 3490.1A, dated June 1, 2009.
  - (8) Privileged attorney-client communications (relating to the provision of legal advice) and documents constituting attorney work product (created in reasonable anticipation of litigation); and
  - (9) Inter or intra-agency communications, including emails, that form part of the internal deliberative processes of the U.S. Government, the disclosure of which could harm such processes.
- c. Designation of information as SBU is important to indicate that the information requires a degree of protection and administrative control but the SBU label does not by itself exempt information from disclosure under the FOIA (5 U.S.C. 552b). Rather, exemption is determined based on the nature of the information in question.

## **12 FAM 542 IMPLEMENTATION**

*(CT:DS-117; 11-04-2005)*

This policy is effective 11-04-2005.

## **12 FAM 543 ACCESS, DISSEMINATION, AND RELEASE**

*(CT:DS-161; 03-01-2011)*

- a. U.S. citizen direct-hire supervisory employees are ultimately responsible for access, dissemination, and release of SBU material. All employees will limit access to protect SBU information from unauthorized or unintended disclosure.
- b. In general, employees may circulate SBU material within the Executive Branch, including to locally employed staff (LE staff), where necessary to carry out official U.S. Government functions. However, additional restrictions may apply to particular types of SBU information by virtue of specific laws, regulations, or international or interagency agreements. Information protected under the

**12 FAM 540** Page 2 of 7

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Privacy Act, can only be distributed within the Department of State on a "need-to-know" basis and cannot be distributed outside the Department of State except as permitted by specific statutory exemptions or "routine uses" established by the Department of State.

- c. Before distributing any SBU information, employees must be sure that such distribution is permissible and, when required, specifically authorized. (See 5 FAM 470.)
- d. SBU information must be marked whenever practical to make the recipient aware of specific controls. While some documentation, such as standard forms and medical records, does not lend itself to marking, many documents, such as emails, cables, and memoranda, can, and must be marked in accordance with 5 FAM 751.3, 5 FAH 1 H-200 and 5 FAH-1 H 135.
- e. SBU information that is not to be released to non-U.S. citizens, including locally employed staff, must be marked SBU/NOFORN (Not for release to foreign nationals (NOFORN)). The specific requirements for SBU/NOFORN are identified in 12 FAM 545.
- f. Information obtained from or exchanged with a foreign government or international organization as to which public release would violate conditions of confidentiality or otherwise harm foreign relations must be classified in order to be exempt from release under FOIA or other access laws. The SBU label cannot be used instead of classification to protect such information.
- g. Where an individual has expressly authorized his or her personal information to be sent unencrypted over any unsecured electronic medium, such as the Internet, fax transmission, or wireless phone, such information may be transmitted without regard to the provisions and policies set forth in this subchapter. See 5 FAH-4, H-442 for guidance on obtaining an individual's authorization to transmit personal information in this manner.

## **12 FAM 544 SBU HANDLING PROCEDURES**

*(CT:DS-117; 11-04-2005)*

- a. Regardless of method, the handling, processing, transmission and/or storage of SBU information should be effected through means that limit the potential for unauthorized disclosure.
- b. Employees while in travel status or on temporary duty (TDY) assignment should ensure that SBU is adequately safeguarded from unauthorized access in light of the threat conditions and nature of the SBU (see 12 FAM 544.1 d.) (This applies regardless of whether the information is being transported in paper form, CDs, diskettes and other electronic readable media, or on a portable digital device; such as a laptop, wireless or wired, or PDA.)

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**12 FAM 544.1 Fax Transmission, Mailing,  
Safeguarding/Storage, and Destruction of SBU**

*(CT:DS-117; 11-04-2005)*

- a. Unintended recipients can intercept SBU information transmitted over unencrypted electronic point-to-point links, such as Voice over Internet Protocol methodology (VoIP), telephones or faxes.
- b. Employees transmitting SBU information should consider whether specific information warrants a higher level of protection accorded by a secure fax, phone, or other encrypted means of communication. Employees transmitting SBU information via non-secure fax must ensure that an authorized recipient is ready to receive it at the other end.
- c. SBU information may be sent via the U.S. Postal Service (USPS) or a commercial delivery service, e.g., Fed Ex, DHL. SBU information, except SBU/NOFORN, (see 12 FAM 545) mailed to posts abroad should be sent via unclassified registered pouch or to a Military Postal Facility (MPF) via USPS, whenever practicable. Use of foreign mail services is authorized, if required. Except in those cases where the pouch is utilized, mail must be packaged in a way that does not disclose its contents or the fact that it is SBU.
- d. During non-duty hours, SBU information and removable electronic media in U.S. Government facilities must be secured within a locked office or suite, or secured in a locked container. Employees in possession of SBU outside U.S. Government facilities must take adequate precautions that afford positive accountability of the information and to protect SBU information from unauthorized access such as storage in a locked briefcase or desk in a home office. SBU should not be left unsecured (e.g. lock in room safe) in unoccupied hotel rooms or unattended in other public spaces.
- e. Custodians of medically privileged information must ensure that it is secured when not in use.
- f. Destroy SBU documents by shredding or burning, or by other methods consistent with law or regulation.

**12 FAM 544.2 Automated Information System (AIS)  
Processing and Transmission**

*(CT:DS-117; 11-04-2005)*

The requirements for processing SBU information on a Department AIS are established in 12 FAM 620 and 5 FAM 700. Where warranted by the nature of the information, employees who will be transmitting SBU information outside of the Department network on a regular basis to the same official and/or most personal addresses, should contact IRM/OPS/ITI/SI/PKI to request assistance in providing a secure technical solution for those transmissions. Availability of a Public Key

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Infrastructure (PKI) solution for a home computer will depend upon the computer's operating system (e.g., Windows(r) XP). Employees participating in the home PKI and telework program must complete the requisite training and sign an acknowledgement statement prior to being issued the approved security measures/equipment.

## **12 FAM 544.3 Electronic Transmission Via the Internet**

*(CT:DS-117; 11-04-2005)*

- a. It is the Department's general policy that normal day-to-day operations be conducted on an authorized AIS, which has the proper level of security control to provide nonrepudiation, authentication and encryption, to ensure confidentiality, integrity, and availability of the resident information. The Department's authorized telework solution(s) are designed in a manner that meet these requirements and are not considered end points outside of the Department's management control.
- b. The Department is expected to provide, and employees are expected to use, approved secure methods to transmit SBU information when available and practical.
- c. Employees should be aware that transmissions from the Department's OpenNet to and from non-U.S. Government Internet addresses, and other .gov or .mil addresses, unless specifically directed through an approved secure means, traverse the Internet unencrypted. Therefore, employees must be cognizant of the sensitivity of the information and mandated security controls, and evaluate the possible security risks and then decide whether a more secure means of transmission is warranted (i.e., secure fax, mail or network, etc.)
- d. In the absence of a Department-provided secure method, employees with a valid business need may transmit SBU information over the Internet unencrypted after carefully considering that:
  - (1) SBU information within the category in 12 FAM 541b(7)(a) and (b) must never be sent unencrypted via the Internet;
  - (2) Unencrypted information transmitted via the Internet is susceptible to access by unauthorized personnel;
  - (3) Email transmissions via the Internet generally consist of multipoint communications that are routed to their destination through the path of least resistance, which may include multiple foreign and U.S. controlled Internet service providers (ISP);
  - (4) Once resident on an ISP server, the SBU information remains until it is overwritten;
  - (5) Unencrypted email transmissions are subject to a risk of compromise of information confidentiality or integrity;



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- (6) SBU information resident on personally owned computers connected to the Internet is generally more susceptible to cyber attacks and/or compromise than information on government owned computers connected to the Internet;
  - (7) The Internet is globally accessed (i.e., there are no physical or traditional territorial boundaries). Transmissions through foreign ISPs or servers can magnify these risks; and
  - (8) Current technology can target specific email addresses or suffixes and content of unencrypted messages.
- e. SBU information must not be posted on any public Internet website, discussed in a publicly available chat room or any other public forum on the Internet.
  - f. To preclude inadvertent transmission of SBU information prohibited on the Internet, AIS users must not use an "auto-forward" function to send emails to an address outside the Department's network.
  - g. SBU information created on or downloaded to publicly available non- U.S. Government owned computers, such as Internet kiosks, should be removed when no longer needed.
  - h. All users who process SBU information on personally owned computers must ensure that these computers will provide adequate and appropriate security for that information. This includes:
    - (1) Disabling unencrypted wireless access;
    - (2) The maintenance of adequate physical security;
    - (3) The use of anti-virus and spyware software; and
    - (4) Ensuring that all operating system and other software security patches, virus definitions, firewall version updates, and spyware definitions are current.

## **12 FAM 544.4 SBU Transmission Between State Department Facilities**

*(CT:DS-117; 11-04-2005)*

All SBU transmissions between Department facilities must be encrypted to current NIST, DS, and IT CCB standards.

## **12 FAM 545 SBU/NOFORN INFORMATION**

*(CT:DS-117; 11-04-2005)*

- a. SBU/NOFORN information is information determined by the originator or a classification guide to be prohibited for dissemination to non-U.S. citizens. It must be labeled SBU/NOFORN.

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- b. As the NOFORN caveat indicates, this type of SBU information warrants a degree of protection greater than that of standard SBU information. Therefore, employees must:
- (1) Process and transmit SBU/NOFORN information only on a system authorized by the Department for classified information transmission, storage and processing;
  - (2) Fax or discuss (over telephone lines) SBU/NOFORN information only via encrypted telephone lines;
  - (3) Mail SBU/NOFORN information to posts via classified pouch or to a MPF via USPS registered mail. Mail sent via USPS registered must be packaged in a way that does not disclose its contents or the fact that it is SBU/NOFORN;
  - (4) Secure SBU/NOFORN information during non-duty hours following the same guidelines for CONFIDENTIAL information; and
  - (5) Destroy SBU/NOFORN documents in a Department-approved manner, such as by shredding, burning, or other methods consistent with law or regulation for the destruction of classified information.

**12 FAM 546 THROUGH 549 UNASSIGNED**

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From: SMART Archive  
Sent: 10/30/2014 6:57:49 PM  
To: ALL DIPLOMATIC AND CONSULAR POSTS COLLECTIVE; svcSMARTBTSP08  
Subject: State Department Records Responsibilities and Policy.

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MRN: 14 STATE 128030  
Date/DTG: Oct 30, 2014 / 302301Z OCT 14  
From: SECSTATE WASHDC  
Action: ALL DIPLOMATIC AND CONSULAR POSTS COLLECTIVE ROUTINE  
E.O.: 13526  
TAGS: AINF, AMGT, ASEC  
Pass Line: INFORM CONSULS  
FROM THE UNDER SECRETARY FOR MANAGEMENT PATRICK F. KENNEDY  
Subject: State Department Records Responsibilities and Policy.

1. As the Senior Agency Official (SAO) for records, it is my responsibility to ensure that we maintain the documentation of all that we do in the performance of our official duties, not only because it is required by law and is a good business practice, but because it is the right thing to do.
2. The Office of Management and Budget (OMB) and the National Archives and Records Administration (NARA) have recently issued joint guidance on managing email that is consistent with Department policy. This guidance serves as a reminder to ALL employees regardless of rank or position -- including Foreign Service and Civil Service employees, contractors, When Actually Employed (WAEs) employees, and Locally Employed Staff (LES) of the Department -- that we are responsible for creating records necessary to document our activities, in addition to the proper management and preservation of records. These responsibilities are applicable to all records made or received in the conduct of agency business, regardless of physical format or media, including e-mail.
3. In short, as a condition of our employment with the USG, employees at every level have both a legal responsibility and a business obligation to ensure that the documentation of their official duties is captured, preserved, managed, protected and accessible in official government systems. This includes email.
4. Through Presidential initiatives and under the leadership of OMB and NARA, this Administration is moving aggressively to ensure we capture the essential documentation of what we do for ourselves and for posterity. It's important for you to know that the public appetite for our contemporary records is huge. The historical records of the State Department are the most accessed of all the agency records archived at the National Archives. So, in continuing our long standing tradition of record keeping - of preserving our history - it is imperative we

leverage new technologies to ensure officials and the public today, as well as future generations, will know what we have done to promote our foreign policy mission with its related programs, operations and activities.

5. With that in mind, we recently reminded senior officials and other selected employees of their records responsibilities, and provided instructions for preserving the e-mail of senior officials. See 14 STATE 111506 and my August 28, 2014 memorandum, "Senior Officials' Records Management Responsibilities." Both are available on the Department's Records Management website.

6. While employees, including senior officials, may delete personal e-mails, they should be aware that the definition of a personal e-mail is very narrow. The only e-mails that are personal or non-record are those that do not relate to or affect the transaction of Government business. Departing employees are also reminded they may take with them only personal papers and non-record materials, subject to review by records officers to ensure compliance with federal records laws and regulations. All federal records generated by employees, including senior officials, belong to the Department of State.

7. In addition to the responsibility for preserving the documentation of official activities insofar as it is captured in email, employees generally should not use private e-mail accounts (e.g., Gmail, AOL, Yahoo, etc.) for official business. However, in those very limited circumstances when it becomes necessary to do so, the email messages covering official business sent from or received in a personal account must be captured and preserved in one of the Department's official electronic records systems (i.e., SMART or POEMS). The best way for employees to ensure this is to forward e-mail messages from a private account to their respective State account. Private email accounts should not be used for classified information.

8. I appreciate your cooperation in adhering to this policy guidance. This is an essential part of your official responsibilities. Further instructions will be forthcoming, as well as codification of this policy in the FAM. Should you have any questions, please address them to [Records-DL@state.gov](mailto:Records-DL@state.gov) or visit the Department's Records Management website for more information. As part of the Department's records management responsibility there is an on-going effort to promulgate guidance that covers such technologies as email, instant messaging, social media and other online tools that are becoming more widely used.

Signature: Kerry

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Drafted By: A/GIS: MPGRAFELD

Cleared By: A:JBARR A/GIS:MPGRAFELD A/GIS/IPS ACTING:JHACKETT  
A/GIS/IPS/RA:WFISCHER M/PRI:ATEPLITZ H:CDUVAL AF/EX:MTABLER-STONE  
DS/SI: [redacted] EAP/EX:KSTANTON  
EUR-IO/EX:JARBIN L:RVISEK NEA-SCA/EX:PHOFFMAN+  
WHA/EX:APAN A/EX:JDEGARMO A/FO:DWITTEN SES-O: MTOUSSAINT  
WASHDC/JareroE

b6 per DOS  
b7C per DOS

Approved By: M:KAUSTIN-FERGUSON

Released By: IRM\_OPS\_MSO:Jarero, Eduardo

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ORIG TO IPS  
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CODE I  
CODE III  
IPS/NMM



09/05/2014  
2:29 PM

201412443 Rev. 1

United States Department of State

*Under Secretary of State  
for Management*

*Washington, D.C. 20520*

AUG 28 2014

UNCLASSIFIED

MEMORANDUM TO: The Office of the Secretary  
The Office of Deputy Secretary Burns  
The Office of Deputy Secretary for Management and  
Resources Higginbottom  
The Director of Foreign Assistance  
All Under Secretaries  
C- The Office of the Counselor  
All Assistant Secretaries  
L - The Office of the Legal Advisor  
S/CPR - Peter Selfridge  
S/P - David McKean  
All Special Representatives and Special Envoys  
cc: Executive Directors

From:

M - Patrick F. Kennedy

A handwritten signature in black ink, appearing to read "PK", written over the printed name "Patrick F. Kennedy".

SUBJECT: Senior Officials' Records Management Responsibilities

Senior officials are responsible for creating records necessary to document their activities and for the proper management and preservation of their records (see Tab 1 for the list of Senior Officials to which this memorandum is directed). These responsibilities are applicable to all records made or received in the conduct of agency business regardless of physical format or media. While all Department employees are to preserve records meeting the definition of a record under the Federal Records Act, see 3 FAM 414.8, senior officials' records are generally the most important documents created within the Department and are some of the most valued documents archived at the National Archives and Records Administration (NARA). Proper records management ensures statutory and regulatory compliance, preserves the rights of the government and citizens, supports better decision making, safeguards vital records, preserves organizational memory, minimizes litigation risk (ensuring systematic, documented, and routine disposal of records), and reduces operating costs through control over the lifecycle of the records.

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Specifically, senior officials must create records necessary to document their activities and actions taken on behalf of the Department. A records custodian must be identified who can manage a particular senior official's records in support of proper records lifecycle management, including appropriate access. Departing or transferring Senior Officials must identify their records prior to departure or transfer. Departing Senior Officials are reminded they may take with them only personal papers and non-record materials, subject to review by records officers to ensure compliance with federal records laws and regulations. All records generated by Senior Officials belong to the Department of State.

Defining and Managing Records

Records may exist in many formats, including Instant Messages (IM) and records on mobile devices like BlackBerries, mobile phones, and iPads. Typical records created by Senior Officials include not only e-mails, memos, and similar documents, but also calendars, schedules, and logs of daily activities.

Additionally, Senior Official records should include the following:

- Records pertaining to various committees, including Federal Advisory Boards, councils, and inter-agency and external committees in which the Senior Official participated.
- Materials relating to internal and external meetings, including briefing documents, minutes, and meeting notes.
- Records documenting the development of Department policies and programs, including correspondence, briefing and issue papers, and reports about policy, strategy, research and legislative priorities, program evaluation and planning, and similar topics.
- Reports to Congress and/or the President.

To establish a sound records management program, Senior Officials should, at minimum, take the following steps:

- Designate a records manager responsible for their records.
- Follow established records disposition schedules, which set out the applicable records retention and disposition requirements.
- Establish a plan for maintaining and managing their records.
- Collect, organize, and categorize their records in order to facilitate their preservation, retrieval, use, and disposition.

Specific Email Requirements and Procedures

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E-mail is the most widely-used tool within the Department for the conduct of official business. The Department generates millions of e-mail communications each year, many of which document significant foreign policy and Department business decisions. The standard for determining whether an e-mail message meets the definition of a "record" under the Federal Records Act is the same standard that applies to all other types of Department records (5 FAM 443.2 – see Tab 2).

As a supplement to existing policy, and consistent with the policy in place since 2009, it is important to capture electronically the e-mail accounts of the senior officials listed in Tab 1 as they depart their positions. Instructions for senior officials are provided (see Tab 3).

- At no time during designated senior officials' tenure will their e-mail accounts be cleared, deleted, or wiped for any reason.
- While senior officials may delete personal e-mails, they should be aware that the definition of a personal e-mail is very narrow. The only e-mails that are personal are those that do not relate to or affect the transaction of Government business.
- As a general matter, to ensure a complete record of their activities, senior officials should not use their private e-mail accounts (e.g., Gmail) for official business. If a senior official uses his or her private e-mail account for the conduct of official business, she or he must ensure that records pertaining to official business that are sent from or received on such e-mail account are captured and maintained. The best way to ensure this is to forward incoming e-mails received on a private account to the senior official's State account and copy outgoing messages to their State account.

Visit the Department's Records Management website for more information.

Attachments:

- Tab 1 – List of Designated Senior Official Positions
- Tab 2 – 5 FAM 443.2 (Which E-mail Messages Are Records)
- Tab 3 – Instructions for Preserving E-mail of Departing Senior Officials

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## **DRAFT: Designated Senior Official Positions – March 5, 2014**

**\*The positions identified below reflect NARA guidance to satisfy the Presidential Directive on retaining Email for Senior Officials. The Electronic Records Management Working Group will subsequently address the companion NARA guidance for retaining all other Email.**

- Secretary of State
- Deputy Secretary
- Under Secretary
- Assistant Secretary (AS)
- Regional Deputy Assistant Secretary
- Principal Deputy Assistant Secretary (PDAS)
- Chief of Staff
- Deputy Chief of Staff
- Executive Secretary
- Deputy Executive Secretary
- Executive Assistant to the Principal Officers
- Policy Advisor
- Strategic Advisor
- Chief Speechwriter
- Director of Communications
- Director of Foreign Assistance
- Director of M/PRI
- White House Liaison
- Chief Financial Officer
- Chief Economist
- Chief Information Officer
- Chief of Protocol
- Assistant Chief of Protocol
- Deputy Chief of Protocol
- Counselor
- Comptroller
- Legal Adviser
- Deputy Legal Adviser
- Assistant Legal Adviser
- Counselor on International Law
- Special Assistant to the Legal Adviser
- Principal Deputy Legal Adviser
- Inspector General
- Deputy Inspector General
- Counsel to the Inspector General
- Geographer
- Accountability Review Board Members
- Senior Advisers to the Principals
- Ambassador
- Ambassador-At-Large
- Chief of Mission
- Charges d' Affaires
- Charges d' Affaires ad interim
- Consuls General
- Consuls
- Principal Officer of U.S. Interest Sections
- Deputy Chief of Mission
- Deputy to the Ambassador-At-Large
- Deputy Principal Officers
- Assistant Chiefs of Mission
- Special Envoy
- Deputy Special Envoy
- Special Representative
- United States Permanent Representative
- United States Representative
- United States Deputy Representative
- Alternate Representative
- All individuals formally designated (i.e. by memorandum) as "Acting" in the above listed positions
- Applicable Special Assistants and Staff Assistants to the above listed positions, when they receive and respond to emails on the Senior Official's behalf

**\*\*Beyond this list, Bureaus may determine at an office level which individual positions would be considered "Designated Senior Official Positions" for the purposes of email preservation.**

# **5 FAM 440 ELECTRONIC RECORDS, FACSIMILE RECORDS, AND ELECTRONIC MAIL RECORDS**

*(CT:IM-126; 02-28-2012)  
(Office of Origin: A/GIS/IPS)*

## **5 FAM 441 ELECTRONIC RECORDS MANAGEMENT**

*(TL:IM-19; 10-30-1995)*

These requirements apply to all electronic records systems: microcomputers; minicomputers; and mainframe computers in networks or stand-alone configurations, regardless of storage media.

### **a. Electronic Data files.**

- (1) Those employees who are responsible for designing electronic records systems that produce, use, or store data files, shall incorporate disposition instructions for the data into the design plan.
- (2) System Administrators must maintain adequate and current technical documentation for electronic records systems that produce, use, or store data files. At a minimum, include:
  - (a) a narrative description of the system (overview);
  - (b) a records layout that describes each field, its name, size, starting or relative position;
  - (c) a description of the form of the data (e.g., alphabetic, zoned decimal, packed decimal or numeric) or a data dictionary. Include the equivalent information and a description of the relationship between data elements in the data bases when associated with a data base management system; and
  - (d) any other technical information needed to read or process the records.
- (3) Electronic data bases that support administrative or housekeeping functions and contain information derived from hard copy records authorized for disposal may be deleted if the hard copy records are maintained in official files.
- (4) Data in electronic form that is not preserved in official hard copy files or supports the primary program or mission of an office, even if preserved in official hard copy files, may not be deleted or destroyed except through authorities granted as prescribed in sections h. and i. below.

**b. Documents.**

- (1) Electronic records systems that maintain the official file copies of documents shall provide a capability for the disposition of the documents. This includes the requirements for transferring permanent records to the National Archives, when necessary.**
- (2) Electronic records systems that maintain the official file copy of documents shall identify each document sufficiently to enable authorized personnel to retrieve, protect, and carry out the disposition of documents in the system. Appropriate identifying information may include: office of origin, TAGS/Terms, subject line, addressee (if any), signatory, author, date, security classification, and authorized disposition.**
- (3) Electronic records systems that maintain the official file copy of documents shall provide sufficient security to ensure document integrity.**
- (4) Documents such as letters, messages, memorandums, reports, handbooks, directives, and manuals recorded on electronic media may be deleted if the hard copy record is maintained in official files.**
- (5) Documents such as letters, messages, memorandums, reports, handbooks, directives, and manuals recorded and preserved on electronic media as the official file copy shall be deleted in accordance with authorized disposition authorities for the equivalent hard copy. If the authority does not exist, the documents in electronic form may not be deleted or destroyed except through authorities granted as prescribed in sections h. and j. below.**

**c. Spreadsheets.**

- (1) Spreadsheets recorded on electronic media may be deleted when no longer needed to update or produce hard copy if the hard copy record is maintained in official files.**
- (2) Spreadsheets recorded and preserved on electronic media shall be deleted in accordance with authorized disposition authorities for the equivalent hard copy.**

**d. Electronic records are acceptable as evidence in federal courts. Rule 803 (6), Federal Rules of Evidence, has been interpreted to include computer records. Further under Rule 1006, summary electronic records may be provided to limit the quantity of information considered during judicial proceedings. The courts must believe that records admitted before it are "trustworthy" that is, they must clearly and accurately relate the facts as originally presented or in summary form.**

**e. Administrators of electronic records systems shall ensure that only authorized personnel have access to electronic records.**

**f. Administrators of electronic records systems shall provide for the backup and recovery of records.**

- g. Administrators of electronic records systems shall make certain that storage media meet applicable requirements prescribed in 36 CFR 1234.28. These requirements are also contained in FIRMR Bulletin B-1 and are discussed in the RMH, 5 FAH-4 H-219.
- h. Retention of electronic records.
- (1) The information in electronic records systems and related documentation and indexes must be scheduled for disposition no later than one year after the implementation of the system.
  - (2) Procedures must be established for systematically backing up, copying, reformatting, and providing other necessary maintenance for the retention and usability of electronic records throughout their prescribed life cycles.
- i. Destruction of electronic records.
- (1) Electronic records may be destroyed only in accordance with a records disposition authority approved by the Archivist of the United States. This authority is obtained through the Records Management Branch (OIS/RA/RD).
  - (2) This process is exclusive, and records of the United States Government, including electronic records, may not be alienated or destroyed except through this process.
  - (3) Electronic records scheduled for destruction must be disposed of in a manner that ensures protection of any sensitive, proprietary or national security information. Magnetic recording media are not to be reused if the previously recorded information can be compromised in any way. Refer to 12 FAM for requirements regarding the security of magnetic media.
- j. All automated information systems (AIS) or facsimile machines used to process or store electronic records must comply with the security regulations contained in 12 FAM.

## **5 FAM 442 FACSIMILE RECORDS**

*(TL:IM-19; 10-30-1995)*

The use of facsimile (FAX) equipment in appropriate and cost-effective circumstances is encouraged in the Department. Facsimile transmissions have the same potential to be Federal records as any other documentary materials received in Federal offices. The method of transmitting a document does not relieve sending or receiving offices of the responsibility for adequately and properly documenting official actions and activities and for ensuring the integrity of records. See the RMH, 5 FAH-4, for more guidance on facsimile records. See 5 FAM 561 for policies on FAX transmissions, including use of secure FAX equipment and using FAX equipment to send correspondence to members of Congress.

## **5 FAM 442.1 Facsimile Label**

*(TL:IM-19; 10-30-1995)*

The Records Management Branch (OIS/RA/RD) has designed a facsimile transmission label (Form DS-1905), to be affixed to facsimile equipment. The label serves as a reminder to users of the responsibility to file record copies of facsimiles and to photocopy record copies of thermal paper facsimiles onto plain paper for filing. The labels are available from OIS/RA/RD.

## **5 FAM 442.2 FAX Transmittal Forms**

*(TL:IM-19; 10-30-1995)*

a. Form DS-1890, Unclassified Facsimile Transmittal Cover Sheet, and Form DS-1890-A, Classified Facsimile Transmittal Cover Sheet, are Department forms that are available for use in transmitting documents. Their use is not mandatory. These forms are available on the INFOFORMS disk, which is part of the Department's INFOEXPRESS application. At a minimum, the transmittal form which is used by an office, should contain the following information:

- date of transmittal
- sending and receiving office information (symbol, name, voice & fax telephone numbers)
- subject information, including TAGS/Terms to help properly file the documents
- any comments regarding the transmission
- appropriate security classification, when using a secure fax machine.

b. Transmittal cover sheets containing substantive comments are to be filed with related record material. Those containing informal messages can be destroyed upon receipt or when no longer needed.

## **5 FAM 443 ELECTRONIC MAIL (E-MAIL) RECORDS**

### **5 FAM 443.1 Principles Governing E-Mail Communications**

*(TL:IM-19; 10-30-1995)*

a. All Government employees and contractors are required by law to make and preserve records containing adequate and proper documentation of the organization, functions, policies, decisions, procedures, and essential transactions of the agency (Federal Records Act, or "FRA," 44 U.S.C. 3101 et

seq). In addition, Federal regulations govern the life cycle of these records: they must be properly stored and preserved, available for retrieval, and subject to appropriate approved disposition schedules.

- b. As the Department's information modernization program goes forward, new forms of electronic communications have become increasingly available within the Department and between the Department and overseas posts. One example of the improvements that modernization has brought is the automatic electronic preservation of departmental telegrams. Employees are reminded that under current policy departmental telegrams should be used to convey policy decisions or instructions to or from posts, to commit or request the commitment of resources to or from posts, or for official reporting by posts.
- c. Another important modern improvement is the ease of communication now afforded to the Department world-wide through the use of E-mail. Employees are encouraged to use E-mail because it is a cost-efficient communications tool. All employees must be aware that some of the variety of the messages being exchanged on E-mail are important to the Department and must be preserved; such messages are considered Federal records under the law. The following guidance is designed to help employees determine which of their E-mail messages must be preserved as Federal records and which may be deleted without further authorization because they are not Federal record materials.

## **5 FAM 443.2 Which E-Mail Messages are Records**

*(TL:IM-19; 10-30-1995)*

- a. E-mail messages are records when they meet the definition of records in the Federal Records Act. The definition states that documentary materials are Federal records when they:
  - are made or received by an agency under Federal law or in connection with public business; and
  - are preserved or are appropriate for preservation as evidence of the organization, functions, policies, decisions, procedures, operations, or other activities of the Government, or because of the informational value of the data in them.
- b. The intention of this guidance is not to require the preservation of every E-mail message. Its purpose is to direct the preservation of those messages that contain information that is necessary to ensure that departmental policies, programs, and activities are adequately documented. E-mail message creators and recipients must decide whether a particular message is appropriate for preservation. In making these decisions, all personnel should exercise the same judgment they use when determining whether to retain and file paper records.
- c. Under FRA regulations (36 CFR 1222.38), principal categories of materials, including E-mail, that are to be preserved are:

- records that document the formulation and execution of basic policies and decisions and the taking of necessary actions;
- records that document important meetings;
- records that facilitate action by agency officials and their successors in office;
- records that make possible a proper scrutiny by the Congress or other duly authorized agencies of the Government; and
- records that protect the financial, legal, and other rights of the Government and of persons directly affected by the Government's actions.

d. For example, just like paper records, E-mail messages that may constitute Federal records include:

- (1) E-mail providing key substantive comments on a draft action memorandum, if the E-mail message adds to a proper understanding of the formulation or execution of Department action;
- (2) E-mail providing documentation of significant Department decisions and commitments reached orally (person to person, by telecommunications, or in conference) and not otherwise documented in Department files;
- (3) E-mail conveying information of value on important Department activities, e.g. data on significant programs specially compiled by posts in response to a Department solicitation, if the E-mail message adds to a proper understanding of Department operations and responsibilities.

## **5 FAM 443.3 How to Preserve E-Mail Records**

*(TL:IM-19; 10-30-1995)*

For those E-mail messages and attachments that meet the statutory definition of records, it is essential to ensure that the record documentation include the E-mail message, any attachments, and essential transmission data (i.e. who sent the message, the addressees and any other recipients, and when it was sent). In addition, information about the receipt of messages should be retained if users consider it necessary for adequately documenting Department activities. If transmission and necessary receipt data is not printed by the particular E-mail system, the paper copies must be annotated as necessary to include such data. Until technology allowing archival capabilities for long-term electronic storage and retrieval of E-mail messages is available and installed, those messages warranting preservation as records (for periods longer than current E-mail systems routinely maintain them) must be printed out and filed with related records. Instructions for printing and handling of Federal records for most of the Department's existing E-mail systems have been prepared and will be available through bureau Executive Offices

## **5 FAM 443.4 Records Management Reviews**

*(TL:IM-19; 10-30-1995)*

The Department's Records Management Office (OIS/RA/RD) conducts periodic reviews of the records management practices both at headquarters and at overseas posts. These reviews ensure proper records creation, maintenance, and disposition by the Department. These periodic reviews now will include monitoring of the implementation of the Department's E-mail policy.

## **5 FAM 443.5 Points to Remember About E-Mail**

*(TL:IM-19; 10-30-1995)*

- Department E-mail systems are for official use only by authorized personnel.
- The information in the systems is Departmental, not personal. No expectation of privacy or confidentiality applies.
- Before deleting any E-mail message, apply these guidelines to determine whether it meets the legal definition of a records and if so, print it.
- Be certain the printed message kept as a record contains the essential transmission and receipt data; if not, print the data or annotate the printed copy.
- File the printed messages and essential transmission and receipt data with related files of the office.
- Messages that are not records may be deleted when no longer needed.
- Certain E-mail messages that are not Federal records may still be subject to pending requests and demands under the Freedom of Information Act, the Privacy Act, and litigation and court orders, and should be preserved until no longer needed for such purposes.
- Classified information must be sent via classified E-mail channels only, with the proper classification identified on each document.
- When E-mail is retained as a record, the periods of its retention is governed by records retention schedules. Under those schedules, records are kept for defined periods of time pending destruction or transfer to the National Archives.

## **5 FAM 443.6 Future Technology**

*(TL:IM-19; 10-30-1995)*

- a. The Department is actively working to develop systems that will enable those E-mail messages that are official records to be preserved electronically.
- b. These regulations are in compliance with those set forth by the National Archives and Records Administration.



- c. The Department and all posts are requested to bring these regulations to the attention of all Department employees and contractors and to begin its implementation immediately.

## **5 FAM 444 THROUGH 449 UNASSIGNED**

**Instructions for Preserving Email of  
Departing Senior Officials  
August 2014**

- 1) As part of the employee check-out process, Executive Directors and Post Management Officers must notify their system administrators of the departure of designated Senior Officials and direct the system administrators to replicate the Official's remaining email onto CDs according to the following directions. If possible ask departing Officials to delete truly personal emails (to/from family, friends, and other non-work related emails) from their inbox, sent mail and PST. folders.
- 2) Note, preceding the Senior Officials' departure, at no time during their tenure in a position will their email account be deleted, cleared, or wiped for any reason. If, for instance, they reach their maximum allotted space in their mailbox, the Executive Director, Post Management Officer, and the system administrator will work constructively with the Senior Official to move older emails into stable and secure storage until the check-out process delineated in Instruction 1 is initiated.
- 3) System administrators must disable (but NOT delete) the OpenNet, ClassNet, POEMS and PACE Active Directory (AD) accounts of departing Officials.
- 4) System administrators do NOT delete the OpenNet, ClassNet, POEMS and PACE email accounts of departing Officials.
- 5) System administrators DO hide (but not remove) names of departing Officials from GALs.
- 6) System administrators DO delete the names of departing Officials from DLs.
- 7) Executive Directors, Office Directors or equivalent (Domestic Offices) or Management Counselors/Officers (Posts) must provide A/GIS/IPS/RA (by OpenNet mail to Records-DL@state.gov) with (a) the name of departed officials, (b) the designated Bureau/Post Records Management Coordinator, and (c) the Bureau/Post System Administrator. After the information is copied to the CDs, the bureau/post must verify that the CDs are readable before sending.
- 8) System administrators should create CDs for each OpenNet, ClassNet, POEMS and PACE email account of departed Officials. One set must be created for retirement, using the form DS-693, to A/GIS/IPS/RA for records preservation; the other is for Bureau/Post use, if required. See the *How to Retire Records* page of the DOS Records Management intranet site for further guidance on retiring records using the DS-693:  
<http://a.m.state.sbu/sites/gis/ips/RA/Pages/RetiredRecords.aspx>.
- 9) System administrators must use the following .PST naming conventions:

- a. For "Mailbox" content, use the user name followed by "\_MB".
- b. i.e., Smith\_John H\_MB. If the mailbox exceeds the capacity of one CD, use: Smith\_John H\_MB1 for the first .PST created by the system administrator and Smith\_John H\_MB2 for the second .PST created by the system administrator, etc. (System administrators can decide where/how to split the content among multiple CDs.)
- c. For existing (user created) .PSTs, aka "personal folders", (this is a misnomer used by Microsoft since the content is "official", not "personal"), use the user name followed by "\_PF" i.e. Smith, John H\_PF . If the existing PSTs exceed the capacity of one CD, or there are multiple .PSTs, use Smith, John H\_PF1 for the first .PST, Smith, John H\_PF2 for the second .PST, etc. (System administrators can decide where/how to split the content among multiple CDs.)

10) CD markings:

- a. CDs from OpenNet, POEMS and PACE should be marked "SBU" (i.e., content not intended for public disclosure in accordance with 12 FAM 5400). CDs from ClassNet must be marked "Secret" (12 FAM 632.1-6).
- b. CDs must be marked with the user's name and office symbol or Post (example: John H. Doe, IRM/OPS/MSO).
- c. CDs must be marked with the users SMTP address (example [jdoe@state.gov](mailto:jdoe@state.gov) or [jdoe@state.sgov.gov](mailto:jdoe@state.sgov.gov)).
- d. In the event .PST exceeds one CD, the CDs must include X of Y (example, 1 of 3.)

11) Distributed System Administrator roles:

- a. IRM will handle CD production for email accounts of users under IT Desktop Consolidation.
- b. Bureau/Office system administrators will handle CD production for email accounts that are NOT managed under IT Desktop Consolidation.
- c. Post system administrators should handle CD production for their email accounts.
- d. IRM's IT Service Center ( [IT Service Center@State.gov](mailto:ITServiceCenter@State.gov) or (202) 647-2000) will be available to assist Post and Bureau system administrators with technical support for the .PST and CD creation process.

12) System administrators must NOT delete the source mailbox or .PST files until after receipt of an email confirmation from A/GIS/IPS/RA and authorization to delete.

13) Technical questions relating to the CD creation can be sent to the IT Service Center on OpenNet at [ITServiceCenter@state.gov](mailto:ITServiceCenter@state.gov) or on ClassNet to [ITServiceCenter@state.sgov.gov](mailto:ITServiceCenter@state.sgov.gov) or by calling 202-647-2000. **Other questions can be sent to A/GIS/IPS/RA on OpenNet at [records-dl@state.gov](mailto:records-dl@state.gov) or on ClassNet at [records-dl@state.sgov.gov](mailto:records-dl@state.sgov.gov) .**

**NOTE:** Transferring records through Direct Network Transfer is *also an available option for the emails of Senior Officials*. For assistance, please contact [records@state.gov](mailto:records@state.gov).

Approved: Alaina Teplitz, M/PRI

Drafted: M/PRI – Katie Kirkpatrick, ext. 7-4725, and home/cell



b6 per DOS

Cleared:	D-MR	Deb Taylor	OK
	M Assistants	Grace Levin	OK
	A	Diane Whitten	OK
	A/GIS/IPS	John Hackett	OK
	IRM/FO	Nichelle Thomas	OK
	IRM/OPS	Brian Hering	OK
	L/EX	Jerry Blake	OK
	L/M	Richard Visek	OK
	PA/HO	Stephen Randolph	OK
	S/ES-IRM	Yvette Jacks	OK
	S/ES-S(CR)	Clarence Finney	OK

1A24

FD-340 (Rev. 4-11-03)

File Number

[Redacted]

b3  
b7E

Field Office Acquiring Evidence

HO

Serial # of Originating Document

76

Date Received

8/17/15

From

[Redacted]

IPS

(Name of Contributor/Interviewee)

b6  
b7C

A/GIS/IPS Bureau of Administration

[Redacted]

(Address)

Washington, DC 20520

(City and State)

By

SA

[Redacted]

b6  
b7C

To Be Returned  Yes

No

Receipt Given  Yes

No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e)  
Federal Rules of Criminal Procedure

Yes

No

Federal Taxpayer Information (FTI)

Yes

No

Title:

Reference:

(Communication Enclosing Material)

Description:  Original notes re interview of

Copy of email sent by [Redacted] to SA [Redacted]  
and attachments from said email:

b6  
b7C

1-

[Redacted]

5/18/15 Declaration re: FOIA request

2-

7/31/15 Status update re: FOIA request

b6  
b7C

[Redacted] (CD) (FBI)

**From:** [Redacted]  
**Sent:** Monday, August 17, 2015 6:08 PM  
**To:** [Redacted] (CD) (FBI)  
**Subject:** Follow-up  
**Attachments:** Leopold v State 15-123 Ntc of Decl re Release of HRC Emails ECF Copy.pdf; Leopold v State 15-123 ECF No 022 JSR re July 31 release.pdf

b6 per DOS, FBI  
b7C per DOS, FBI

[Redacted]

b6  
b7C

Here is some of the information I promised you:

1. I am enclosing for your information the attached declaration and status report for the *Leopold* FOIA litigation case which describes the Department's review process for the 55K pages. This includes a description on how we are using the IC's reviewers.

2. **April 28, 2015**--The date of the meeting with DOJ/Fed Programs, the staff of the Office of Legal Advisor and my staff where [Redacted] said that she did not understand what was taking so long with the FOIA review of the 296 emails relating to Benghazi. She repeatedly stated that the emails had already gone through the inter-agency review process and there were nothing classified in them.

b6 per DOS  
b7C per DOS

[Redacted]

Office of Information Programs and Services (IPS)  
Bureau of Administration  
Department of State  
SA-2, Room [Redacted]  
Washington, DC 20520  
[Redacted]  
202-261-8590 (fax)

b6 per DOS, FBI  
b7C per DOS, FBI

ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED  
DATE 12-05-2016 BY J76J18T80 NSICG

**UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF COLUMBIA**

JASON LEOPOLD,

*Plaintiff,*

v.

U.S. DEPARTMENT OF STATE,

*Defendant.*

Civil Action No. 15-cv-123 (RC)

**NOTICE OF FILING DECLARATION OF JOHN F. HACKETT**

Please take notice that attached herewith is the Declaration of John H. Hackett, Acting Director of the Office of Information Programs and Services of the U.S. Department of State (the "Department"), filed in support of the Court's order that "at or before the May 19, 2015 status hearing, the Department of State shall provide a proposed schedule of production for Secretary Clinton's emails." ECF No. 10. As set out more fully in the attached declaration, the Department plans to produce releasable portions of Secretary Clinton's emails, via the Department's production of the emails to the public on its FOIA website, by January 15, 2016.

Date: May 18, 2015

Respectfully submitted,

**BENJAMIN C. MIZER**  
Acting Assistant Attorney General

**MARCIA BERMAN**  
Deputy Branch Director

*/s/ Robert J. Prince*  
**ROBERT J. PRINCE (D.C. Bar No. 975545)**  
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*Counsel for Defendant*



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DATE 12-05-2016 BY J76J18T80 NSICG

**UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF COLUMBIA**

<hr/>		)	
JASON LEOPOLD,		)	
		)	
Plaintiff,		)	
		)	
v.		)	No. 1:15-cv-000123-RC
		)	
U.S. DEPARTMENT OF STATE,		)	
		)	
Defendant.		)	
<hr/>		)	

**DECLARATION OF JOHN F. HACKETT**

Pursuant to 28 U.S.C. § 1746, I, John F. Hackett, declare and state as follows:

1. I am the Acting Director of the Office of Information Programs and Services (“IPS”) of the United States Department of State (the “Department”). In this capacity, I am the Department official immediately responsible for responding to requests for records under the Freedom of Information Act (the “FOIA”), 5 U.S.C. § 552, the Privacy Act of 1974, 5 U.S.C. § 552a, and other applicable records access provisions. I have been employed by the Department in this capacity since March 2014. Prior to assuming this role, I served as the Deputy Director of IPS since April 2013. As the acting IPS Director, I am authorized to classify and declassify national security information. I make the following statements based upon my personal knowledge, which in turn is based upon a personal review of the records in the case file established for processing the subject request and upon information furnished to me in the course of my official duties. I am familiar with the efforts of Department personnel to process the subject requests, and I am in charge of coordinating the agency’s search and recovery efforts with respect to those requests.

2. The core responsibilities of IPS include: (1) responding to records access requests made by the public (including under the FOIA, the Privacy Act, and the mandatory declassification review requirements of the Executive Order governing classified national security information), by Members of Congress, by other government agencies, and those made pursuant to judicial process such as subpoenas, court orders, and discovery requests;<sup>1</sup> (2) systematic review under the Executive Order; (3) records management; (4) privacy protection; (5) national security classification management and declassification review; (6) corporate records archives management; (7) research; (8) operation and management of the Department's library; and (9) technology applications that support these activities.

3. The purpose of this declaration is (i) to describe to the Court the Department's plan to review approximately 55,000 pages of e-mails and attachments to those e-mails (the "55,000 pages") that former Secretary of State Hillary Clinton provided to the Department in December 2014 and to post the releasable portions of the 55,000 pages on the Department's website, and (ii) to provide a date by which the Department proposes to complete that review and production.

#### **I. ADMINISTRATIVE PROCESSING OF PLAINTIFF'S REQUEST**

4. On November 14, 2014, plaintiff Jason Leopold submitted a FOIA request to the Department seeking every record prepared or maintained by the Department that mentions or refers to, or was prepared by, former Secretary of State Hillary Clinton, or anyone in the Office

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<sup>1</sup> For example, in FY 2014, the Department received over 19,000 FOIA requests. Additionally, the Department has received nearly 14,000 new requests since October 2014 and is currently engaged in nearly 80 FOIA litigation cases, many of which involve court-ordered document production schedules. The Department's FOIA office is staffed with 63.5 fulltime employees to address these numerous FOIA requests and appeals, as well as FOIA litigation.

of the Secretary, for her entire tenure in office (Jan. 21, 2009 – Feb. 1, 2013). Plaintiff also requested a fee waiver and expedited processing of the request.

5. On November 24, 2014, IPS acknowledged receipt of Plaintiff's FOIA request, granted Plaintiff's request for a fee waiver, and denied his request for expedited processing.

6. On January 7, 2015, IPS reached out to Mr. Leopold in an effort to discuss the possibility of narrowing the scope of his broad, sweeping request, explaining that his request would include hundreds of thousands of documents. In addition, IPS explained that the retrieval, analysis, and review of only the documents in the most relevant office would require years to complete, even if IPS could utilize the full resources of the FOIA office to focus solely on this case.

7. On January 16, 2015, Mr. Leopold advised IPS that he would narrow the scope of his request "by excluding any records that have already been made publicly available and basic media summaries."

8. On January 25, 2015, Mr. Leopold filed suit against the Department because it had not responded to his request within the statutory time period.

9. As detailed in the parties' Joint Status Report Regarding Schedule ("Jt. Status Report") filed on March 20, 2015, the Department engaged in further negotiations with Plaintiff regarding the scope of his request and noted that the Department was already in the process of reviewing for posting on the Department's FOIA website the releasable portion of the 55,000 pages covering the period 2009-2013 that had been provided to the Department by former Secretary Clinton. The Department noted that this public release would substantially satisfy Plaintiff's request. At a Status Conference on April 8, 2015, the Court ordered the Department

to provide a proposed schedule of production for Secretary Clinton's e-mails. *See* Order (April 28, 2015), ECF No. 10.

**II. DEPARTMENT'S PLAN FOR THE REVIEW OF FORMER SECRETARY CLINTON'S E-MAIL RECORDS**

10. In December 2014, former Secretary Clinton provided to the Department paper copies of approximately 30,000 e-mails, comprising approximately 55,000 pages. Secretary Clinton provided these records in response to a letter sent by the Department of State to former Secretaries requesting that, if former Secretaries or their representatives were "aware or [were to] become aware in the future of a federal record, such as an email sent or received on a personal email account while serving as Secretary of State, that a copy of this record be made available to the Department. . . if there is reason to believe that it may not otherwise be preserved in the Department's recordkeeping system." *See* Ex. 1 (Text of Letter to Former Secretaries of State Concerning the Federal Records Act of 1950).

11. Given the considerable public interest in the 55,000 pages, the Department plans to review the collection for public release, consistent with the FOIA, and make the releasable portions of the 55,000 pages available to the public by posting them on the Department's FOIA website. This will make the maximum number of records available to the public in the shortest amount of time, and will be considerably more efficient than reviewing the documents piecemeal, in response to multiple subject-specific FOIA requests.<sup>2</sup> The Department intends to

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<sup>2</sup> Although this case does not require a search of former Secretary Clinton's emails for responsive records because plaintiff's FOIA request is for *all* of her records, any orders issued in other cases requiring the Department to conduct case-specific searches of former Secretary Clinton's emails before the whole collection has been posted on the Department's website could slow down the Department's processing of the collection. Conducting such case-specific searches would take time and resources away from the broader review, the extent to which would depend on the breadth and complexity of those searches and FOIA requests. For that reason, the Department has taken the position that it would be more equitable to all requesters to avoid conducting case-specific searches until the entire collection has been processed, but has been ordered to do one discrete search in at least one case thus far. *Freedom Watch v. NSA*, Civil Action No. 1:12-cv-01088-CRC, Minute Order entered on May 4, 2015. *See also* ¶ 16.

post the releasable portions of the collection at the conclusion of its review process, which will facilitate consistency in the application of FOIA exemptions and the public's access to and understanding of the documents.

12. As noted above, the Department received the 55,000 pages in paper form. The documents were provided in twelve bankers' boxes (approximately 24" x 15" x 10 1/4" in size) with labels placed on the outside of the boxes that corresponded approximately to the timeframe of the documents within a given box. The Department initially performed tasks necessary to organize the records. This included foldering, boxing, and creating a box level inventory of the records. In consultation with the National Archives and Records Administration, the Department also conducted a page-by-page review of the documents to identify, designate, mark, and inventory entirely personal correspondence, i.e., those documents that are not federal records, included within the 55,000 pages.

13. Given the breadth and importance of the many foreign policy issues on which the Secretary of State and the Department work, the review of these materials will likely require consultation with a broad range of subject matter experts within the Department and other agencies, as well as potentially with foreign governments. These records are comprised of communications to or from the former Secretary of State, who was responsible for the overall direction and supervision of the full range of activities of the Department, which operates in approximately 285 locations around the globe. The Department is committed to processing the 55,000 pages as expeditiously as possible, while taking into consideration the Department's other legal obligations.

14. The Department has taken multiple steps to facilitate its review of the 55,000 pages. It has developed an approach for addressing the review, upgraded the capabilities of the

Department's processing software, and dedicated staff. Currently, this project is staffed fulltime by a project manager and two case analysts, as well as nine FOIA reviewers who devote the entirety of their time at the State Department to this effort, plus other analysts and information technology specialists who provide collateral assistance to this review in addition to their regular duties. The team managing this project has met daily since early April to implement and oversee this large undertaking.

15. Each page of the 55,000 must be individually hand-processed in order to ensure that all information is being captured in the scanning process. The scanning process itself involves five steps that are time-consuming and labor-intensive. These are: (1) scanning (inserting barcode separator sheets between each document and its associated attachments and then scanning the documents, which includes converting them for optical character recognition ["OCR"], and then inputting the resulting OCR-ed files into the system in batches based on search segments); (2) scanning quality control (the scanned material is checked to ensure that each document is scanned properly and to flag documents that need to be re-scanned); (3) indexing (indexers review each scanned document to manually input bibliographic coding, such as the "To," "From," "CC," "BCC," "Date Sent," and "Subject" fields associated with that document into the system); (4) indexing quality control (a senior indexer reviews the indexed documents to ensure that the bibliographic coding has been properly input into the system); and, (5) duplicate detection resolution (the computer analyzes the indexed documents to identify possible duplicates between the document being ingested and those documents that already exist in the system). Any possible duplicates that cannot be resolved (identified as an exact duplicate, near duplicate, not a duplicate) by automated means are pushed forward for individual review for manual adjudication. This process was made even more complicated by the fact that some, but

not all, of the paper records that the Department received were double-sided. It took the Department five weeks to perform the scanning process, which was completed recently in May. There will be further work required to load these into a searchable database, which will be completed by mid-June.

16. In the weeks following the Department's receipt of the e-mails from Secretary Clinton, the Department conducted a separate manual review of a date-limited portion of the 55,000 pages to locate any that were responsive to requests of the House Select Committee on Benghazi. As a result of that manual review, the Department located and produced to the House Select Committee 296 e-mails composed of approximately 850 pages. In light of the public interest in those records and the fact that the Department already has identified them within the larger collection, the Department has prioritized the FOIA review of those 296 e-mails. These 296 e-mails were therefore scanned first, following the procedures described above. The review process for those e-mails included an initial review within the FOIA office, followed by consultations and review by Department subject matter experts and other U.S. Government agencies, as appropriate, for any documents containing information that originated with that agency and/or in which that agency has a vested interest.<sup>3</sup> The Office of the Legal Adviser also reviewed these materials to ensure the proper application of FOIA exemptions. All material that can be released from the 296 e-mails will be made publicly available on the Department's FOIA website.

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<sup>3</sup> The Department reviews every FOIA request for U.S. Government interagency interests. In some instances, as with some of the 296 e-mails discussed above, a document that originated with the Department of State may include information that originated with another U.S. Government agency. In accordance with Department FOIA regulations, that document would be referred to the applicable agency for a release recommendation or determination. The relevant agency then conveys its release recommendation or determination to the Department for response to the requester. See 22 C.F.R. § 171.11(k)(3).

17. The Department's review of the Benghazi e-mails has highlighted several factors that it expects will affect the timing of the review of the remaining e-mails provided by Secretary Clinton. Like the Department of State itself, each of the U.S. Government agencies that need to review these documents deals with sensitive, emergent issues, which may require them to reallocate priorities and resources in response to changing events around the globe. Thus, the amount of time that other agencies may need to review these documents cannot be predicted with precision. The Under Secretary for Management has advised senior leadership in other government entities (Central Intelligence Agency, Department of Defense, Department of Justice, Office of the Director of National Intelligence, National Security Council, and the White House) of this review and has asked for their assistance. The Department has attempted to take into account these issues in developing its plan for the review and processing of the remaining e-mails provided by Secretary Clinton and in estimating the amount of time the review and processing will take. It is, however, possible that the time required for the interagency review process could impact the Department's proposed review timeline.

18. The Department's review of the rest of the remaining records in the collection provided by Secretary Clinton is underway. As noted above, the Department's objective is to make this information available to the public as quickly as possible.

19. The Department has begun to implement the following plan to review the remaining material in the 55,000 pages. The collection has been separated into 300 segments of approximately 100 e-mails messages each. The Department plans to post on its FOIA website all the releasable material in the collection after all of the e-mails have been reviewed using the following process, which involves ongoing, serial reviews of 10-segment batches:



### **Initial FOIA Office Review**

- Beginning in May, while scanning was still ongoing, the FOIA office began the review of the 55,000 pages. The FOIA office plans to review a batch (approximately 1,000 e-mails) each week and apply initial proposed redactions consistent with our FOIA practice.

### **Consultation With Subject Matter Experts**

- The FOIA office then plans to send the batch to appropriate Department subject matter experts (“SMEs”) for consultation and review within one week. These SMEs are drawn from the ranks of the Department’s experienced foreign policy experts, who have continuing national security responsibilities. While SMEs are reviewing the first batch that the FOIA office has already reviewed, the FOIA office will begin reviewing the next batch.<sup>4</sup>
- At the end of the week, the Department plans for the SMEs to return the batch that they reviewed, at which time the FOIA office would incorporate any appropriate review recommendations from the SMEs. The time required to do this will naturally vary, depending on the extent of the feedback from the SMEs, but the Department plans to adjust its resource allocation to ensure that this is accomplished as quickly as possible.

### **Agency Consultations**

- The FOIA office will then refer any e-mails in segments implicating other agencies’ interests to those agencies for their review.
- The agencies will be asked to complete their review of each batch and return the materials to the FOIA office within one week.
- Upon receipt of feedback from the relevant agencies, the FOIA office will incorporate any appropriate review recommendations. As with the SMEs, the time required to do this will naturally vary, depending on the extent of the feedback from the agencies.

### **Legal Review**

- After the SME and agency review, the FOIA office plans to provide the batch to the Office of the Legal Adviser for a review. The Office of the Legal Adviser will be asked to complete its review of the batch within one week.
- Upon receiving feedback from the Office of the Legal Adviser, the FOIA office will incorporate any appropriate review recommendations.

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<sup>4</sup> Thus, in the first week, the FOIA office would review segments 1-10; in the second week, the FOIA office plans to send segments 1-10 to SMEs and begin reviewing segments 11-20.

**Final Review**

- Any divergent recommendations among reviewing entities will be resolved through discussions.
- The Department plans to repeat the above process until all of the materials are reviewed.
- After all of the materials have been reviewed, the Department plans to conduct a final review of the records to ensure that similar subject matter has been redacted in a fashion that is both internally consistent and legally appropriate.

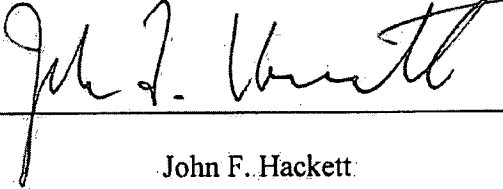
At the conclusion of this process, the Department will post all non-exempt material on the Department's publicly-available FOIA website.

20. The Department's plan, as outlined above, would result in its review being completed by the end of the year. To factor in the holidays, however, the Department would ask the Court to adopt a proposed completion date of January 15, 2016. As noted above, the Department understands the considerable public's interest in these records and is endeavoring to complete the review and production of them as expeditiously as possible. The collection is, however, voluminous and, due to the breadth of topics, the nature of the communications, and the interests of several agencies, presents several challenges, as described above. Accordingly, the Department requests that the deadline for production of former Secretary Clinton's e-mails to Plaintiff, via the Department's production of the e-mails to the public on its FOIA website, be January 15, 2016. This date is premised on the Department's good faith estimate of the time required to complete this involved, intensive process. Should unanticipated circumstances, or circumstances beyond the Department's control, force any delay, the Department will, of course, keep the Court apprised of any such changes and seek modification of this deadline.

\*\*\*

I declare under penalty of perjury that the forgoing is true and correct to the best of my knowledge.

Executed this 18<sup>th</sup> day of May 2015, Washington, D.C.

  
\_\_\_\_\_  
John F. Hackett

Gerlach, Alec

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**From:** State Department Press Office <usstatebpa@subscriptions.fcg.gov>  
**Sent:** Tuesday, March 10, 2015 5:28 PM  
**To:** Gerlach, Alec  
**Subject:** Letter Sent by the Department to Representatives of Former Secretaries of State

March 10, 2015

State Department Press Corps,

Please find below the text of the October 28, 2014 letter sent by the Department to representatives of former Secretaries of State, including Secretaries Madeleine K. Albright, Colin Powell, Condoleezza Rice, and Hillary Clinton. The letter requested that copies of any federal record be made available to the State Department for preservation.

Full text of the letters follow:

Dear [Representative of former Secretary of State]:

The Department of State has a longstanding and continuing commitment to preserving the history of U.S. diplomacy, established in authorities under the Federal Records Act of 1950. I am writing to you, the representative of Secretary of State [NAME], as well as to representatives of other former Secretaries (principals), to request your assistance in further meeting this requirement.

The Federal Records Act of 1950, as amended, 44 U.S.C. chapters 29, 31 and 33, seeks to ensure the preservation of an authoritative record of official correspondence, communications, and documentation. Last year, in Bulletin 2013- 03, the National Archives and Records Administration (NARA) clarified records management responsibilities regarding the use of personal email accounts for official government business. NARA recommended that agencies refer to its guidance when advising incoming and departing agency employees about their records management responsibilities. This bulletin was followed by additional NARA guidance on managing email issued on September 15, 2014. See enclosed.

We recognize that some period of time has passed since your principal served as Secretary of State and that the NARA guidance post-dates that service. Nevertheless, we bring the NARA guidance to your attention in order to ensure that the Department's records are as complete as possible. Accordingly, we ask that should your principal or his or her authorized representative be aware or become aware in the future of a federal record, such as an email sent or received on a personal email account while serving as Secretary of State, that a copy of this record be made available to the Department. In this regard, please note that diverse Department records are subject to various disposition schedules, with most Secretary of State records retained permanently. We ask that a record be provided to the Department if there is reason to believe that it may not otherwise be preserved in the Department's recordkeeping system.

The Department is willing to provide assistance to you in this effort. In the meantime, should you have any questions regarding this request, please do not hesitate to contact [Name of Agency Records Officer], A/GIS/IPS/RA, Agency Records Officer, at [(XXX) XXX-XXXX].

We greatly appreciate your consideration of and assistance with this matter.

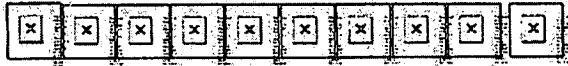
Sincerely,

Patrick F. Kennedy

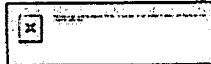
Stay connected with the State Department Office of Press Relations:



Stay connected with the State Department:



This email was sent to [perlachia2@state.gov](mailto:perlachia2@state.gov) using GovDelivery, on behalf of US Department of State 2201 O Street NW Washington, DC 20520



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DATE 12-05-2016 BY J76J18T80 NSICG

**UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF COLUMBIA**

JASON LEOPOLD,

*Plaintiff,*

v.

U.S. DEPARTMENT OF STATE,

*Defendant.*

Civil Action No. 15-cv-123 (RC)

**DEFENDANT'S STATUS REPORT REGARDING  
RELEASE OF RECORDS ON JULY 31, 2015**

Defendant U.S. Department of State ("the Department"), in response to the Court's Order of May 27, 2015 (ECF No. 17) ("Scheduling Order"), respectfully submits this status report regarding its release of the non-exempt portions of records subject to FOIA contained in the emails provided to the Department by former Secretary of State Clinton:

1. In December 2014, the Department received 53,988 pages of documents consisting of emails and attachments to emails from former Secretary Clinton. In consultation with the National Archives and Records Administration, the Department identified approximately 1,533<sup>1</sup> pages of those documents as entirely personal correspondence, that is, documents that are not federal records and thus that are not subject to the FOIA, leaving a total of approximately 52,455<sup>2</sup> pages remaining to be reviewed and released.

2. The Court ordered the Department to aspire to produce certain percentages of cumulative pages of former Secretary Clinton's emails each month, to file a status report within a

<sup>1</sup> This number has a margin of error of about plus or minus four pages. That difference does not affect the percentage provided below.

<sup>2</sup> This number has the same plus-or-minus-four-page margin of error as the number of pages of entirely personal correspondence. Again, this has no effect on the percentage provided below.

week of each monthly production reporting on the number of pages produced, and in the event the Department misses a production goal, to explain in the report how it intends to catch up. The production goal for July 31, 2015 is 15% of the total number of pages to be reviewed.

Scheduling Order at 1-2.

3. As previously reported to the Court, the Department had posted 3,942 pages, or 7.5% of the total number of pages to be reviewed, as of June 30, 2015, slightly surpassing its 7% goal for June. *See* Def.'s Status Report ¶ 5 (ECF No. 20).

4. On July 31, 2015, the Department posted on its FOIA web site 2,206 additional pages of documents from the collection provided by former Secretary Clinton. These documents may be viewed at the following URL:

[http://foia.state.gov/Search/Results.aspx?collection=Clinton\\_Email\\_July\\_Release](http://foia.state.gov/Search/Results.aspx?collection=Clinton_Email_July_Release)

5. Thus, as of July 31, 2015, the Department has posted a total of 6,148 pages, or 11.7% of the total number of pages to be reviewed. This is 1,721 pages, or 3.3 percentage points, short of the goal set forth in the Scheduling Order. The attached declaration of John F. Hackett ("Hackett Declaration"), Director of the Department's Office of Information Programs and Services, explains in detail how the Department plans to make up half of the deficit in its release on August 31, 2015, and half in its release on September 30. Hackett Decl. ¶ 7.

6. As the declaration explains, the Department, in response to recommendations from the Inspectors General ("IG") for the Department and for the Intelligence Community ("IC"), made arrangements for the IC to provide reviewers to assist the Department in identifying potential IC equities in its review of the emails. Hackett Decl. ¶ 4. The IC reviewers began working in a Department facility on July 15, 2015 to screen documents to identify their

agencies' equities; documents with such equities must be sent to the relevant agency for consultation before they can be processed for release. *Id.* ¶ 5. The IC reviewers had only eight business days, until July 24, to screen documents for the July 31 release. *Id.* As part of its implementation of the IGs' recommendations, and in order to avoid any potential issues involving IC equities in the July 31 release, the Department only released emails that had been screened by the IC reviewers and identified as not having agency equities. *Id.* ¶ 6. As a result, the Department was unable meet the Court's goal for the month of July.

7. Mr. Hackett anticipates that the IC reviewers will be able to screen documents at a pace sufficient to allow the Department to make up the deficit during the August and September releases.<sup>3</sup> Hackett Decl. ¶ 7. Mr. Hackett believes that the IC reviewers will be able screen documents at this rate because they are now fully integrated into the process and will become increasingly familiar with the documents. *Id.* And Mr. Hackett has been advised that, if necessary, the IC reviewers will devote additional hours to the review process. *Id.* ¶ 8. Accordingly, the Department believes it will be able to catch up with the schedule set forth in the Scheduling Order by September 30, 2015.

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<sup>3</sup> The Hackett Declaration also explains that the number of emails that have cleared the other steps in the Department's review process meets or exceeds the milestones in the original plan developed to comply with the Scheduling Order. Hackett Decl. ¶ 8.



Date: August 7, 2015

Respectfully submitted,

BENJAMIN C. MIZER  
Principal Deputy Assistant Attorney General

MARCIA BERMAN  
Assistant Branch Director

/s/ Robert J. Prince

ROBERT J. PRINCE (D.C. Bar No. 975545)  
United States Department of Justice  
Civil Division, Federal Programs Branch  
20 Massachusetts Ave., N.W.  
Washington, DC 20530  
Tel: (202) 305-3654  
robert.prince@usdoj.gov

*Counsel for Defendant*



2. In the Court's order pertaining to the production of records in the approximately 55,000 pages of emails provided to the Department of State by former Secretary of State Clinton ("the emails"), the Court ordered the Department to "aspire to abide by [a] production schedule" with a "Cumulative Pages Completed" target of 15% by July 31, 2015. (ECF No. 17) ("Scheduling Order"). As of July 31, 2015, the Department has produced 11.7% of the pages of emails and is 1,721 pages behind the Court's goal. The purpose of this declaration is, in accordance with the Court's order, for the Department to "explain in detail . . . how it intends to catch up with the schedule by adding resources or otherwise." *Id.* at 2.

3. In my previous declaration of May 18, 2015 ("Previous Declaration") (ECF No. 12-1), I explained that the Department would conduct an ongoing, serial review of the emails for public release, consistent with the FOIA, involving the following steps: (1) initial FOIA office review, (2) consultation with subject matter experts within the Department; (3) consultations with other agencies who have equities in the documents (only if necessary); (4) review by the Office of Legal Adviser; (5) final review to resolve recommendations and process documents for release. Previous Declaration ¶ 19.

4. The Department recently added another step in the review process, at the recommendation of the Inspectors General for the Department and the Intelligence Community ("IC").<sup>1</sup> These Inspectors General raised concerns about the Department's evaluation of other

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<sup>1</sup> The Office of the Inspector General of the Intelligence Community was established pursuant to Section 405 of the Intelligence Authorization Act of Fiscal Year 2010, which amended the National Security Act of 1947. (Pub. L. No. 111-259, 124 Stat. 2709 (Oct. 7, 2010)). "The IC IG is responsible for conducting IC-wide audits, investigations, inspections, and reviews that identify and address systemic risks, vulnerabilities, and deficiencies that cut across IC agency missions, in order to positively impact IC-wide economies and efficiencies." <http://www.dni.gov/index.php/about/organization/office-of-the-intelligence-community->

agencies' equities and recommended that the Department's FOIA Office seek staff support from IC FOIA offices to assist in identifying IC equities. In response to this recommendation, the Department made arrangements for the IC to provide reviewers to assist the Department in identifying potential IC equities in its review of the emails.

5. The IC reviewers, representing five agencies, began working in a Department facility on July 15, 2015 to perform preliminary screening of emails to identify their agencies' equities. The IC reviewers had only eight business days, until July 24, to screen documents for the July 31 release.<sup>2</sup> At least part of that time was occupied by administrative and orientation tasks that naturally arise when a worker from one agency is assigned temporary duty at another.

6. When an IC reviewer identifies an agency equity in an email, that email is sent to the relevant agency for consultation. As part of its implementation of the IGs' recommendations, and in order to avoid any potential issues involving IC equities in the July 31 release, the Department only released emails that had been screened by the IC reviewers and identified as not having agency equities. This screening process could only be completed for enough documents to allow the Department to post 2,206 additional pages on July 31.<sup>3</sup>

7. As noted above, the IC reviewers were added toward the end of the review process for the July 31 release. But they are now fully integrated into the process, and as they

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[inspector-general-who-we-are](#) (last visited Aug. 5, 2015).

<sup>2</sup> The July 24 cutoff date was set to allow IPS sufficient time to perform a final review of the documents and to process them for release, as well as to upload the documents to the Department's FOIA web site. Documents screened since the cutoff date are set for inclusion in the August 31 release.

<sup>3</sup> Almost all the emails being reviewed for release were made available to the IC reviewers for screening. Some emails, such as those dealing solely with Department personnel matters, do not need to be screened by the IC reviewers.

gain familiarity with the documents, I expect the screening of emails for IC equities to proceed more quickly. Accordingly, the Department plans to make up the 1,721 pages it is behind over the course of the next two submissions, such that it is back on track by September 30, 2015.

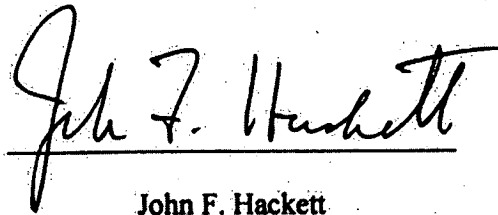
More specifically, the Department plans to release 6,106 or more pages on August 31, bringing the cumulative progress to at least 23.4% (just shy of the Court's goal of 25% for August 31), and 7,156 on September 30, bringing the cumulative progress to 37% (the goal set by the Court for September 30).

8. A schedule has been established and shared with the IC Reviewers that will allow the deficit to be made up over the next two months. I have been advised that, if necessary, the IC reviewers will devote additional hours to the review process. My belief that the Department can get back on track by the end of September is further informed by the fact that the number of emails that have cleared the other steps in the Department's review process meets or exceeds the milestones in the original plan developed to comply with the Scheduling Order.

\*\*\*

I declare under penalty of perjury that the foregoing is true and correct to the best of my knowledge.

Executed this 7<sup>th</sup> day of August 2015, Washington, D.C.

  
John F. Hackett

*Leopold v. U.S. Dep't of State*  
*1:15-cv-000123-RC*  
*Second Hackett Declaration*

HRC-2564

7A28

FD-340 (Rev. 4-11-03)

File Number

[Redacted]

b3  
b7E

Field Office Acquiring Evidence

HQ

Serial # of Originating Document

Date Received

8/13/2015

From

DEPARTMENT OF STATE

(Name of Contributor/Interviewee)

(Address)

(City and State)

By

[Redacted]

b6  
b7C

To Be Returned  Yes

No

Receipt Given  Yes

No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e) Federal Rules of Criminal Procedure

Yes

No

Federal Taxpayer Information (FTI)

Yes

No

Title:

MIDYEAR EXAM

Reference:

(Communication Enclosing Material)

Description:  Original notes re interview of

[Redacted]

b7E

PROVIDED IN RESPONSE TO A FBI

REQUEST DATED 8/11/15.

7A28



United States Department of State

Washington, D.C. 20520

August 13, 2015

~~(SECRET//NOFORN)~~  
MEMORANDUM

TO: DS/ICI/CI [redacted] b6 per DOS  
 THROUGH: DS/ICI/CI [redacted] b7C per DOS  
 FROM: DS/ICI/CI [redacted]  
 SUBJECT: Preliminary Inquiry Opening Memorandum for [redacted] b7E per DOS

In accordance with 12 FAM 262.3-1(C) and based upon the information set forth below, authorization is requested to open a preliminary inquiry.

(S) ~~(S//NF)~~ On 08/11/2015, DS/ICI/CI received a record request [redacted] b1 per DOS  
 [redacted] The information was requested to satisfy a Section 811(c) that the FBI received from the Inspector General of the Intelligence Community. (S)

~~(S//NF)~~ In furtherance of this investigation DS/ICI/CI was able to find the [redacted] b1 per DOS  
 [redacted] (S)

~~(S//NF)~~ DS/ICI/CI was able to located the [redacted] b1 per DOS  
 expect for [redacted] (S)  
 [redacted]

APPROVED: [redacted] 8/14/2015 (Initial and Date) DISAPPROVED: \_\_\_\_\_ (Initial and Date) b6 per DOS  
 b7C per DOS

~~SECRET//NOFORN~~  
 Classified by [redacted] Division Chief DS/ICI/CI  
 Reason: 1.4c; Declassify: 08/13/2040

b6 per DOS  
b7C per DOS



**U.S. Department of Justice  
Federal Bureau of Investigation**  
Washington, D.C. 20535-0001



Date: August 11, 2015  
To: John Kerry  
Secretary of State  
U.S. Department of State

From: Charles H. Kable  
Section Chief  
Counterespionage Section  
Counterintelligence Division

Subject: Request for [redacted]

b7E

On July 6, 2015, the FBI received a Section 811(c) Referral from the Inspector General of the Intelligence Community regarding the potential compromise of classified information. Pursuant to this referral, FBI respectfully requests [redacted]

b7E

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- 15.

b6  
b7C  
b7E

Department of State  
EMPLOYEE PROFILE

Report ID: EMPLOYEE PROFILE

Oprid: [REDACTED]

Emplid: 148076

Name: CLINTON,HILLARY RODHAM

Page No. 1

Run Date: 08/13/2015

Run Time: 12:05:42

SSN [REDACTED]                      DOB [REDACTED]                      SCD: 05/11/2000                      ST: T                      **b6 per DOS**  
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 BIRTHPL: IL                      PP-CL: EX 01                      EMP. PROGRAM: Not Applicable  
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 TOURTYP: -                      TED:                      AGN: ST 00  
 SKILL1: 0010 EXECUTIVE (PAS)                      EXLIMAPP:                      WGI DUE:  
 SKILL2:                      CURORG: 010101 - S                      FEGLI: [REDACTED]  
 SKILL3:                      POSNO: E0074400                      FEHB:

<u>PAST ASSIGN</u>	<u>DATE</u>	<u>POSTION SKILL</u>	<u>POSITION TITLE</u>	<u>WORKING TITLE</u>	<u>GRADE</u>	<u>DATE</u>
S	01/09	EXECUTIVE (PAS)	SECRETARY OF STATE		EX 01	01/09

DETAIL (TEMPORARY DUTY) HISTORY

<u>PAST ASSIGN</u>	<u>DATE</u>	<u>POSTION SKILL</u>	<u>POSITION TITLE</u>	<u>WORKING TITLE</u>	<u>NTE</u>
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<-CURRENT-->                      <---HIGH--->

<u>LANGUAGES</u>	<u>SP/RD</u>	<u>DATE</u>	<u>SP/RD</u>	<u>DATE</u>	<u>AWARDS</u>	<u>DATE</u>	<u>MSI/QSI</u>
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MLAT:

<u>DEPENDENT NAME</u>	<u>RELATION</u>	<u>DOB</u>	<u>COURSE</u>	<u>EMP-IN-SERV-TRAIN</u>	<u>DATE</u>
			PT401	No FEAR Act Training	05/05/2009

WARNING: Disclose to Authorized Persons Only (22CFR 6A)  
Contains Information Protected by the Privacy Act of 1974

# CLASSIFIED INFORMATION NONDISCLOSURE AGREEMENT

AN AGREEMENT BETWEEN

Hillary Rodham Clinton  
(Name of Individual - Printed or typed)

AND THE UNITED STATES

1. Intending to be legally bound, I hereby accept the obligations contained in this Agreement in consideration of my being granted access to classified information. As used in this Agreement, classified information is marked or unmarked classified information, including oral communications, that is classified under the standards of Executive Order 12958, or under any other Executive order or statute that prohibits the unauthorized disclosure of information in the interest of national security; and unclassified information that meets the standards for classification and is in the process of a classification determination as provided in Section 1.1, 1.2, 1.3 and 1.4(e) of Executive Order 12958, or under any other Executive order or statute that requires protection for such information in the interest of national security. I understand and accept that by being granted access to classified information, special confidence and trust shall be placed in me by the United States Government.

2. I hereby acknowledge that I have received a security indoctrination concerning the nature and protection of classified information, including the procedures to be followed in ascertaining whether other persons to whom I contemplate disclosing this information have been approved for access to it, and that I understand these procedures.

3. I have been advised that the unauthorized disclosure, unauthorized retention, or negligent handling of classified information by me could cause damage or irreparable injury to the United States or could be used to advantage by a foreign nation. I hereby agree that I will never divulge classified information to anyone unless: (a) I have officially verified that the recipient has been properly authorized by the United States Government to receive it; or (b) I have been given prior written notice of authorization from the United States Government Department or Agency (hereinafter Department or Agency) responsible for the classification of information or last granting me a security clearance that such disclosure is permitted. I understand that if I am uncertain about the classification status of information, I am required to confirm from an authorized official that the information is unclassified before I may disclose it, except to a person as provided in (a) or (b), above. I further understand that I am obligated to comply with laws and regulations that prohibit the unauthorized disclosure of classified information.

4. I have been advised that any breach of this Agreement may result in the termination of any security clearances I hold; removal from any position of special confidence and trust requiring such clearances; or termination of my employment or other relationships with the Departments or Agencies that granted my security clearance or clearances. In addition, I have been advised that any unauthorized disclosure of classified information by me may constitute a violation, or violations, of United States criminal laws, including the provisions of Sections 641, 793, 794, 798, \*952 and 1924, Title 18, United States Code, \*the provisions of Section 783(b), Title 50, United States code, and the provisions of the intelligence Identities Protection Act of 1982. I recognize that nothing in the Agreement constitutes a waiver by the United States of the right to prosecute me for any statutory violation.

5. I hereby assign to the United States Government all royalties, remunerations, and emoluments that have resulted, will result or may result from any disclosure, publication or revelation of classified information not consistent with the terms of this Agreement.

6. I understand that the United States Government may seek any remedy available to it to enforce this Agreement including, but not limited to, application for a court order prohibiting disclosure of information in breach of this Agreement.

7. I understand that all classified information to which I have access or may obtain access by signing this Agreement is now and will remain the property of, or under the control of the United States Government unless and until otherwise determined by an authorized official or final ruling of a court of law. I agree that I shall return all classified materials which have, or may come into my possession or for which I am responsible because of such access: (a) upon demand by an authorized representative of the United States Government; (b) upon the conclusion of my employment or other relationship with the Department or Agency that last granted me a security clearance or that provided me access to classified information; or (c) upon the conclusion of my employment or other relationship that requires access to classified information. If I do not return such materials upon request, I understand that this may be a violation of Sections 793 and/or 1924, Title 18, United States Code, a United States criminal law.

8. Unless and until I am released in writing by an authorized representative of the United States Government, I understand that all conditions and obligations imposed upon me by this Agreement apply during the time I am granted access to classified information, and at all times thereafter.

9. Each provision of this Agreement is severable. If a court should find any provision of this Agreement to be unenforceable, all other provisions of this Agreement shall remain in full force and effect.

(Continue on reverse.)

10. These restrictions are consistent with and do not supersede, conflict with or otherwise alter the employee obligations, rights or liabilities created by Executive Order 12958, Section 7211 of Title 5, United States code (governing disclosures to Congress); Section 1034 of Title 10, United States code, as amended by the Military Whistleblower Protection Act (governing disclosure to Congress by members of the military); Section 2302(b) (8) of Title 5, United States Code, as amended by the Whistleblower Protection Act (governing disclosures of illegality, waste, fraud, abuse or public health or safety threats); the Intelligence Identities Protection Act of 1982 (50 U.S.C. 421 et seq.) (governing disclosures that expose confidential Government agents), and the statutes which protect against disclosure that may compromise the national security, including Sections 641, 793, 794, 798, 952 and 1924 of Title 18, United State Code, and Section 4(b) of the Subversive Activities Act of 1950 (50 U.S.C. Section 783(b)). The definitions, requirements, obligations, rights, sanctions and liabilities created by said Executive Order and listed statutes are incorporated into this Agreement and are controlling.

11. I have read this Agreement carefully and my questions, if any, have been answered. I acknowledge that the briefing officer has made available to me the Executive Order and statutes referenced in this agreement and its implementing regulation (32 CFR Section 2003.20) so that I may read them at this time, if I so choose.

SIGNATURE <i>HRC Clinton</i>	DATE (mm-dd-yyyy) 22-01-2009	SOCIAL SECURITY NUMBER (See Notice below)	b6 per DOS
ORGANIZATION (IF CONTRACTOR, LICENSEE, GRANTEE OR AGENT, PROVIDE: NAME, ADDRESS, AND IF APPLICABLE, FEDERAL SUPPLY CODE NUMBER) (Type or print)			

Department of State  
2201 C Street NW  
Washington, DC 20520

WITNESS		ACCEPTANCE	
THE EXECUTION OF THIS AGREEMENT WAS WITNESSED BY THE UNDERSIGNED.		THE UNDERSIGNED ACCEPTED THIS AGREEMENT ON BEHALF OF THE UNITED STATES GOVERNMENT.	
SIGNATURE <input type="text"/>	DATE (mm-dd-yyyy) 01-22-2009	SIGNATURE	DATE (mm-dd-yyyy)
NAME AND ADDRESS (Type or print) Department of State 2201 C Street NW Washington, DC 20520		NAME AND ADDRESS (Type or print) b6 per DOS b7C per DOS	

**SECURITY DEBRIEFING ACKNOWLEDGMENT**

I reaffirm that the provisions of the espionage laws, other federal criminal laws and executive orders applicable to the safeguarding of classified information have been made available to me; that I have returned all classified information in my custody; that I will not communicate or transmit classified information to any unauthorized person or organization; that I will promptly report to the Federal Bureau of Investigation any attempt by an unauthorized person to solicit classified information, and that I (have) (have not) (strike out inappropriate word or words) received a security debriefing.

SIGNATURE OF EMPLOYEE	DATE (mm-dd-yyyy)
NAME OF WITNESS (Type or print)	SIGNATURE OF WITNESS

**NOTICE:** The Privacy Act, 5 U.S.C. 552a, requires that federal agencies inform individuals, at the time information is solicited from them, whether the disclosure is mandatory or voluntary, by what authority such information is solicited, and what uses will be made of the information. You are hereby advised that authority for soliciting your Social Security Number (SSN) is Executive Order 9397. Your SSN will be used to identify you precisely when it is necessary to 1) certify that you have access to the information indicated above or 2) determine that your access to the information indicated has terminated. Although disclosure of your SSN is not mandatory, your failure to do so may impede the processing of such certifications or determinations, or possibly result in the denial of your being granted access to classified information.

\*NOT APPLICABLE TO NON-GOVERNMENT PERSONNEL SIGNING THIS AGREEMENT.

FEDERAL BUREAU OF INVESTIGATION  
FOI/PA  
DELETED PAGE INFORMATION SHEET  
FOI/PA# 1353814-0

Total Deleted Page(s) = 1  
Page 26 ~ Duplicate;

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X Deleted Page(s) X  
X No Duplication Fee X  
X For this Page X  
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#33

FD-340 (Rev. 4-11-03)

File Number

[Redacted]

b3  
b7E

Field Office Acquiring Evidence

HA

Serial # of Originating Document

9

Date Received

1/22/15

From

Unit Chief

[Redacted]

b6  
b7C

(Name of Contributor/Interviewee)

(Address)

Reston, VA

By

SA

[Redacted]

b6  
b7C

To Be Returned  Yes

No

Receipt Given  Yes

No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e)  
Federal Rules of Criminal Procedure

Yes

No

Federal Taxpayer Information (FTI)

Yes

No

Title:

MIDYEAR EXAM

Reference:

1/22/15 Meeting w/ ICIG

(Communication Enclosing Material)

Description:  Original notes re interview of

FBI & ICIG Meeting



A serious matter was brought to my attention yesterday and it weighing heavy on our minds today. Attached is a letter from Chairman Corker and Chairman Johnson about an allegation that classified information was released to the public on May 22 during the release of the 296 emails from Secretary Clinton. This letter has already caused concern in our organization. I want to share with you what has happened, at least from my perspective, so that is known that our FOIA Office did not have the final authority or say as to how the 296 were released.

I am going to propose to my leadership that we postpone the release of any more Secretary Clinton emails until we have worked with the IC and others on a path ahead. This may conflict with the court order to release emails on June 30<sup>th</sup> but I think it is the responsible thing to do to prevent any issues or concerns related to national security.

Back to the 296 emails. The "L" review in this review process for the 296, which was the final step in this new review process, involved [redacted] who works in the Bureau of Legislative Affairs (H); he is an attorney who I believe was hired on a special appointment and works in H but also has ties directly to the 7<sup>th</sup> floor (up to the Secretary's Chief of Staff). He works closely with another attorney who I also heard was hired on a special appointment, [redacted] who is also in H and has access to senior principals in the Department. Both [redacted] Secretary Clinton; we received the 54k pages of emails from Williams and Connolly. I've also been told that [redacted] and was possibly involved with what was going on at the IRS and Lois Lerner. [redacted] arrived less than a year ago and have been uniquely interested in anything related to Secretary Clinton since their arrival.

b6  
b7C

See email "L Review of Former Secretary Clinton's Emails" for the tasking to "L" (which included [redacted] from H) for the 296 emails. After fighting to ask why H is involved in FOIA releases, we were under pressure to go along with what we were told to do (i.e. let H participate in the L review) which we did.

I have shared examples with you about how the FOIA process for the Clinton process deviates from our regular practice. I want to make sure that I was clear in explaining how H (at least [redacted] and L [redacted] played a major role in how B(1)s turned into B(5)s for the 296.

b6  
b7C

Additionally, [redacted] repeatedly insisted on more than one occasion (to me) that the 296 emails were unclassified (not containing any classified information); at one point, I believe that he even told me that they put the 296 on OpenNet for their review, even though we suggested that they treat the collection as classified information. I had advocated waiting for the FOIA review to be completed before making any such assertions about classification. I don't know what representations were made to the Congress about the 296 emails (or how they were identified in the collection of the 54k); I also don't know if the Congress is storing the 296 as classified (or safeguarding as such in general).

b6  
b7C

On one occasion, [redacted] called me one to explain how nothing in the 296 is classified so that FOIA review should be easy. They also suggested that the FOIA office did not need to vet the 296 with other agencies because they said that they already did it for the Congressional document production to the Select Committee. With support from my leadership, I insisted that we consult our regular FOIA POCs for this effort. While I was able to corroborate that they [redacted] worked with White House Counsel, someone from a part of the Department of Defense, and Department of Justice, we were never able to confirm their claim that that vetted the 296 with the CIA, which they claimed to do. I asked repeatedly for their POCs at the CIA but received nothing; I received the White House Counsel, DoD, and DOJ POCs almost immediately. We were then asked for our FOIA POCs which were likely provided to L and H (see attached email Outside Agency POCs).



On more than one occasion, I've counseled [redacted] about security practices here in the Department; I only did so when I thought that something he wanted to do would affect GIS (or was wrong with regard to federal or Department policy or law). For example, he was bringing people from other agencies to review Benghazi material in SA-2. We stored a lot of that material here for the reviews related to Congressional productions to HOCR and then the Select Committee. I asked him to make sure that he was passing clearances along from other agencies because SA-2 is an open storage facility and just because someone works for an agency does not necessarily mean that s/he is cleared. See attached email (RE: Bureau Security Officers) for an example.

b6  
b7C

As you know, there was one B1 redaction in the 296 emails. It was based on feedback from the FBI. Several people from outside of the FOIA office (but within the Department) tried to get the FBI to change their B1 redaction to something else (like B5). If you wanted to ask the FBI about what happened, our FOIA POC at FBI is [redacted]

b6  
b7C

The moment that [redacted] found out about the B1 redactions (i.e. classified material – four from NEA and one from the FBI) he told me that he would need to talk to the DAS in NEA who made the decision to classify information and asked for his name, which I provided. The NEA DAS is [redacted]. It is my understanding that L (and possibly others) went to NEA to brief them on FOIA and how other redactions like B5 could be used; it appears that the intention of such a briefing was to get NEA to agree to change their B1 redactions to something else (like B5). [redacted] our new acting Assistant Legal Adviser, was involved in this effort.

b6  
b7C

As you can imagine, our staff who knows about this letter from Congress is concerned; this concern will only spread when word gets out about the letter. I want to make sure that you have the facts and some of the background (as I understand it) in this case because there are now serious allegations being made.

I hope that this helps.

Best,

[redacted]

b6  
b7C

[redacted]

Office of Global Information Services (A/GIS)  
U.S. Department of State

[redacted]

[Redacted]

**From:** [Redacted]  
**Sent:** Monday, June 29, 2015 12:27 PM  
**To:** [Redacted]  
**Subject:** FW: ~~SBU~~: Fw: Concerns about the HRC Review...

As discussed.

-----Original Message-----  
From: Chuck Mccullough  
Sent: Monday, June 29, 2015 11:16 AM  
To: [Redacted]  
Subject: FW: ~~SBU~~: Fw: Concerns about the HRC Review...

b3 per ODNI

[Redacted]

Need you plugged in on this: Need to coordinate w/ State's WB person.

Chuck

I. Charles McCullough, III  
Intelligence Community Inspector General

[Redacted]

b3 per ODNI

-----Original Message-----  
From: Linick, Steve A (OIG) [mailto:linicksa@state.gov]  
Sent: Monday, June 29, 2015 6:13 AM  
To: Chuck Mccullough  
Subject: Fw: ~~SBU~~: Fw: Concerns about the HRC Review...

See below

From: [Redacted] (OIG) [Redacted]  
Date: June 28, 2015 at 9:40:17 PM EDT  
To: [Redacted] (OIG); [Redacted] Linick, Steve A (OIG) <linicksa@state.gov> [Redacted] (OIG)  
Subject: Fw: ~~SBU~~: Fw: Concerns about the HRC Review...

b6  
b7C

b3 per ODNI

Fyi, see bottom email on [Redacted] assessment over the weekend. I will draft an MA to go out prior to Tuesday's release and will circulate in the am.

From: [Redacted]  
Sent: Sunday, June 28, 2015 09:30 PM  
To: [Redacted] (OIG); [Redacted] (OIG)  
Subject: Fw: ~~SBU~~: Fw: Concerns about the HRC Review...

b6  
b7C

From: [redacted]  
Sent: Sunday, June 28, 2015 05:05 PM Eastern Standard Time  
To: Barr, Joyce A; Grafeld, Margaret P  
Subject: Re: ~~SBU~~: Fw: Concerns about the HRC Review...

b6  
b7C

For your awareness [redacted] and I just spoke about Tuesday's release. He was in the office and raised the following issue with me. The White House is asking the Department to potentially remove a few emails before we publish them on Tuesday. I asked him to let me know which ones. I did not raise [redacted] email as I know that one of you are going to raise it with M.

Based on my conversation with [redacted] there appears to still be an expectation that we will release emails on Tuesday. I agree with Peggy that we should get confirmation (preferably in writing) about releasing on Tuesday.

From: Barr, Joyce A  
Sent: Sunday, June 28, 2015 04:57 PM Eastern Standard Time  
To: Grafeld, Margaret P  
Cc: [redacted]  
Subject: Re: ~~SBU~~: Fw: Concerns about the HRC Review...

b6  
b7C

Ok got stuck behind an accident at gas station now. Will come back when I get home in 20 minutes.

Sent from my BlackBerry 10 smartphone.

From: Grafeld, Margaret P  
Sent: Sunday, June 28, 2015 16:42  
To: Barr, Joyce A  
Cc: [redacted]  
Subject: Re: ~~SBU~~: Fw: Concerns about the HRC Review...

b6  
b7C

I've been thinking about the best approach to K2 (or to PK himself). For a number of reasons, I think it is better coming from you, if you don't mind. I believe [redacted] shared some related background with you Friday at the 1x1. Happy to talk, if you would like.

BTW, thanks to a Herculean effort of a number of people working long hours yesterday (doing time consuming and labor intensive quality control), we'll be ready to post/release for sure early Tuesday. That said, I'd really like someone (M?) to actually give us the word to do it - and when. Additionally, this effort provides some insight vis a vis Congressional Document Production - that it is not an easy, fully automated process when done right.

From: Barr, Joyce A  
Sent: Sunday, June 28, 2015 2:32 PM  
To: Grafeld, Margaret P  
Cc: [redacted]  
Subject: Re: ~~SBU~~: Fw: Concerns about the HRC Review...

b6  
b7C

I am not an expert on this stuff but this seems to be a pretty in-depth analysis of issues that warrant a closer look. I definitely think it needs to be raised with M. Let M pass it to L. I would forward [redacted] e-mail to K-2 without his comment at the end, he is entitled to his opinion but the blame game doesn't always follow facts. I'm heading out but let me know if you want me to forward this or call K-2. I'll keep checking.

b6  
b7C

Sent from my BlackBerry 10 smartphone.  
From: Grafeld, Margaret P  
Sent: Sunday, June 28, 2015 11:46  
To: Barr, Joyce A  
Cc: [REDACTED]  
Subject: ~~SBU~~ Fw: Concerns about the HRC Review...

b6  
b7C

~~Sensitive But Unclassified~~  
~~(Deliberative and Predecisional Information)~~

Joyce,

I am forwarding [REDACTED] message for your informational awareness, as he requested. I have not reviewed the documents he has, so I cannot affirm his concerns - nor would I presume to do so. Indeed, the multi-level review process for this collection was established to ensure that a wide variety of SME's (including those in bureaus and offices with the most contemporary knowledge of current sensitivities, not to mention referral to other agencies for review of their respective equities). That said, I would appreciate your consideration of raising this with M and L - or whether we should proceed with Tuesday's scheduled court-ordered release/posting based on review by Department experts and L's final review.

b6  
b7C

With thanks in advance for your guidance regarding how we proceed.  
Peggy

From: [REDACTED]  
Sent: Saturday, June 27, 2015 2:46 PM  
To: Grafeld, Margaret P  
Cc: [REDACTED]  
Subject: Concerns about the HRC Review...

b6  
b7C

Peggy,

A quick note from the office on a rainy Saturday with some concerns about the HRC review. I ask that you pass this note on to Joyce.

At the request of the State Department OIG, we have been providing access to the HRC collection to an inspector from the IC's OIG. This person was here late last night, and is here again today. We are providing him documents to review based on searches of Freedom2; he is providing us the search terms. So far this work has not interfered with our production schedule.

While working with this inspector, I have personally reviewed hundreds of documents in the HRC collection. I can now say, without reservation, that there are literally hundreds of classified emails in this collection; maybe more. For example, there are comments by Department staff in emails relating to the WikiLeaks unauthorized disclosures; many of the emails relating to this actually confirm the information in the disclosures. This material is the subject of FOIA litigation, and the emails will now have to be found, reviewed and upgraded. Under the EO 13526, it would be in our right to classify the entire HRC collection at the Secret level because of the "mosaic effect." While there may be IC equities in the collection, I am very concerned about the inadvertent release of State Department's equities when this collection is released in its entirety -- the potential damage to the foreign relations of the United States could be significant.

I think the State Department's management is unaware of how unique this review and release project is. This project is one of a kind--of the prior nine Secretaries of State, NONE have made their personal papers available to the public so

close to their departure from office. There is probably a reason for this—the information is too sensitive. In addition, none of the previous nine Secretaries have had their papers exposed to FOIA requests; and only one, Warren Christopher, released his papers without restrictions. The opening of his collection in 2014 was done 17 years after the papers were first donated to the Hoover Institution. Of the last nine Secretaries, either they have not made their papers available; or they have closed them to the public; or they have placed restrictions on their access. In the case of Alexander Haig and Edmund Muskie, the government had to remove hundreds of boxes of papers that contain classified materials.

Regarding the current review, given the content and scope of the collection, and the speed in which the review is being conducted, I am concerned that possible classified material will be posted in Tuesday's release. While the Department cannot be held responsible for the decision by the Court to release this material so soon, we do have the opportunity to push back on the schedule in order to make a proper review. In my opinion, more material needs to be referred to other agencies for consultation; and more material needs to be upgraded. Since we are not being given enough time by Department management to do this, the A Bureau and IPS, cannot be held responsible for the overall damage to U.S. foreign policy that may occur with this release.

[Redacted]

[Redacted]

b6  
b7C

Office of Information Programs and Services (IPS) Bureau of Administration Department of State  
Washington, DC 20520

[Redacted]

[Redacted]

- They had a different set of e-mails from Hara and Cheryl Mills not all in box of DVDs requested by USDS/16 b3 per ODNI  
b6 per FBI  
b7C per FBI
- When somebody leaves they take .pst file (snapshot of day)
- State executive secretaries in boxes
- Two BlackBerry accounts AT&T / singular telephone separate email accounts
- IC/16 is going to do a congressional notification tonight - we can have copy
- 5 classified documents now. [redacted] was mistaken in one b6  
b7C
- IC/16 is going to get an index of what they have in boxes of DVDs
- Briefing Grossley on Friday and going to bring up CI referral
- Last Thursday as part of Benghazi review committee IC/16 and USDS/16 referred, <sup>IC/16</sup>
- Kennedy/USDS: - requests their jurisdiction no formal notification  
- .pst file
- They can't make request for e-mails
- Accepted recommendations: 1) IC FOIA officials on review.

[redacted] are Williams and Connolly attorneys  
[redacted] is one of State FOIA officials b6  
b7C

- For AD in the meeting: A couple of examples.

1435

FD-340 (Rev. 4-11-03)

File Number

[Redacted]

b3  
b7E

Field Office Acquiring Evidence

WF

Serial # of Originating Document

95

Date Received

9/14/2015

From

LHM FROM PATRICK KENNEDY

(Name of Contributor/Interviewee)

U.S. DEPT OF STATE

(Address)

(City and State)

By

[Redacted]

b6  
b7C

To Be Returned  Yes

No

Receipt Given  Yes

No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e)  
Federal Rules of Criminal Procedure

Yes

No

Federal Taxpayer Information (FTI)

Yes

No

Title:

MIDYEAR EXAM

Reference:

(Communication Enclosing Material)

Description:

Original notes re interview of

LHM FROM DEPT OF STATE (PATRICK KENNEDY)

SENT TO DIRECTOR COMSY

1435

STAPLES

UNITED STATES OF AMERICA

*Dept. of State*

OFFICIAL BUSINESS

*US Patrick Kennedy*

*Rm. 7207*

ALL FBI INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED  
DATE 12-05-2016 BY J76J18T80 NSICG

*The Honorable James Comey  
Director, FBI  
935 Pennsylvania Avenue NW  
Washington, DC 20535*

HRC-2663



**UNDER SECRETARY OF STATE  
FOR MANAGEMENT  
WASHINGTON**

**SEP 14 2015**

Dear Mr. Comey:

We understand that the Federal Bureau of Investigation (FBI) has obtained the private server used by former Secretary Clinton to operate her personal email account along with one or more related thumb drives. While we do not want to interfere with the FBI's review, the Department of State has an interest in preserving its federal records and, therefore, requests the FBI's assistance.

On May 22, 2015, the Department requested from former Secretary Clinton's attorney, David Kendall, that he provide an electronic copy of the approximately 55,000 pages identified as potential federal records and produced on behalf of former Secretary Clinton to the Department of State on December 5, 2014. (See Enclosure A) On June 15, 2015, Mr. Kendall replied that, pursuant to my request, he would "copy onto a disc the electronic version of the e-mails previously produced in hard copy to the Department on December 5, 2014." (See Enclosure B) Before Mr. Kendall could provide that disc to the Department, however, we understand that the FBI obtained the relevant electronic media. Accordingly, we request from the FBI an electronic copy of the approximately 55,000 pages identified as potential federal records and produced on behalf of former Secretary Clinton to the Department of State on December 5, 2014. This request is in accordance with counsel we have received from the National Archives and Records Administration (NARA). (See Enclosure C)


Additionally, to the extent the FBI recovers any potential federal records that may have existed on the server at various points in time in the past, we request that you apprise the Department insofar as such records correspond with Secretary Clinton's tenure at the Department of State. Because of the Department's commitment to preserving its federal records, we also ask that any recoverable media and content be preserved by the FBI so that we can determine how best to proceed.

The Honorable  
James B. Comey,  
Director,  
Federal Bureau of Investigation,  
935 Pennsylvania Avenue, N.W.,  
Washington, D.C. 20535-0001.

HRC-2664

We thank you in advance for your attention to this matter and look forward to coordinating with you.

Sincerely,

  
Patrick F. Kennedy

Enclosures:

As stated.

cc: James A. Baker, FBI/OGC  
Gary Stern, NARA/OGC

A

**UNDER SECRETARY OF STATE  
FOR MANAGEMENT  
WASHINGTON**

MAY 22 2015

CORRECTED COPY

David E. Kendall, Esq.  
Williams & Connolly LLP  
725 12th Street, NW  
Washington, DC 20005

Dear Mr. Kendall:

I am writing in reference to the following e-mail that is among the approximately 55,000 pages that were identified as potential federal records and produced on behalf of former Secretary Clinton to the Department of State on December 5, 2014: E-mail forwarded by Jacob Sullivan to Secretary Clinton on November 18, 2012 at 8:44 pm (Subject: Fw: FYI- Report of arrests – possible Benghazi connection).

Please be advised that today the above referenced e-mail, which previously was unclassified, has been classified as “Secret” pursuant to Section 1.7(d) of Executive Order 13526 in connection with a review and release under the Freedom of Information Act (FOIA). In order to safeguard and protect the classified information, I ask – consistent with my letter to you dated March 23, 2015 - that you, Secretary Clinton and others assisting her in responding to congressional and related inquiries coordinate in taking the steps set forth below. A copy of the document as redacted under the FOIA is attached to assist you in your search.

Electronic Records

- 1) Consistent with my March 23 letter, and to the extent the documents are stored electronically, please copy onto a digital video disc (DVD) or compact disc (CD) the approximately 55,000 pages. If available, the Department would ask that the documents be provided in native electronic format with the associated metadata. These steps are in accordance with counsel we have received from the National Archives and Records Administration.
- 2) Once the copy has been made place the disc(s) in a brown envelope, seal it, address it to  Deputy Legal Adviser, U.S. Department of State, Washington, D.C., and mark the word “SECRET” on the outside of that envelope. Once that is done, please notify us and we will pick up the envelope from your office.
- 3) **Once you have made the electronic copy of the documents for the Department, please locate any electronic copies of the above-referenced classified document in your possession. If you locate any electronic copies, please delete them. Additionally, once you have done that, please empty your “Deleted Items” folder.**

b6  
b7c

HRC-2667

Non-Electronic Copies

- 1) Please locate any non-electronic copies of the classified document in your possession.
- 2) Place any copies of the document that you locate in a brown envelope, seal it, address it to [redacted] Deputy Legal Adviser, U.S. Department of State, Washington, D.C., and mark the word "SECRET" on the outside of that envelope. Once that is done, please notify us and we will pick up the envelope from your office.

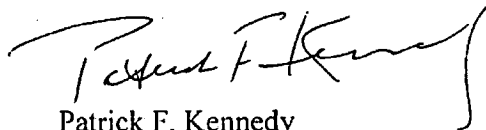
b6  
b7C

Finally, please note that the classification of this document pursuant to Executive Order 13526, Section 1.7(d), does not in itself indicate that any person previously acted improperly with respect to the document or the information contained therein.

If you should have any questions regarding the steps set forth above, please contact [redacted] [redacted] in the Office of the Legal Adviser. In the meantime, I ask that you confirm receipt of this letter as soon as possible.

b6  
b7C

Very truly yours,



Patrick F. Kennedy

B

LAW OFFICES  
WILLIAMS & CONNOLLY LLP

725 TWELFTH STREET, N.W.  
WASHINGTON, D. C. 20005-5901  
(202) 434-5000  
FAX (202) 434-5029

DAVID E. KENDALL  
(202) 434-5145  
dkendall@wc.com

EDWARD BENNETT WILLIAMS (1020-1000)  
PAUL R. CONNOLLY (1022-1070)

*rec'd 6/15/15*

June 15, 2015

**BY HAND**

Mr. Patrick F. Kennedy  
Under Secretary of State for Management  
United States Department of State  
2201 C Street NW  
Washington, DC 20520-6421

Dear Mr. Kennedy:

Thank you for your letter dated May 22, 2015, referencing an e-mail which former Secretary Clinton produced to the State Department on December 5, 2014 (e-mail forwarded by Jacob Sullivan to Secretary Clinton on November 18, 2012, at 8:44 pm (Subject: Fw: FYI-Report of arrests—possible Benghazi connection)). You note that this e-mail, while previously unclassified, was recently classified "Secret", pursuant to Section 1.7(d) of Executive Order 13526, following a Freedom of Information Act review by the State Department.

This will confirm receipt of your letter and that, pursuant to your request, we have located all non-electronic copies of this document in our possession and placed them in a sealed brown envelope, addressed to Deputy Legal Adviser [REDACTED]. The envelope was picked up by a State Department representative on May 28, 2015.

b6  
b7c

This will also confirm that, pursuant to your request, we will copy onto a disc the electronic version of the e-mails previously produced in hard copy to the Department on December 5, 2014. We will arrange for delivery of this disc to the Department as requested in your letter.

This will also confirm that, pursuant to your request, we have deleted all electronic copies of this document, with the following exception. I have received document preservation requests pertaining to the 55,000 pages of e-mails from the House of Representatives Select Committee on Benghazi, the Inspector General of the State Department, and the Inspector General of the Intelligence Community (DNI). I have responded to each preservation request by confirming to the requestor that I would take

WILLIAMS & CONNOLLY LLP


Mr. Patrick F. Kennedy  
June 15, 2015  
Page 2

Department, and the Inspector General of the Intelligence Community (DNI). I have responded to each preservation request by confirming to the requestor that I would take reasonable steps to preserve these 55,000 pages of former Secretary Clinton's e-mails in their present electronic form. I therefore do not believe it would be prudent to delete, as you request, the above-referenced e-mail from the master copies of the PST file that we are preserving.

Once the document preservation requests referenced above expire, we will proceed to make the requested deletions. This present arrangement would cover the single document recently classified "Secret". Should there be further reclassifications during the Department's FOIA review of former Secretary Clinton's e-mails, it also would cover any such additional documents.

We would be grateful for the return of the 1246 e-mails which the Department, in consultation with the National Archives and Records Administration, has determined not to be federal records, as referenced in the May 6, 2015 letter from NARA's Paul Wester to Ms. Margaret Grafeld, Deputy Assistant Secretary for Global Information Services at the Department.

Sincerely,



David E. Kendall

DEK/bb

HRC-2671



WILLIAMS & CONNOLLY LLP

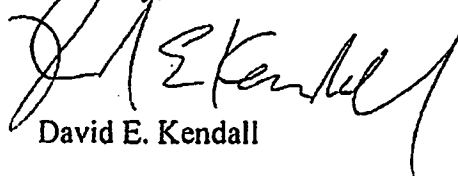
Mr. Patrick F. Kennedy  
June 15, 2015  
Page 2

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Sincerely,



David E. Kendall

DEK/bb

HRC-2672

C



**NATIONAL  
ARCHIVES**

**JUL 02 2015**

**Margaret P. Grafeld  
Deputy Assistant Secretary for Global Information Services  
Bureau of Administration  
U.S. Department of State  
SA-2, Suite 8000  
515 22nd Street, NW  
Washington, DC 20522-0208**

**Dear Ms. Grafeld:**

**I am in receipt of your letter of April 2, 2015, responding to the National Archives and Records Administration's (NARA) formal request of March 3, 2015, that you provide us with the report required in 36 CFR 1230.14 concerning the potential alienation of Federal email records created and received by former Secretary of State Hillary R. Clinton.**

**I appreciate the details you have provided to date; however, recognizing that the situation continues to be fluid; there are currently two major questions or concerns that the Department needs to address.**

**First, in your response you described and forwarded key policy directives issued by the Department in 2014, on records management in general, including specific guidance related to the management of email and other electronic records of senior agency officials. Related to these policies, I am requesting additional information on how the Department implemented these directives with senior officials. More specifically, we would like to understand the specific training, procedures, and other controls the Department employed to ensure the key directives were implemented. This will allow NARA to evaluate whether there are appropriate safeguards in place to prevent the alienation of records from occurring in the future.**

**Second, as we have discussed; I would like to reiterate our request that the Department contact the representatives of former Secretary Clinton to secure the native electronic versions with associated metadata of the approximately 55,000 hard copy pages of emails provided to the**

**NATIONAL ARCHIVES and  
RECORDS ADMINISTRATION**

**8601 ADELPHI ROAD  
COLLEGE PARK, MD 20740-6001**

**[www.archives.gov](http://www.archives.gov)**

**HRC-2674**

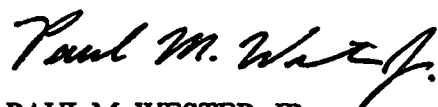
Department. If the Department is unable to obtain the electronic versions of these messages from Secretary Clinton, I am requesting that the Department inquire with the internet service or email provider of former Secretary Clinton, and also of former Secretary Powell, with regard to whether it is still possible to retrieve the email records that may still be present on their servers. As stated in the OMB/NARA M-12-18 *Managing Government Records Directive*, Federal agencies are required by the end of 2016 to maintain all electronic records, including email, in their native electronic format to facilitate active use and future access.

I am aware that there are multiple ongoing inquiries into the details of this case, including by Congressional oversight committees and the Department's Inspector General, which may already be addressing the requests that I have made. I would therefore appreciate continuing updates on the current status of these activities to the extent possible, particularly where the investigations may reveal that the collection Secretary Clinton provided to the Department is incomplete. I also look forward to receiving copies of the final reports of all such investigations, as well as the Department's plans for corrective action. This documentation will assist us in understanding this situation and the Department plans to ensure a comparable situation will not happen in the future.

In closing, I would like to convey my appreciation for the Department's efforts in following up with the representatives of the former Secretary on the many concerns that have surfaced in the past several months. We share many of the Department's concerns and stand ready to provide advice when needed on the records management issues that arise.

I look forward to receiving your response and appreciate your continued attention to this matter.

Sincerely,



PAUL M. WESTER, JR.  
Chief Records Officer  
for the U.S. Government

cc: Ambassador Patrick F. Kennedy  
Under Secretary for Management  
Senior Agency Official for Records Management  
U.S. Department of State  
Washington, DC 20520

#37

FD-340 (Rev. 4-11-03)

b3  
b7E

File Number

[Redacted]

Field Office Acquiring Evidence

HQ

Serial # of Originating Document

23

Date Received

8/4/15

From

Steve A. Linick

(Name of Contributor/Interviewee)

2121 Virginia Ave

(Address)

Washington, DC

(City and State)

By

SA

[Redacted]

b6  
b7C

To Be Returned  Yes

No

Receipt Given  Yes

No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e)  
Federal Rules of Criminal Procedure

Yes

No

Federal Taxpayer Information (FTI)

Yes

No

Title:

MIDYEAR EXAM

Reference:

(Communication Enclosing Material)

Description:

Original notes re interview of

Steve Linick

Brian Pagliano, represented by Akin Gump  
brought on to set up system private server

1. When did you initiate your review?

PST for mail & Hama ←

2. Why did you start the review?

Disks ⇒ duplicated by asking

3/12 Letter from Chairmen Burr, Corker

Nothing that said she couldn't  
who approved it, "No process!"

3. Could you explain the review process?

- 1. The use of an department hardware
- 2. five
- 3. Onboarding Record; Preservation, FOIA Response,

4. When and why did you request assistance from ICIG?

After letter

5. What documents do you have and how did you come into possession of these?

Asked for PST of S Bee & Immediate Staff. Kerry to Albright

6. Who have you been coordinating with at STATE Department?

7. On 6/19/2015 and 7/17/2015, you sent a joint letter to Patrick Kennedy regarding potential issues with State's process for review of the Former Secretary Clinton's email....?

His recommendations 1) put IC ppl @ front end

8. Could you explain the retroactive classification process

Not qualified

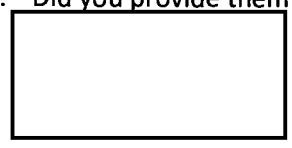
9. On 7/10/2015, you sent a request for electronic copy of former secretary Clinton Email, what happened?

Have not received them

10. What has been you interaction if any with Williams & Connolly?

a. June 24, 2015 letter from firm

b. Did you provide them with a preservation letter?



b6  
b7C

Did not ask for citations

Williams & Connolly disruption / not resolved

[redacted]

& others alleged

Talk to

[redacted]

b6  
b7C

9. Kennedy request & received,

See response

10 - Potentially 100's of classified email

Not involved in that process @ all

The FAM was you shouldn't unless there's a good reason - It was highly discouraging. If you need system to use a private email it had to be forwarded to state email in 20 days.

May 13, 2011 2:28 PM

Huma - Phil Rezn Potential Hack

Talk [redacted] about getting FAM

b6 per DOS  
b7C per DOS

HRC-2681

11. What if any classified documents/email has you identified?

not verified

12. Have you considered asking Clinton to turn the .PST files over to you?

We made referral, now there's Spillago, not equipped to do it.

13. What roadblocks have you ran into in regards to getting access to documents or with your review process?



b6  
b7C

14. To your knowledge is State Department authorized to certify a SCIF at a law firm?

Don't know

15. What if anything do you know about STATE providing a safe to Williams & Connolly?

No idea

16. What preserved emails do you have in your possession?



Kennedy

b6  
b7C



#38

FD-340 (Rev. 4-11-03)

File Number

[Redacted]

b3  
b7E

Field Office Acquiring Evidence

HQ

Serial # of Originating Document

29

Date Received

8/07/2015

From

[Redacted]

(Name of Contributor/Interviewee)

b6  
b7C

2121 Virginia Ave

(Address)

Washington, DC

(City and State)

By

SA

[Redacted]

b6  
b7C

To Be Returned  Yes

No

Receipt Given  Yes

No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e)  
Federal Rules of Criminal Procedure

Yes

No

Federal Taxpayer Information (FTI)

Yes

No

Title:

MIDYEAR EXAM

Reference:

(Communication Enclosing Material)

Description:

Original notes re interview of

[Redacted]

b6  
b7C

## Co. Letter of Review

- March 3, 2015 Article Times
- Under IG Act make independent
- Sec Kerry letter email practices generally  
"Personal Hardware"
- Letters from Senate & All Congressional  
queries identified areas of interest
- Also sent to IG IG
- Are records transmitted being preserved  
for Archival, are systems in place
- FOIA Act
- Federal Records Act (Do you have it or not  
Congressional Request  
How well is it being preserved)
- Fall 2014 State Dept wants to look forward  
do you have anything in your records

Sec. Powell → used ADL

Clinton -

Albright - NO  
Rice - I had gmail, not for official

business

4/15 - Special Review of Personal Hardware  
of S Secs & Immediate Staffs

1) Hardware Onboarding -> How is method if comms  
onboarding for this agency BB, iPad  
story for each Sec

Powell wanted to use AOK

How has department managed this? How did they  
address security issues? Set up secure phon lines

2) For Clinton's house  
precautions for  
personal device

State's Record Keeping isn't good

2) Resig Records Creation designation  
how do we make sure draft yet  
captured in system

Record Keeping: print & file basis.

2, 3 for  
years had to do  
better job

Doesnt capture full records as  
Federal Gov Capstone : to capture records

Please print & file to go in binders or  
filing purposes

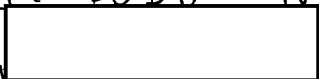
3) How has dept handled FOIA &  
Congressional Process

- Court Orders All 30,000 email  
by 1/30/2016

- Month by month basis, <sup>of</sup> every  
month, Produced 2 waves of email  
foia.gov website

50-60 ppl going through

Invited ICLG to assist



took a look

b6  
b7c

Continuing to work

Classification Experts FOIA

FOIA process  
like

Clinton produced 30,000 email paper  
Scanned in

divided 30 into bucket of 100 a piece

divided 100 into groups of 1000

Ultimate delivery

Appropriate Exemptions

Each email goes through

- Senior State department officials to review
- which dept worked on claim B1 for  
State equities
- Then sent to other agency if equity  
is involved
- Then come back to State
- Further State review
- Ultimately released on website  
BS (internal deliberations  
B6 (PII information))



IC equity component: bought  
broader experience

In this together letter to state  
Assembly line process

Email:

Reeps delivered 12 copies of hard copies,

in 3/2015

posted a Q&A of how she got from  
60-30 likely down by Williams & Connolly

State IG sent preservation request to four  
Secs "Want you to preserve electronic data  
while @ state"

= Letter back from Connolly "says Hamburg  
access  
and server is @ Platt river"

i) Original review deeper dive if  
Unboarding of Clinton server

Sec Clinton had IT operation from  
Senate or Campaign  
liked using BB  
@ 2 email that went BB

Pagliaro worked IT support for  
Clinton campaign, mobile guy mobile  
solution

Jan 21, 2009 Clinton starts  
Pagliaro comes on few months later  
Scheduled C employees, I & M  
IT group stat

- Support Clinton BB piece  
HRIS @ ATT

HDR 22

ms. Sec in 2013, article about Sydney Blumenthal  
hacked email HDR 22 looks like

changed to HDR 14

believed held @ Chapp residence

In April 2004, complicated Int'l Know  
who paid for source  
Source being shared by Peter Winter as well

Who set up some comms, state saw  
DC Residence would send in advance team to  
NY Residence set up, wherever she needed

### Issues

- 1) What did depart know  
what did they do:
  - Some ppl know
  - Some ppl didn't

Huma was conduit for contact H  
only a few were able to contact her directly



Pagliari's

Is he paying his attorney

b6 per DOS

Pagliari is on payroll  
leaves dept 2013

linked in

Early 2015 came back as a contractor  
Reached out to him

Attorney said you cannot talk to  
him go through me

My client will not cooperate IG  
or any others

until an order of immunity from  
prosecution


was it a team effort

Pat Kieran is Key figure  
like # 3 guy



We sent our folks from DS to do a  
Security assessment @ Williams & Connolly

Williams & Connolly handled Petreus so they  
were safe. left meeting thinking had copy of  
thumbdrive. Later was told didn't have thumbdrive  
wants Thumbdrive to see how complete email  
collection. ~~It~~ Would like to get it

  
1974/5  
serial 4

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 10/22/2015 b6 per FBI  
b7C per FBI  
b7E per DOS

On October 20, 2015, [redacted] Information Technology Specialist/Forensic Examiner (ITS/FE) of the Operational Technology Division (OTD), attempted to access the data on evidence item 1B26 (OTD reference "QH4"), a white and grey Lexar USB 3.0 thumb drive labeled "Dept of State 703-312-3856," using the password [redacted] previously provided by the United States Department of State (STATE) and referenced in Serial 58.

On October 21, 2015, ITS/FE [redacted] contacted Richard Puglisi (PUGLISI) (202-663-0662), Special Assistant to the Deputy Inspector General, STATE, by telephone. PUGLISI provided the following password to ITS/FE [redacted] in order to access the data on evidence item 1B26:

b6  
b7C

[redacted]

b7E per DOS

On October 22, 2015, ITS/FE [redacted] sent the writer an email containing the password.

b6  
b7C

Reference Document: Serial 58

Investigation on 10/22/2015 at Washington, DC


b3  
b7E

File # [redacted] CART - 4 Date dictated N/A

By ITS/FE [redacted]

b6  
b7C

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

  
11/29/15  
Serial 7

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 11/23/2015

b6 per FBI, DOS  
b7C per FBI

On October 6, 2015, GENE J. DRIGGERS (DRIGGERS), Director, Computer Forensics Branch, United States Department of State (STATE), Office of Inspector General (OIG), Office of Investigations, office telephone 703-312-3856, cellular telephone [redacted] email address driggersg@state.gov emailed the writer, Information Technology Specialist/Forensic Examiner (ITS/FE) [redacted] of the Federal Bureau of Investigation (FBI) Washington Field Office (WFO) Computer Analysis Response Team (CART). DRIGGERS provided a list of Message-Digest algorithm 5 (MD5) hash values corresponding to files identified by STATE during their review of email data. A copy of the email data was previously provided to the FBI as evidence item 1B26, a white and grey Lexar USB 3.0 thumb drive labeled "Dept of State 703-312-3856," as referenced in Serial 58.

The attachment "File Hash.rtf" containing the list of hash values was burned to a CD and is included in a 1A envelope along with a copy of the email from DRIGGERS dated October 6, 2015.

Reference Documents: Serial 58, Serial 8 [redacted] (302)

b3  
b7E

Investigation on 10/06/2015 at Washington, DC

File # [redacted] CART -7 Date dictated N/A

b3  
b7E

By ITS/FE [redacted]

b6  
b7C

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

FEDERAL BUREAU OF INVESTIGATION  
FOI/PA  
DELETED PAGE INFORMATION SHEET  
FOI/PA# 1353814-0

Total Deleted Page(s) = 8

- Page 1 ~ Duplicate;
- Page 2 ~ Duplicate;
- Page 3 ~ Duplicate;
- Page 4 ~ Duplicate;
- Page 5 ~ Duplicate;
- Page 6 ~ Duplicate;
- Page 7 ~ Duplicate;
- Page 25 ~ Duplicate;

```
XXXXXXXXXXXXXXXXXXXXXXXXXXXXX  
X Deleted Page(s) X  
X No Duplication Fee X  
X For this Page X  
XXXXXXXXXXXXXXXXXXXXXXXXXXXXX
```

DECLASSIFIED BY: NSICG C66W46B11  
ON 02-13-2019

~~SECRET//NOFORN~~

~~Derived From: Multiple Sources  
Declassify On: 20421231~~

**Filing and Security**



Primary Case: [Redacted]

(U) Case Title: ~~(S//NF)~~ MIDYEAR  
EXAM; MISHANDLING  
OF CLASSIFIED;  
UNKNOWN SUBJECT  
OR COUNTRY;  
SENSITIVE  
INVESTIGATIVE  
MATTER (SIM)

b3  
b7E

Serial Number: 195

Serialized: 04/19/2017

Category: Full Investigation

Initiated: 07/10/2015

Referenced By: [Redacted]  
Lead 5

[Redacted]  
Lead 5 Coverage

b3  
b7E

**Details**



(U) Document Title: ~~(S//NF)~~ Document Storage of TOP SECRET//SPECIAL ACCESS PROGRAM  
Evidence

(U) Synopsis: ~~(S//NF)~~ To document the storage of TOP SECRET//SPECIAL ACCESS  
PROGRAM evidence.

(U) Details: ~~(S//NF)~~

(U) ~~(S//NF)~~ Evidence items 1B75, 1B76, 1B77, and 1B78 contain information  
classified at the TOP SECRET//SPECIAL ACCESS PROGRAM level and can  
only be handled by individuals read on to the programs. As a result, the items  
identified above are stored with CI-13 in a safe located in the limited access [Redacted]  
[Redacted] room. This communication documents the location and requests IS-4  
update the evidence logs with this information and remove their charge out  
status.

b7E

**Indexing**







No Entities to display.

**Intelligence**





**Intelligence Value:** No Intelligence Value  
**Potential IIR/SIR?** No  
**Sentinel Tags:** *No Sentinel Tags Selected*

**Can you identify the source of this information?** No

**Routing**



**From:** WASHINGTON FIELD WF-CI13  
**Drafted By:** [Redacted]   
**Approved By:** SSA [Redacted] 

b6  
b7C



b7E

DECLASSIFIED BY: NSICG C66W46B11  
ON 02-13-2019

**Evidence Details**

Case:

Item: 1B75

b3  
b7E

(U) Description: ~~(S)~~ One 8 GB Patriot Memory Supersonic XT USB Drive, Black in Color, contains CART Working Files.

Collected On: 03/22/2016 08:08 AM EST

Receipt Item #: None

Special Handling: None

CATS ID #: None

Hazardous Materials: None

FBI Seizure #: None

CATS Abandonment #: None

Abandonment #: None

(U) Late Justification: ~~(S)~~ FD-1087 was not submitted until 4/18/16.

**Digital Information**

Type: SD Card/Flash Drive

Batteries Charged: No

Number Collected: 1

Collected by CART: No

**Discovery Location**

Area: Mission Ridge Facility

Seizing Individual:

Collected By:

Specific: IAU

Others: None

Others Agency: None

b6  
b7C

**Anticipated Disposition**

None on None

**Storage Information**

Holding Office: WASHINGTON FIELD

Finalized By:

Last Inventory: None

Location: NA - Retained by Agent

Barcode #: E5490350

b6  
b7C

**Chain of Custody**

**Shipping / Transfer Log**

**History**

**Acquisition Event Details**

(U) Acquisition Event: ~~(S)~~ Mid Year Exam

(U) Collected From: ~~(S)~~   
FBI

b6  
b7C

Receipt Given: No

Holding Office: WASHINGTON FIELD

Evidence Log:   
Serial 159

b3  
b7E

**EVIDENCE CHAIN OF CUSTODY**

<b>Relinquished Custody</b>	<b>Date and Time</b>	<b>Accepted Custody</b>	<b>Date and Time</b>
Signature:		Signature:	
Printed Name/Agency:		Printed Name/Agency:	
Reason:		Reason:	
<b>Relinquished Custody</b>	<b>Date and Time</b>	<b>Accepted Custody</b>	<b>Date and Time</b>
Signature:		Signature:	
Printed Name/Agency:		Printed Name/Agency:	
Reason:		Reason:	
<b>Relinquished Custody</b>	<b>Date and Time</b>	<b>Accepted Custody</b>	<b>Date and Time</b>
Signature:		Signature:	
Printed Name/Agency:		Printed Name/Agency:	
Reason:		Reason:	
<b>Relinquished Custody</b>	<b>Date and Time</b>	<b>Accepted Custody</b>	<b>Date and Time</b>
Signature:		Signature:	
Printed Name/Agency:		Printed Name/Agency:	
Reason:		Reason:	
<b>Relinquished Custody</b>	<b>Date and Time</b>	<b>Accepted Custody</b>	<b>Date and Time</b>
Signature:		Signature:	
Printed Name/Agency:		Printed Name/Agency:	
Reason:		Reason:	
<b>Relinquished Custody</b>	<b>Date and Time</b>	<b>Accepted Custody</b>	<b>Date and Time</b>
Signature:		Signature:	
Printed Name/Agency:		Printed Name/Agency:	
Reason:		Reason:	
<b>Relinquished Custody</b>	<b>Date and Time</b>	<b>Accepted Custody</b>	<b>Date and Time</b>
Signature:		Signature:	
Printed Name/Agency:		Printed Name/Agency:	
Reason:		Reason:	
<b>Relinquished Custody</b>	<b>Date and Time</b>	<b>Accepted Custody</b>	<b>Date and Time</b>
Signature:		Signature:	
Printed Name/Agency:		Printed Name/Agency:	
Reason:		Reason:	
<b>Relinquished Custody</b>	<b>Date and Time</b>	<b>Accepted Custody</b>	<b>Date and Time</b>
Signature:		Signature:	
Printed Name/Agency:		Printed Name/Agency:	
Reason:		Reason:	
<b>Relinquished Custody</b>	<b>Date and Time</b>	<b>Accepted Custody</b>	<b>Date and Time</b>
Signature:		Signature:	
Printed Name/Agency:		Printed Name/Agency:	
Reason:		Reason:	
<b>Relinquished Custody</b>	<b>Date and Time</b>	<b>Accepted Custody</b>	<b>Date and Time</b>
Signature:		Signature:	
Printed Name/Agency:		Printed Name/Agency:	
Reason:		Reason:	
<b>Relinquished Custody</b>	<b>Date and Time</b>	<b>Accepted Custody</b>	<b>Date and Time</b>
Signature:		Signature:	
Printed Name/Agency:		Printed Name/Agency:	
Reason:		Reason:	

Case ID: \_\_\_\_\_ IB: \_\_\_\_\_ Barcode: \_\_\_\_\_

	Time		Time
Signature: [Redacted]	3/27/21	Signature:	
Printed Name/Agency: [Redacted]	(12:06)	Printed Name/Agency:	
Reason: <i>To Secure Storage</i>		Reason:	
<b>Relinquished Custody</b>	<b>Date and Time</b>	<b>Accepted Custody</b>	<b>Date and Time</b>
Signature:		Signature:	
Printed Name/Agency:		Printed Name/Agency:	
Reason:		Reason:	
<b>Relinquished Custody</b>	<b>Date and Time</b>	<b>Accepted Custody</b>	<b>Date and Time</b>
Signature:		Signature:	
Printed Name/Agency:		Printed Name/Agency:	
Reason:		Reason:	
<b>Relinquished Custody</b>	<b>Date and Time</b>	<b>Accepted Custody</b>	<b>Date and Time</b>
Signature:		Signature:	
Printed Name/Agency:		Printed Name/Agency:	
Reason:		Reason:	
<b>Relinquished Custody</b>	<b>Date and Time</b>	<b>Accepted Custody</b>	<b>Date and Time</b>
Signature:		Signature:	
Printed Name/Agency:		Printed Name/Agency:	
Reason:		Reason:	
<b>Relinquished Custody</b>	<b>Date and Time</b>	<b>Accepted Custody</b>	<b>Date and Time</b>
Signature:		Signature:	
Printed Name/Agency:		Printed Name/Agency:	
Reason:		Reason:	
<b>Relinquished Custody</b>	<b>Date and Time</b>	<b>Accepted Custody</b>	<b>Date and Time</b>
Signature:		Signature:	
Printed Name/Agency:		Printed Name/Agency:	
Reason:		Reason:	

<b>Firearm Certification by (PFI/SWAT) / Explosive Material Certification by (SABT):</b>	ATF Trace Form Completed: <input type="checkbox"/>
Printed Name:	NCIC: <input type="checkbox"/>
Signature:	
Date:	

Case ID: [Redacted] IB: 75 Barcode: E5490350

DECLASSIFIED BY: NSICC C66W46B11  
ON 02-13-2019

**Evidence Details**

Case: [Redacted] Item: 1B76

b3  
b7E

(U) Description: ~~(X)~~ Clone of QHQ14/1B48

Collected On: 03/22/2016 08:08 AM EST

Receipt Item #: None Special Handling: None  
CATS ID #: None Hazardous Materials: None

FBI Seizure #: None Abandonment #: None  
CATS Abandonment #: None

(U) Late Justification: ~~(X)~~ FD-1087 was not submitted until 4/18/16.

**Digital Information**

Type: Hard Drive  
Batteries Charged: No  
Number Collected: 1  
Collected by CART: No

**Discovery Location**

Area: Mission Ridge Facility  
Seizing Individual: [Redacted]  
Specific: IAU

Collected By: [Redacted]  
Others: None  
Others Agency: None

b6  
b7C

**Anticipated Disposition**

None on None

**Storage Information**

Holding Office: WASHINGTON FIELD

Finalized By: [Redacted] Last Inventory: None

b6  
b7C

Location: NA - Retained by Agent

Barcode #: E5490351

**Chain of Custody**

**Shipping / Transfer Log**

**History**

**Acquisition Event Details**

(U) Acquisition Event: ~~(X)~~ Mid Year Exam

(U) Collected From: ~~(X)~~ [Redacted]  
FBI

b6  
b7C

Receipt Given: No

Holding Office: WASHINGTON FIELD

Evidence Log: [Redacted]  
Serial 159

b3  
b7E

b6  
b7C

Relinquished Custody	Date and Time	Accepted Custody	Date and Time
Signature: [Redacted]	3/22/2014	Signature:	
Printed Name/Agency: [Redacted]	12:06P	Printed Name/Agency:	
Reason: To Secure Storage		Reason:	
<b>Relinquished Custody</b>	<b>Date and Time</b>	<b>Accepted Custody</b>	<b>Date and Time</b>
Signature:		Signature:	
Printed Name/Agency:		Printed Name/Agency:	
Reason:		Reason:	
<b>Relinquished Custody</b>	<b>Date and Time</b>	<b>Accepted Custody</b>	<b>Date and Time</b>
Signature:		Signature:	
Printed Name/Agency:		Printed Name/Agency:	
Reason:		Reason:	
<b>Relinquished Custody</b>	<b>Date and Time</b>	<b>Accepted Custody</b>	<b>Date and Time</b>
Signature:		Signature:	
Printed Name/Agency:		Printed Name/Agency:	
Reason:		Reason:	
<b>Relinquished Custody</b>	<b>Date and Time</b>	<b>Accepted Custody</b>	<b>Date and Time</b>
Signature:		Signature:	
Printed Name/Agency:		Printed Name/Agency:	
Reason:		Reason:	
<b>Relinquished Custody</b>	<b>Date and Time</b>	<b>Accepted Custody</b>	<b>Date and Time</b>
Signature:		Signature:	
Printed Name/Agency:		Printed Name/Agency:	
Reason:		Reason:	

<b>Firearm Certification by (PFI/SWAT) / Explosive Material Certification by (SABT):</b>	ATF Trace Form Completed: <input type="checkbox"/>
Printed Name:	NCIC: <input type="checkbox"/>
Signature:	
Date:	

Case ID: [Redacted] IB: 76 Barcode: E5490351

b3  
b7E

ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED  
DATE 02-13-2019 BY C66W46B11 NSICG

b7E

**Evidence Details**

Case: [Redacted]

Item: 1B77

b3  
b7E

(U) Description: ~~(X)~~ Containing a forensic image of QHQ3 (BP Server), QHQ8 (VM's form PRN Server), QHQ9 (BP external drive), and QHQ12 (PRN Server)

Collected On: 03/22/2016 08:08 AM EST

Receipt Item #: None

Special Handling: None

CATS ID #: None

Hazardous Materials: None

FBI Seizure #: None

(U) CATS Abandonment #: None

Abandonment #: None

Late Justification: ~~(X)~~ FD-1087 was not submitted until 4/18/16.

**Digital Information**

Type: Hard Drive

Batteries Charged: No

Number Collected: 1

Collected by CART: No

**Discovery Location**

Area: Mission Ridge Facility

Seizing Individual: [Redacted]

Specific: IAU

Collected By: [Redacted]

Others: None

Others Agency: None

b6  
b7C

**Anticipated Disposition**

None on None

**Storage Information**

Holding Office: WASHINGTON FIELD

Finalized By: [Redacted]

Last Inventory: None

Location: NA - Retained by Agent

Barcode #: E5490353

b6  
b7C

**Chain of Custody**

**Shipping / Transfer Log**

**History**

**Acquisition Event Details**

(U) Acquisition Event: ~~(X)~~ Mid Year Exam

(U) Collected From: ~~(X)~~ [Redacted]  
FBI

b6  
b7C

Receipt Given: No

Holding Office: WASHINGTON FIELD

Evidence Log: [Redacted]

Serial 159

b3  
b7E

b6  
b7C

		Time			Time
Signature:	[Redacted]	3/22/2014	Signature:		
Printed Name/Agency:	[Redacted]	12:00P	Printed Name/Agency:		
Reason:	To secure storage		Reason:		
<b>Relinquished Custody</b>		<b>Date and Time</b>	<b>Accepted Custody</b>		<b>Date and Time</b>
Signature:			Signature:		
Printed Name/Agency:			Printed Name/Agency:		
Reason:			Reason:		
<b>Relinquished Custody</b>		<b>Date and Time</b>	<b>Accepted Custody</b>		<b>Date and Time</b>
Signature:			Signature:		
Printed Name/Agency:			Printed Name/Agency:		
Reason:			Reason:		
<b>Relinquished Custody</b>		<b>Date and Time</b>	<b>Accepted Custody</b>		<b>Date and Time</b>
Signature:			Signature:		
Printed Name/Agency:			Printed Name/Agency:		
Reason:			Reason:		
<b>Relinquished Custody</b>		<b>Date and Time</b>	<b>Accepted Custody</b>		<b>Date and Time</b>
Signature:			Signature:		
Printed Name/Agency:			Printed Name/Agency:		
Reason:			Reason:		
<b>Relinquished Custody</b>		<b>Date and Time</b>	<b>Accepted Custody</b>		<b>Date and Time</b>
Signature:			Signature:		
Printed Name/Agency:			Printed Name/Agency:		
Reason:			Reason:		
<b>Relinquished Custody</b>		<b>Date and Time</b>	<b>Accepted Custody</b>		<b>Date and Time</b>
Signature:			Signature:		
Printed Name/Agency:			Printed Name/Agency:		
Reason:			Reason:		

<b>Firearm Certification by (PFI/SWAT) / Explosive Material Certification by (SABT):</b>	ATF Trace Form Completed: <input type="checkbox"/>
Printed Name:	NCIC: <input type="checkbox"/>
Signature:	
Date:	

b3  
b7E

Case ID: [Redacted] 1B: 77 Barcode: E5490353

ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED  
DATE 02-13-2019 BY C66W46B11 NSICG



DECLASSIFIED BY: NSICG C66W46B11  
ON 02-13-2019

b7E

**Evidence Details**

Case: [Redacted]

Item: 1B78

b3  
b6  
b7C  
b7E

(U) Description: ~~(X)~~ One 4 TB Western Digital Hard Drive Labeled DEHQ3, Model WF4003FZEX, S/N [Redacted]

Collected On: 03/22/2016 08:08 AM EST

Receipt Item #: None

Special Handling: None

CATS ID #: None

Hazardous Materials: None

FBI Seizure #: None

CATS Abandonment #: None

Abandonment #: None

(U) Late Justification: ~~(X)~~ FD-1087 was not submitted until 4/18/16.

**Digital Information**

Type: Hard Drive

Batteries Charged: No

Number Collected: 1

Collected by CART: NO

**Discovery Location**

Area: Mission Ridge Facility

Seizing Individual: [Redacted]

Specific: IAU

Collected By: [Redacted]

Others: None

Others Agency: None

b6  
b7C

**Anticipated Disposition**

None on None

**Storage Information**

Holding Office: WASHINGTON FIELD

Finalized By: [Redacted]

Last Inventory: None

Location: NA - Retained by Agent

Barcode #: E5490355

b6  
b7C

**Chain of Custody**

**Shipping / Transfer Log**

**History**

**Acquisition Event Details**

(U) Acquisition Event: ~~(X)~~ Mid Year Exam

(U) Collected From: ~~(X)~~ [Redacted]  
FBI

Receipt Given: No

Holding Office: WASHINGTON FIELD

Evidence Log: [Redacted]

Serial 159

b6  
b7C

b3  
b7E

b6  
b7C

	Time		Time
Signature: [Redacted]	3/22/2018	Signature:	
Printed Name/Agency: [Redacted]	12:00 P	Printed Name/Agency:	
Reason: To Secure Storage		Reason:	
<b>Relinquished Custody</b>	<b>Date and Time</b>	<b>Accepted Custody</b>	<b>Date and Time</b>
Signature:		Signature:	
Printed Name/Agency:		Printed Name/Agency:	
Reason:		Reason:	
<b>Relinquished Custody</b>	<b>Date and Time</b>	<b>Accepted Custody</b>	<b>Date and Time</b>
Signature:		Signature:	
Printed Name/Agency:		Printed Name/Agency:	
Reason:		Reason:	
<b>Relinquished Custody</b>	<b>Date and Time</b>	<b>Accepted Custody</b>	<b>Date and Time</b>
Signature:		Signature:	
Printed Name/Agency:		Printed Name/Agency:	
Reason:		Reason:	
<b>Relinquished Custody</b>	<b>Date and Time</b>	<b>Accepted Custody</b>	<b>Date and Time</b>
Signature:		Signature:	
Printed Name/Agency:		Printed Name/Agency:	
Reason:		Reason:	
<b>Relinquished Custody</b>	<b>Date and Time</b>	<b>Accepted Custody</b>	<b>Date and Time</b>
Signature:		Signature:	
Printed Name/Agency:		Printed Name/Agency:	
Reason:		Reason:	
<b>Relinquished Custody</b>	<b>Date and Time</b>	<b>Accepted Custody</b>	<b>Date and Time</b>
Signature:		Signature:	
Printed Name/Agency:		Printed Name/Agency:	
Reason:		Reason:	

<b>Firearm Certification by (PFI/SWAT) / Explosive Material Certification by (SABT):</b>	ATF Trace Form Completed: <input type="checkbox"/>
Printed Name:	NCIC: <input type="checkbox"/>
Signature:	
Date:	

Case ID: [Redacted] 1B: 78 Barcode: E5490855

b3  
b7E

ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED  
DATE 02-13-2019 BY C66W46B11 NSICC



b7E DECLASSIFIED BY: NSICG C66W46B11  
ON 02-13-2019

~~SECRET//NOFORN~~

~~Derived From: Multiple Sources  
Declassify On: 20421231~~

**Filing and Security**



Primary Case:

(U) Case Title: ~~(S//NF)~~ MIDYEAR  
EXAM; MISHANDLING  
OF CLASSIFIED;  
UNKNOWN SUBJECT  
OR COUNTRY;  
SENSITIVE  
INVESTIGATIVE  
MATTER (SIM)

b3  
b7E

Serial Number: 196

Serialized: 04/20/2017

Category: Full Investigation  
Initiated: 07/10/2015

Referenced By:   
 Lead 6

Lead 6 Coverage

b3  
b7E

**Details**



(U) Document Title: ~~(S//NF)~~ Document Storage of TOP SECRET//SPECIAL ACCESS PROGRAM  
Evidence

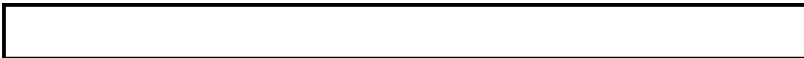
(U) Synopsis: ~~(S//NF)~~ To document the storage of TOP SECRET//SPECIAL ACCESS  
PROGRAM evidence.

(U) Details: ~~(S//NF)~~  
(U) ~~(S//NF)~~ Evidence item 1B79 contains information classified at the TOP  
SECRET//SPECIAL ACCESS PROGRAM level and can only be handled by  
individuals read on to the programs. As a result, 1B79 is stored with CI-13 in safe  
 located in the limited access  room. This communication  
documents the location and requests IS-4 update the evidence log with this  
information and remove 1B79's charge out status.

b7E

**Indexing**





No Entities to display.

**Intelligence**



**Intelligence Value:** No Intelligence Value  
**Potential IIR/SIR?** No  
**Sentinel Tags:** *No Sentinel Tags Selected*

**Can you identify the source of this information?** No

**Routing**



**From:** WASHINGTON FIELD, WF-C113  
**Drafted By:** [Redacted]  
**Approved By:** SSA [Redacted]

b6  
b7C

DECLASSIFIED BY: NSICG C66W46B11  
ON 02-13-2019

b7E

**Evidence Details**

Case: [Redacted]

Item: 1B79

b3  
b6  
b7C  
b7E

(U) Description: ~~(S)~~ One 500 GB Seagate Barracuda Hard Drive Labeled DEHQ55 S/N  
[Redacted] containing forensic images of QHQ1 (1B1) and QHQ2 (1B2)  
Created for IAU Review

Collected On: 02/02/2016 01:00 PM EDT

Receipt Item #: None

Special Handling: None

CATS ID #: None

Hazardous Materials: None

FBI Seizure #: None

CATS Abandonment #: None

Abandonment #: None

Late Justification: (U) FD-1087 was not received until 4/19/16

**Digital Information**

Type: Hard Drive

Serial Number: [Redacted]

Batteries Charged: No

Number Collected: 1

Collected by CART: No

b6  
b7C

**Discovery Location**

Area: FBI/OTD

Seizing Individual: [Redacted]

Specific: NA

Collected By: [Redacted]

Others: None

Others Agency: None

b6  
b7C

**Anticipated Disposition**

None on None

**Storage Information**

Holding Office: WASHINGTON FIELD

Finalized By: [Redacted]

Last Inventory: None

Location: NA - Retained by Agent

Barcode #: E5490352

b6  
b7C

**Chain of Custody**

**Shipping / Transfer Log**

**History**

**Acquisition Event Details**

(U) Acquisition Event: ~~(S)~~ Mid Year Exam

(U) Collected From: ~~(S)~~ IT Specialist/Forensic Examiner [Redacted]  
FBI

b6  
b7C

Receipt Given: No

Holding Office: WASHINGTON FIELD

Evidence Log: [Redacted]

Serial 160

b3  
b7E

b6  
b7c

Relinquished Custody	Date and Time	Accepted Custody	Date and Time
Signature: [Redacted]	4/11/16	Signature: [Redacted]	4/11/16
Printed Name/Agency: [Redacted] FBI	11:30 AM	Printed Name/Agency: [Redacted] FBI	11:30 AM
Reason: Place into storage at JEH		Reason: Received from SSA [Redacted]	
<b>Relinquished Custody</b>	<b>Date and Time</b>	<b>Accepted Custody</b>	<b>Date and Time</b>
Signature: [Redacted]	5/10/16	Signature: [Redacted]	5/10/2016
Printed Name/Agency: [Redacted] FBI	2:08 PM	Printed Name/Agency: [Redacted]	2:08 PM
Reason: TO TAKE AGENT		Reason: Storage	
<b>Relinquished Custody</b>	<b>Date and Time</b>	<b>Accepted Custody</b>	<b>Date and Time</b>
Signature:		Signature:	
Printed Name/Agency:		Printed Name/Agency:	
Reason:		Reason:	
<b>Relinquished Custody</b>	<b>Date and Time</b>	<b>Accepted Custody</b>	<b>Date and Time</b>
Signature:		Signature:	
Printed Name/Agency:		Printed Name/Agency:	
Reason:		Reason:	
<b>Relinquished Custody</b>	<b>Date and Time</b>	<b>Accepted Custody</b>	<b>Date and Time</b>
Signature:		Signature:	
Printed Name/Agency:		Printed Name/Agency:	
Reason:		Reason:	
<b>Relinquished Custody</b>	<b>Date and Time</b>	<b>Accepted Custody</b>	<b>Date and Time</b>
Signature:		Signature:	
Printed Name/Agency:		Printed Name/Agency:	
Reason:		Reason:	

<b>Firearm Certification by (PFI/SWAT) / Explosive Material Certification by (SABT):</b>	ATF Trace Form Completed: <input type="checkbox"/>
Printed Name:	NCIC: <input type="checkbox"/>
Signature:	
Date:	

Case ID: \_\_\_\_\_ 1B: \_\_\_\_\_ Barcode: \_\_\_\_\_

ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED  
DATE 02-13-2019 BY C66W46B11 NSICG

FEDERAL BUREAU OF INVESTIGATION  
FOI/PA  
DELETED PAGE INFORMATION SHEET  
FOI/PA# 1353814-0

Total Deleted Page(s) = 2  
Page 1 ~ Referral/Consult;  
Page 2 ~ Referral/Consult;

XXXXXXXXXXXXXXXXXXXXXXXXXXXX  
X Deleted Page(s) X  
X No Duplication Fee X  
X For this Page X  
XXXXXXXXXXXXXXXXXXXXXXXXXXXX

FEDERAL BUREAU OF INVESTIGATION  
FOI/PA  
DELETED PAGE INFORMATION SHEET  
FOI/PA# 1353814-0

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Page 1 ~ Referral/Consult;  
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XXXXXXXXXXXXXXXXXXXXXXXXXXXX  
X Deleted Page(s) X  
X No Duplication Fee X  
X For this Page X  
XXXXXXXXXXXXXXXXXXXXXXXXXXXX



FEDERAL BUREAU OF INVESTIGATION  
FOI/PA  
DELETED PAGE INFORMATION SHEET  
FOI/PA# 1353814-0

Total Deleted Page(s) = 1  
Page 1 ~ b6; b7C; b7E;

XXXXXXXXXXXXXXXXXXXXXXXXXXXXX  
X Deleted Page(s) X  
X No Duplication Fee X  
X For this Page X  
XXXXXXXXXXXXXXXXXXXXXXXXXXXXX

FEDERAL BUREAU OF INVESTIGATION  
FOI/PA  
DELETED PAGE INFORMATION SHEET  
FOI/PA# 1353814-0

Total Deleted Page(s) = 1  
Page 1 ~ Duplicate;

XXXXXXXXXXXXXXXXXXXXXXXXXXXXX  
X Deleted Page(s) X  
X No Duplication Fee X  
X For this Page X  
XXXXXXXXXXXXXXXXXXXXXXXXXXXXX

FEDERAL BUREAU OF INVESTIGATION  
FOI/PA  
DELETED PAGE INFORMATION SHEET  
FOI/PA# 1353814-0

Total Deleted Page(s) = 1  
Page 1 ~ Referral/Consult;

XXXXXXXXXXXXXXXXXXXXXXXXXXXXX  
X Deleted Page(s) X  
X No Duplication Fee X  
X For this Page X  
XXXXXXXXXXXXXXXXXXXXXXXXXXXXX

FEDERAL BUREAU OF INVESTIGATION  
FOI/PA  
DELETED PAGE INFORMATION SHEET  
FOI/PA# 1353814-0

Total Deleted Page(s) = 1  
Page 1 ~ Duplicate;

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X Deleted Page(s) X  
X No Duplication Fee X  
X For this Page X  
XXXXXXXXXXXXXXXXXXXXXXXXXXXX

1A15

FD-340 (Rev. 4-11-03)

File Number  302

b3  
b7E

Field Office Acquiring Evidence WIF

Serial # of Originating Document, 18

Date Received 10/28/2015

From   
(Name of Contributor/Interviewee)

b6  
b7C

555 11th St NW  
(Address)

Washington DC

By SA

b6  
b7C

To Be Returned  Yes  No

Receipt Given  Yes  No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e)  
Federal Rules of Criminal Procedure

Yes  No

Federal Taxpayer Information (FTI)

Yes  No

Title: MIDYEAR EXAM

Reference: 302  
(Communication Enclosing Material)

Description:  Original notes re interview of

FO-597

1A15

UNITED STATES DEPARTMENT OF JUSTICE  
FEDERAL BUREAU OF INVESTIGATION  
Receipt for Property Received/Returned/Released/Seized

File # \_\_\_\_\_

On (date) 10/28/2015

item(s) listed below were:

- Received From
- Returned To
- Released To
- Seized

b6  
b7C

(Name)

(Street Address) 555 11th Street NW, Washington DC

(City) \_\_\_\_\_

Description of Item(s): One (1) DVD labeled "Export DEHQ31" with date "10/23/15."

10/28/2015

b6  
b7C

Received By:

Received From:

(Signature)

HRC-13831

b6  
b7C